
Domain Generalization :

How to improve the generalization ability of deep learning models?



DMQA Open Seminar (2023.07.21)

Data Mining & Quality Analytics Lab.

김지현

발표자 소개



❖ 김지현 (Jihyun Kim)

- 고려대학교 산업경영공학과 대학원 재학
- Data Mining & Quality Analytics Lab. (김성범 교수님)
- Ph.D. Student (2022.03 ~ Present)

❖ Research Interest

- Domain Adaptation
- Semi-supervised Learning

❖ Contact

- jihyun_k@korea.ac.kr

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1. **Domain-invariant Representation Learning**
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 - Data Augmentation
 - Data Generation

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230721 DMQA Open Seminar:

Domain Generalization : How to improve the generalization ability of deep learning models?

1. Introduction: Domain Generalization

Introduction

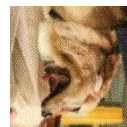
Background of Domain Generalization

일반화(Generalization) 성능이 좋은 모델을 만들어보자!

Train



Data
Augmentation



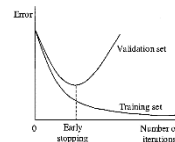
Batch
Normalization

$$\frac{x_i - \mu_B}{\sqrt{\sigma_B^2 + \epsilon}}$$

Dropout



Early-stopping



Deep Learning
Model

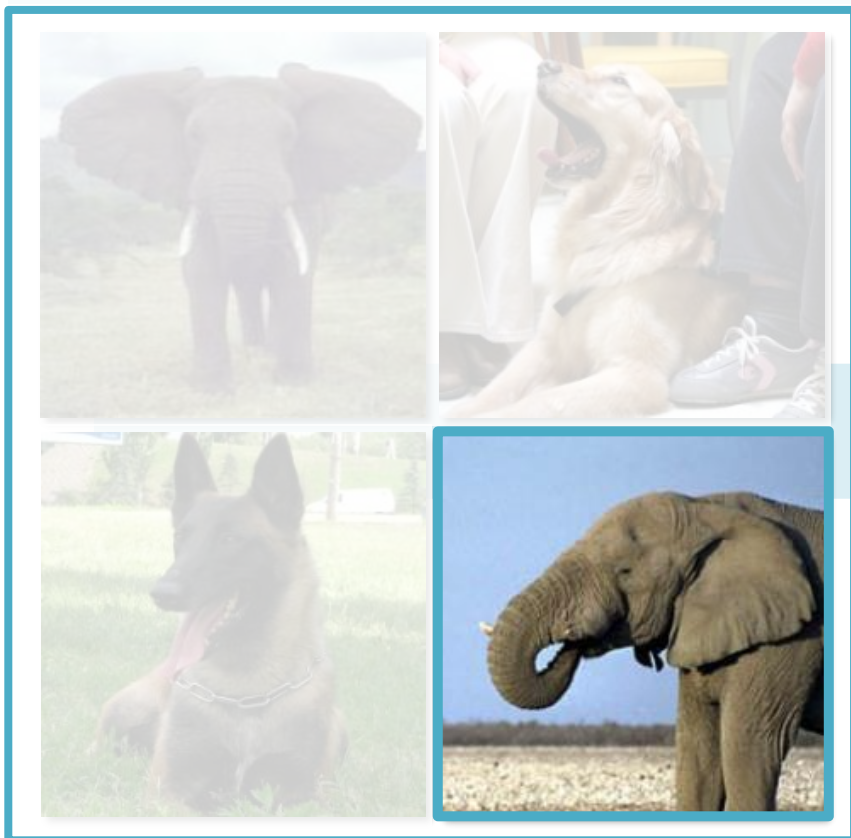
Elephant!

Introduction

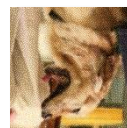
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Data
Augmentation



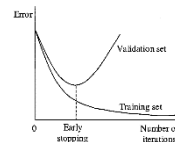
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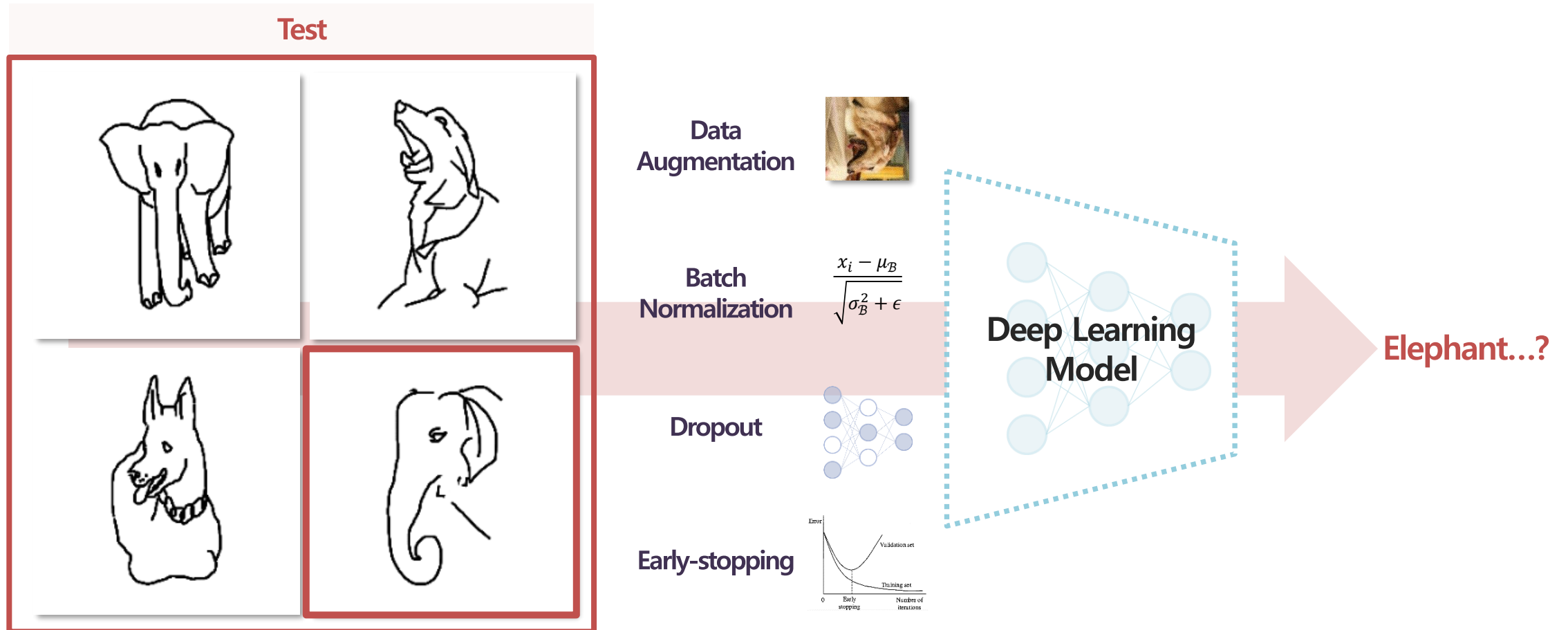
Deep Learning
Model

Elephant!

Introduction

Background of Domain Generalization

일반화(Generalization) 성능이 좋은 모델이 만들어졌을까?

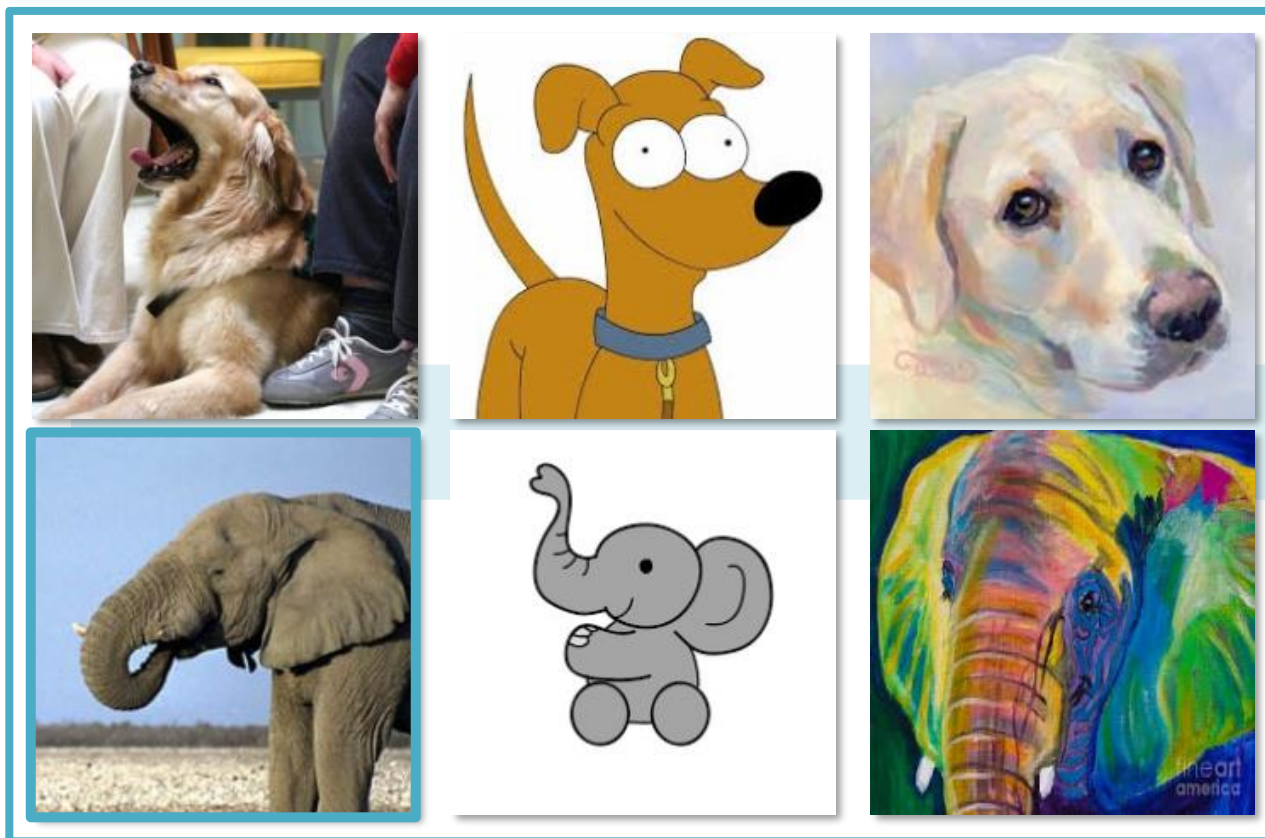


Introduction

Background of Domain Generalization

다시 한 번 더 일반화(Generalization) 성능이 좋은 모델을 만들어보자!

Training with Multiple Train Dataset



Data Augmentation



Dropout

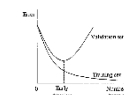


Deep Learning Model

Batch Normalization

$$\frac{x_i - \mu_B}{\sqrt{\sigma_B^2 + \epsilon}}$$

Early-stopping

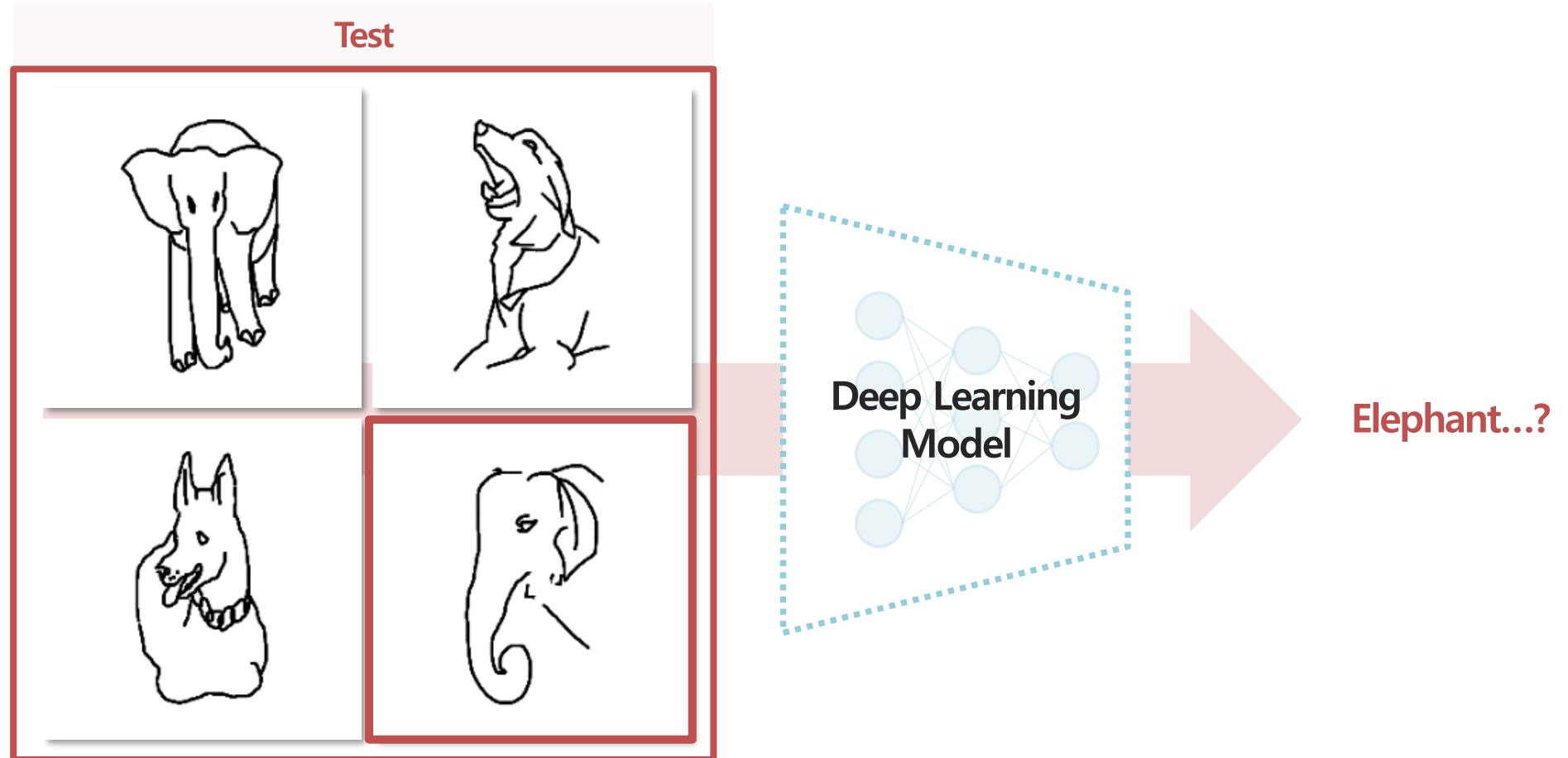


Elephant!

Introduction

Background of Domain Generalization

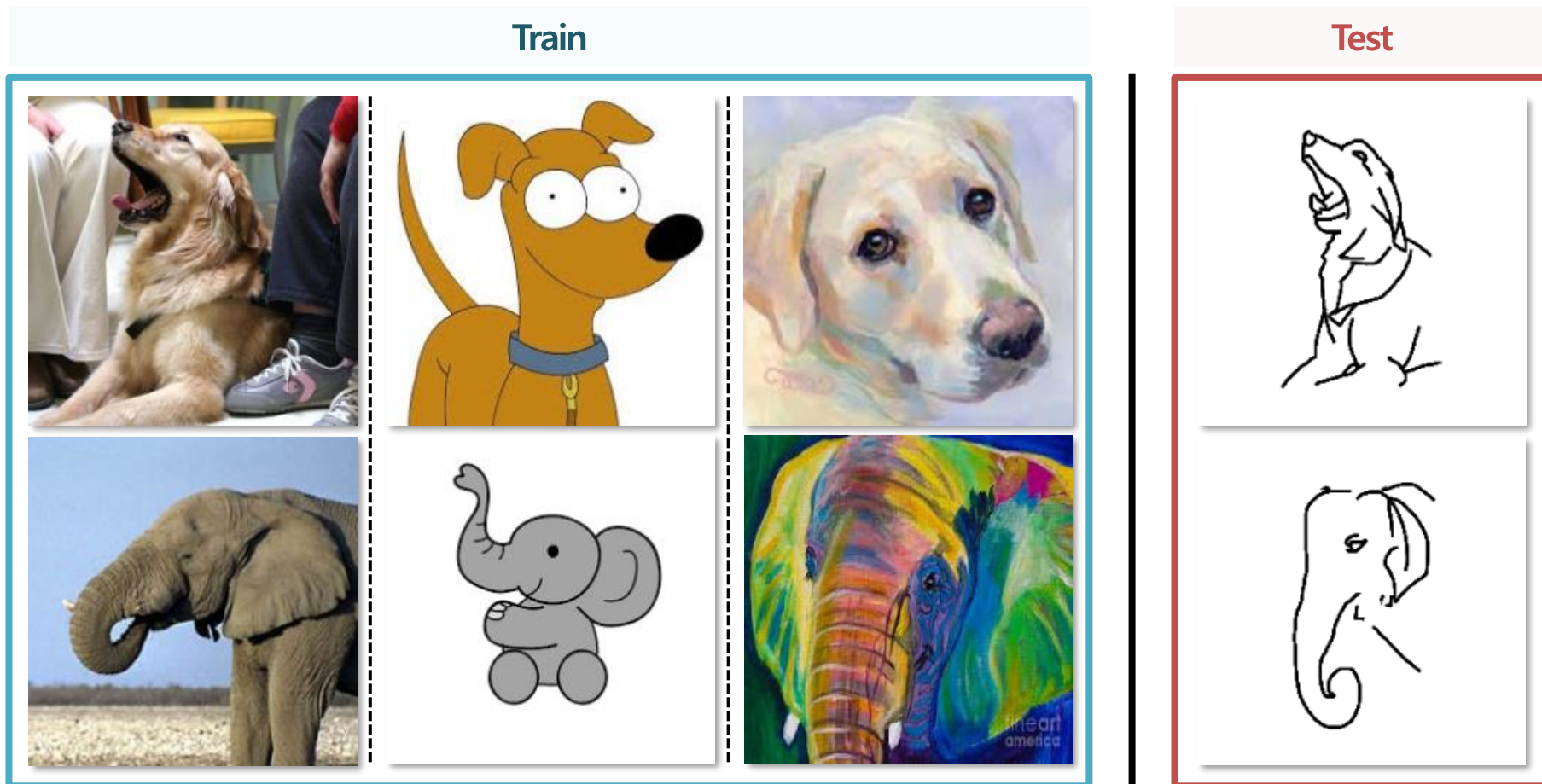
다시 한 번 더 일반화(Generalization) 성능이 좋은 모델을 만들어보자!



Introduction

Background of Domain Generalization

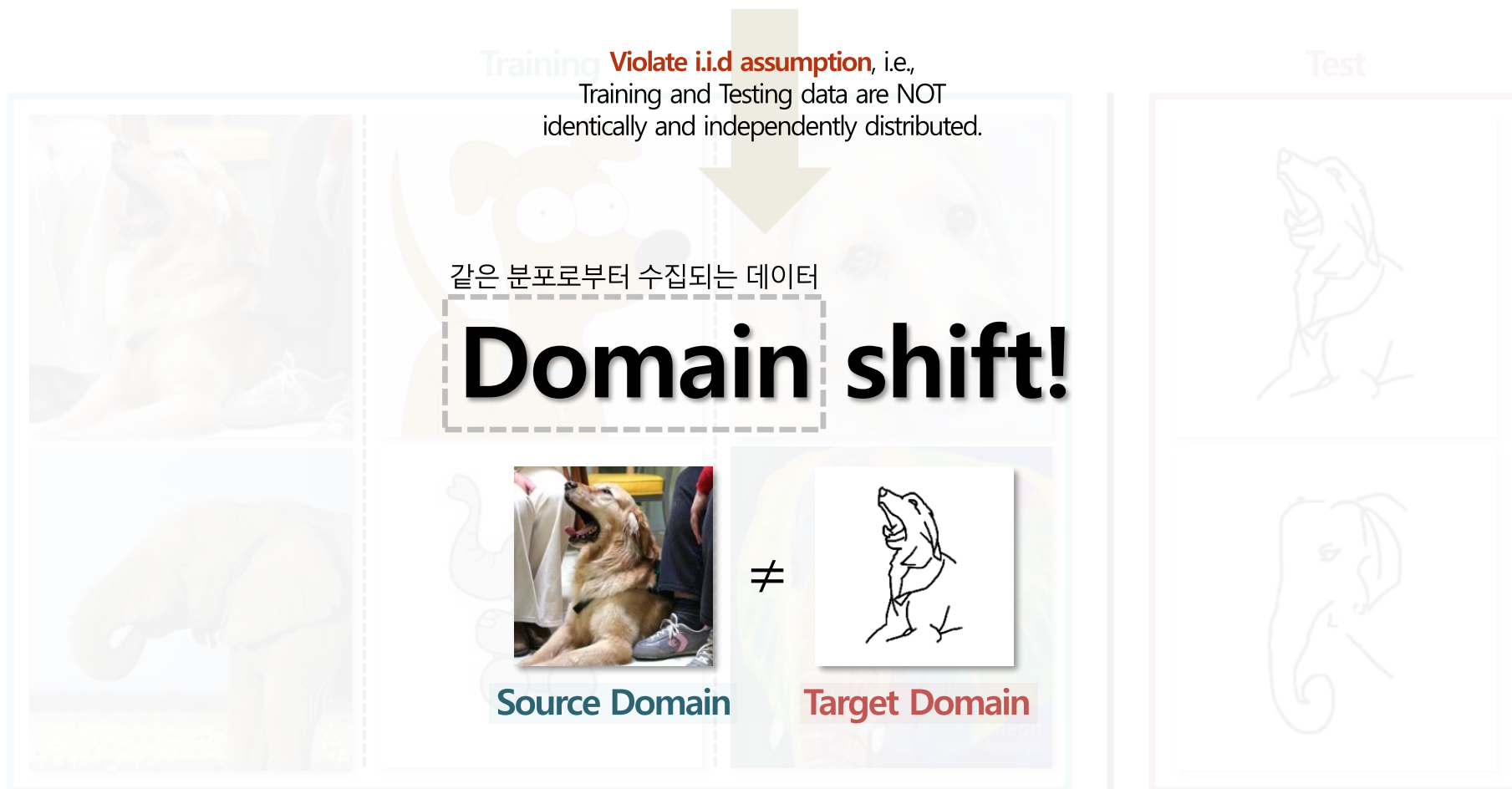
학습 데이터와 테스트 데이터의 분포가 다른 문제



Introduction

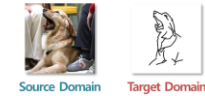
Background of Domain Generalization

학습 데이터와 테스트 데이터의 분포가 다른 문제



Violate iid assumption, i.e.
Training and Testing data are NOT
identically and independently distributed.

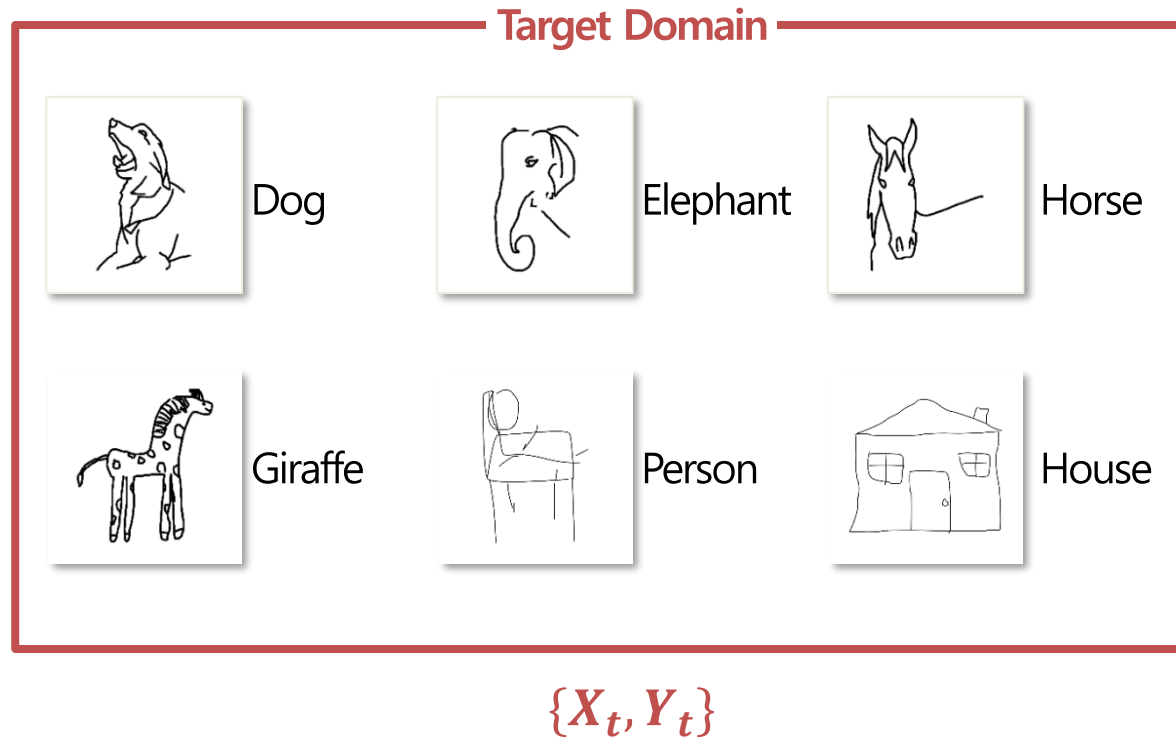
Domain shift!



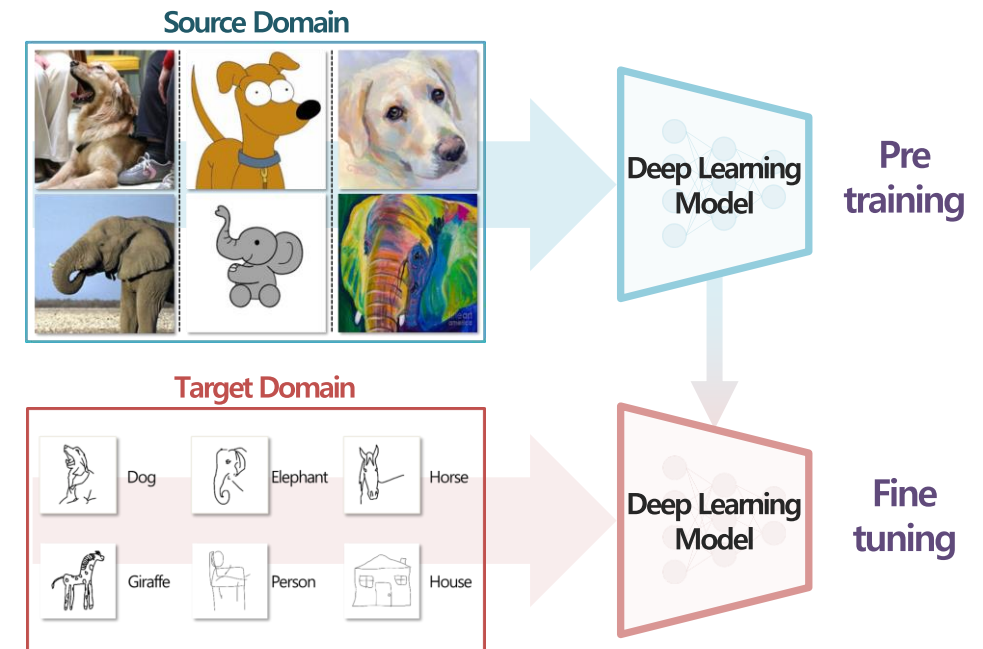
Introduction

Background of Domain Generalization

❖ Generalization-related Research Topics



Pretraining and Fine-tuning

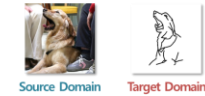


Limitation

Large-scale data collection and annotation for every new target domain is prohibitively expensive and time-consuming

Violate iid assumption, i.e.
Training and Testing data are NOT
identically and independently distributed.

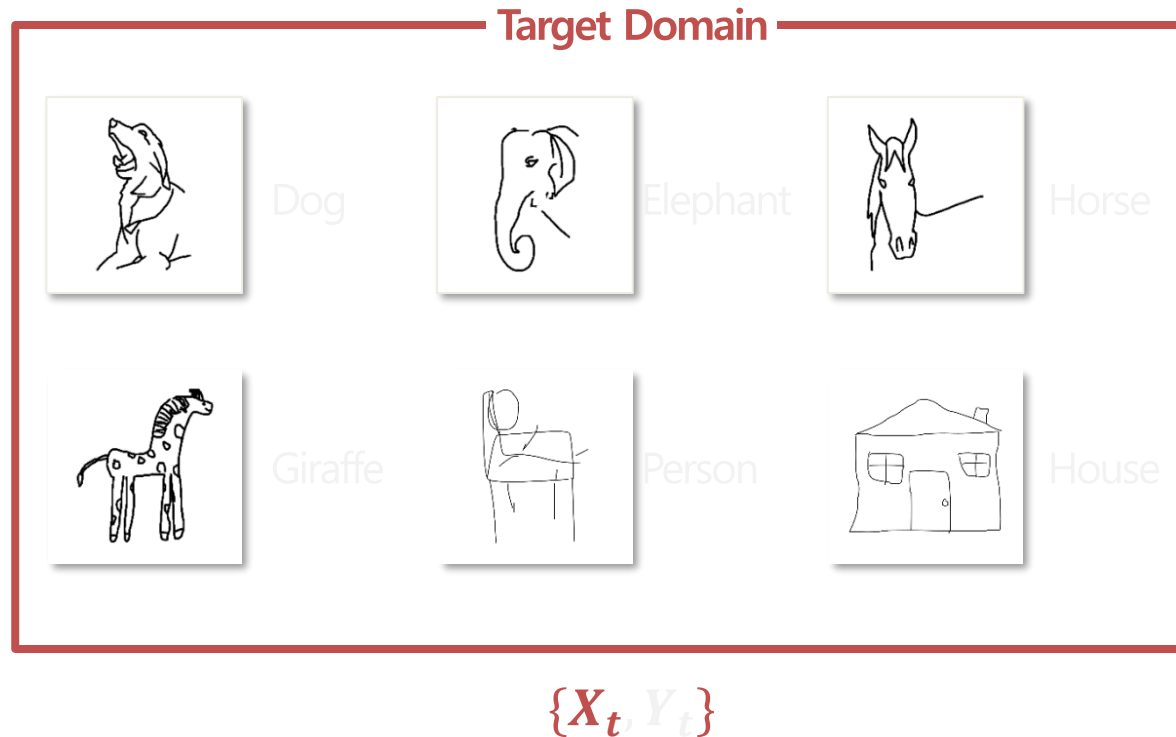
Domain shift!



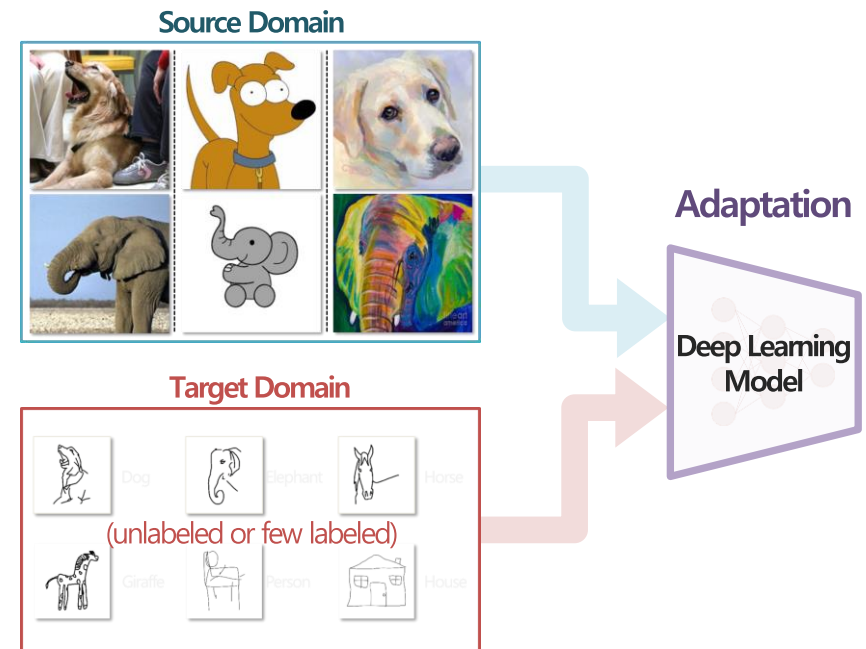
Introduction

Background of Domain Generalization

❖ Generalization-related Research Topics



(Unsupervised) Domain Adaptation



Limitation

Still requires a data collection step followed by a model adaptation step for each new domain, which might hinder its applicability.

Violate iid assumption, i.e.
Training and Testing data are NOT
identically and independently distributed.

Domain shift!



Source Domain



Target Domain

Introduction

Background of Domain Generalization

❖ Generalization-related Research Topics

종료

Source domain

Target domain

도메인 적응
Domain adaptation

9월 29일 12시 20분
Domain transfer model

Introduction to unsupervised domain ad.

발표자: 이민정

2022년 9월 16일

오후 1시 ~

온라인 비디오 시청 (YouTube)

세미나 정보 보기 →

종료

How to Transfer Knowledge Across Domains by Deep Neural Network?

2022. 10. 28

Data Mining & Quality Analytics Lab.

How to Transfer Knowledge Across Doma

발표자: 김지현

2022년 10월 28일

오후 1시 ~

온라인 비디오 시청 (YouTube)

세미나 정보 보기 →

종료

Deep Domain Adaptation

DMQA Open Seminar

2022.08.05

Deep Domain Adaptation

발표자: 김태연

2022년 8월 5일

오후 1시 ~

온라인 비디오 시청 (YouTube)

세미나 정보 보기 →

Limitation

which might hinder its applicability.

Violate iid assumption, i.e.
Training and Testing data are NOT
identically and independently distributed.

Domain shift!

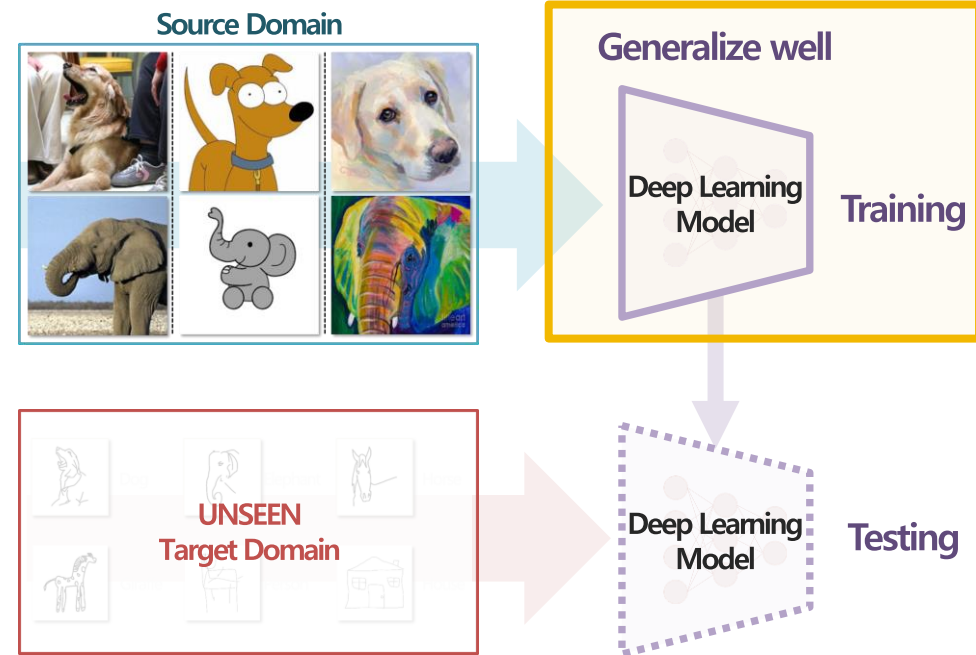
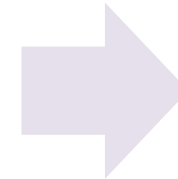
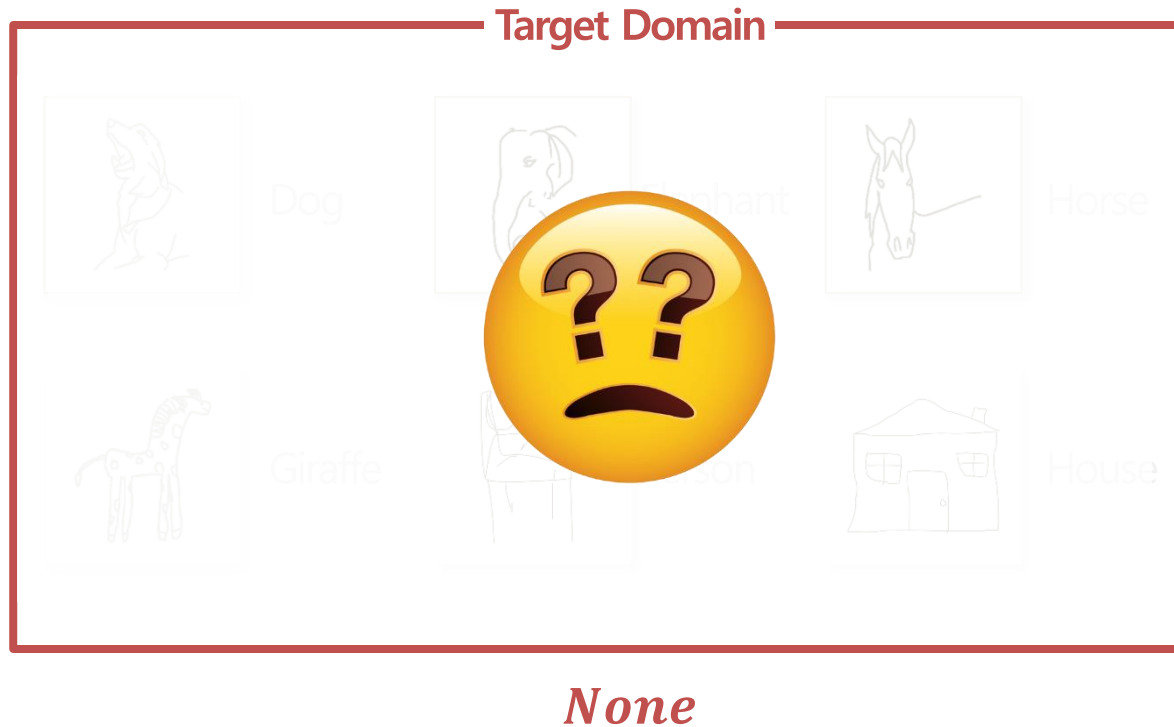


Source Domain



Target Domain

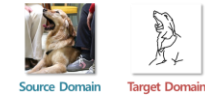
Domain Generalization !



Train a model using data from source domains and deploy model to an arbitrary unseen target domain!

Violate iid assumption, i.e.
Training and Testing data are NOT
identically and independently distributed.

Domain shift!

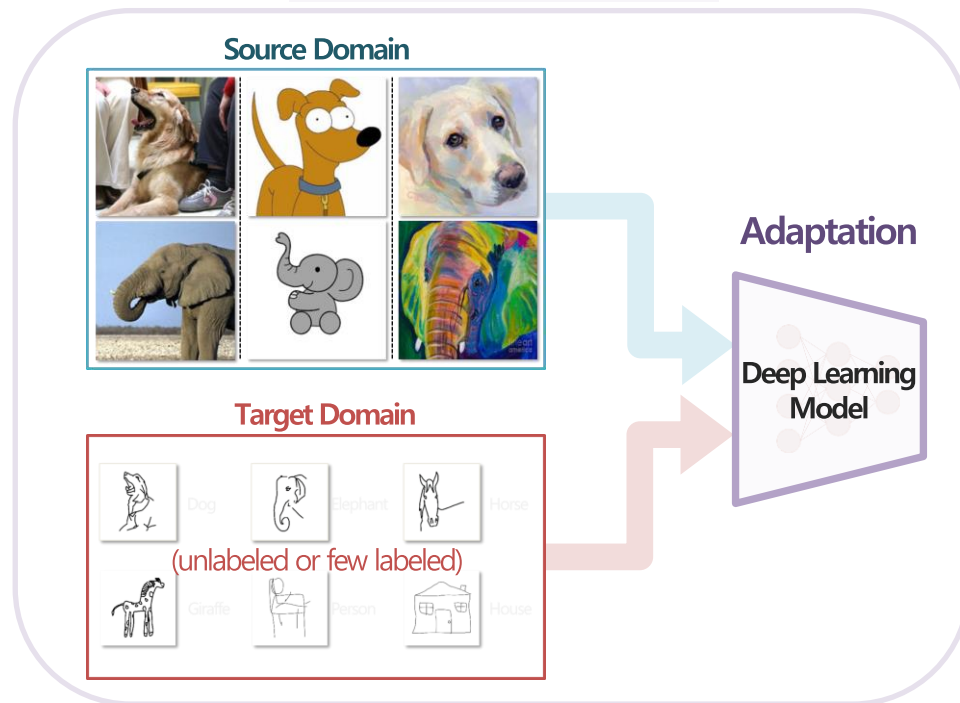


Introduction

Background of Domain Generalization

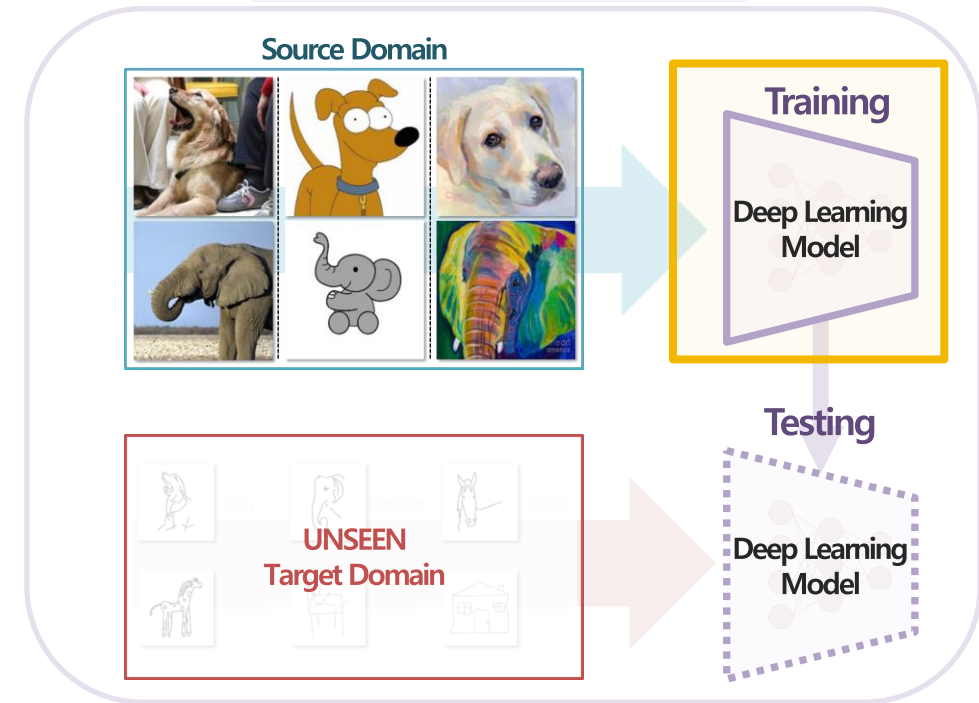
❖ Generalization-related Research Topics

Domain Adaptation



vs.

Domain Generalization



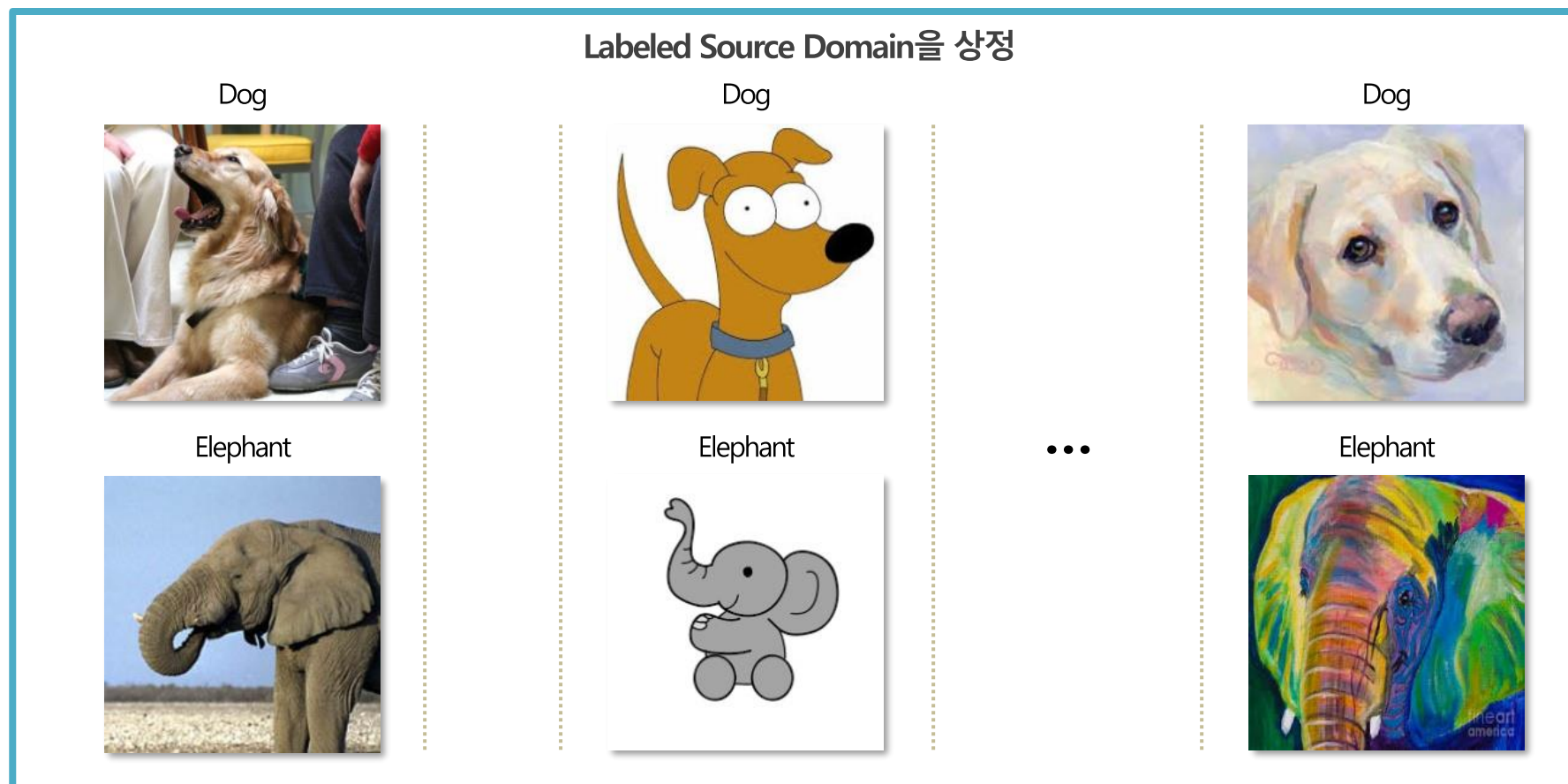
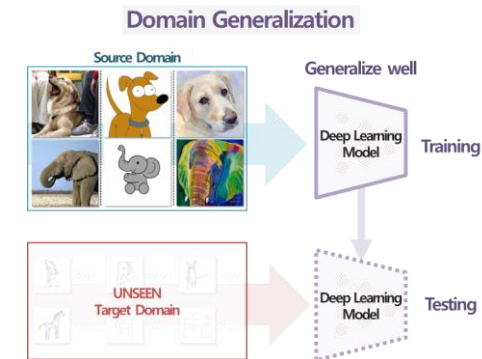
목적 : **Target Domain**에 대한 성능을 높이자!

Introduction

Preliminaries

❖ Problem Definition

$$\text{Source Domain } \mathcal{D}_S = \{(x_i, y_i)\}_{i=1}^{N_S} \sim P_{XY}$$



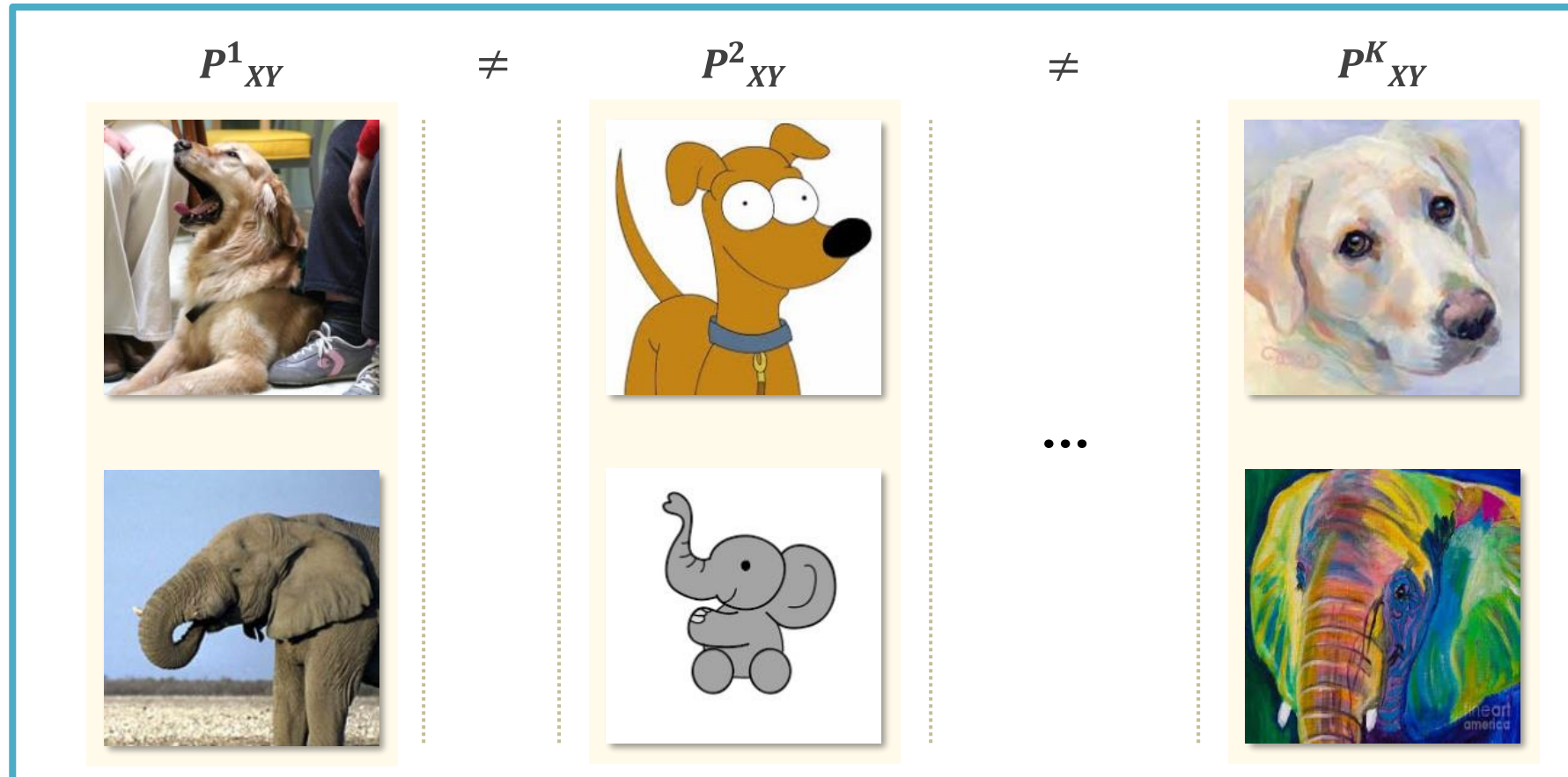
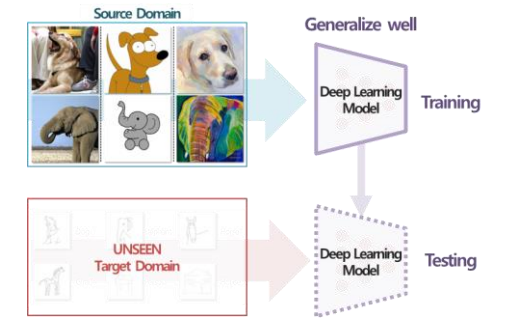
Introduction

Preliminaries

❖ Problem Definition

Multi-Source Domain $\mathcal{D}_S = \{\mathcal{D}_S^k\}_{k=1}^K$, $\mathcal{D}_S^k = \{(x_i^k, y_i^k)\}_{i=1}^{N_S^k} \sim P_{XY}^k$

Domain Generalization



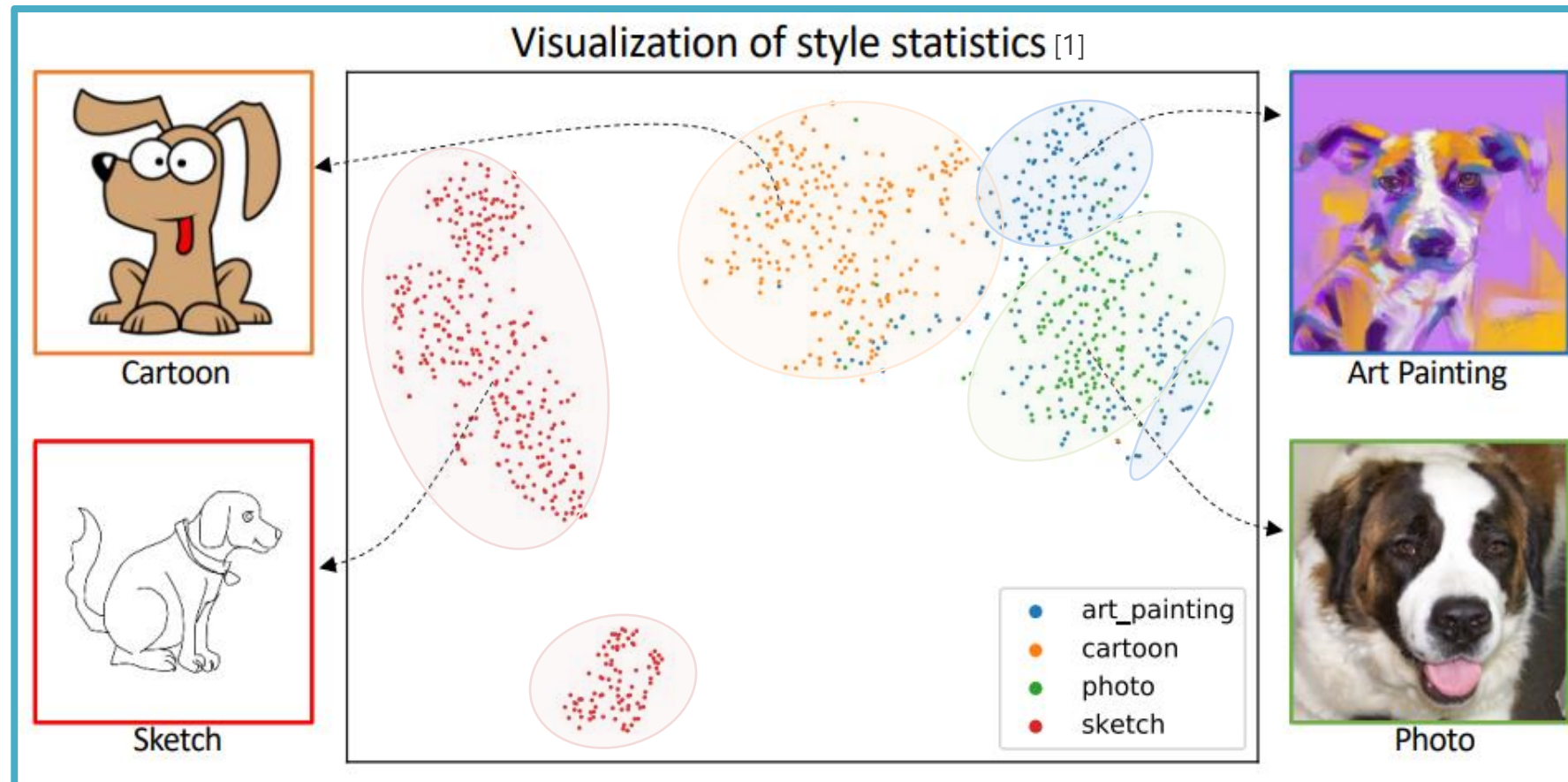
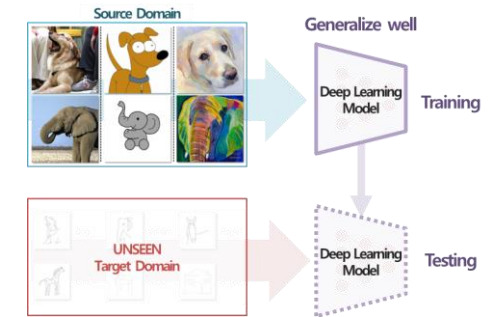
Introduction

Preliminaries

❖ Problem Definition

Multi-Source Domain $\mathcal{D}_S = \{\mathcal{D}_S^k\}_{k=1}^K$, $\mathcal{D}_S^k = \{(x_i^k, y_i^k)\}_{i=1}^{N_S^k} \sim P^k_{XY}$

Domain Generalization

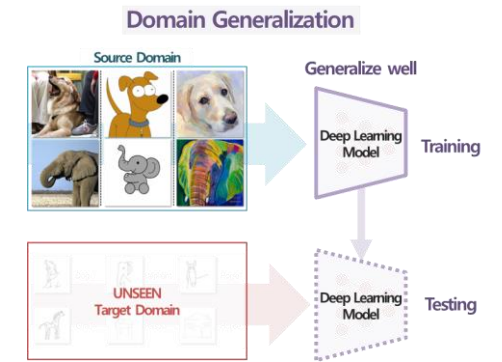


Introduction

Preliminaries

❖ Problem Definition

Multi-Source Domain $\mathcal{D}_S = \{\mathcal{D}_S^k\}_{k=1}^K$, $\mathcal{D}_S^k = \{(x_i^k, y_i^k)\}_{i=1}^{N_S^k} \sim P^k_{XY}$



$P^1_{XY} \neq P^2_{XY} \neq \dots \neq P^K_{XY}$

Learn a ROBUST and GENERALIZABLE prediction function h

$$h: \mathcal{X} \rightarrow \mathcal{Y}$$

$\min_h \mathbb{E}_{(x,y) \sim \mathcal{D}_T} [\ell(h(x), y)], \dots$

where $\mathbb{E}$ is the expectation and $\ell(\cdot, \cdot)$ is the loss function.

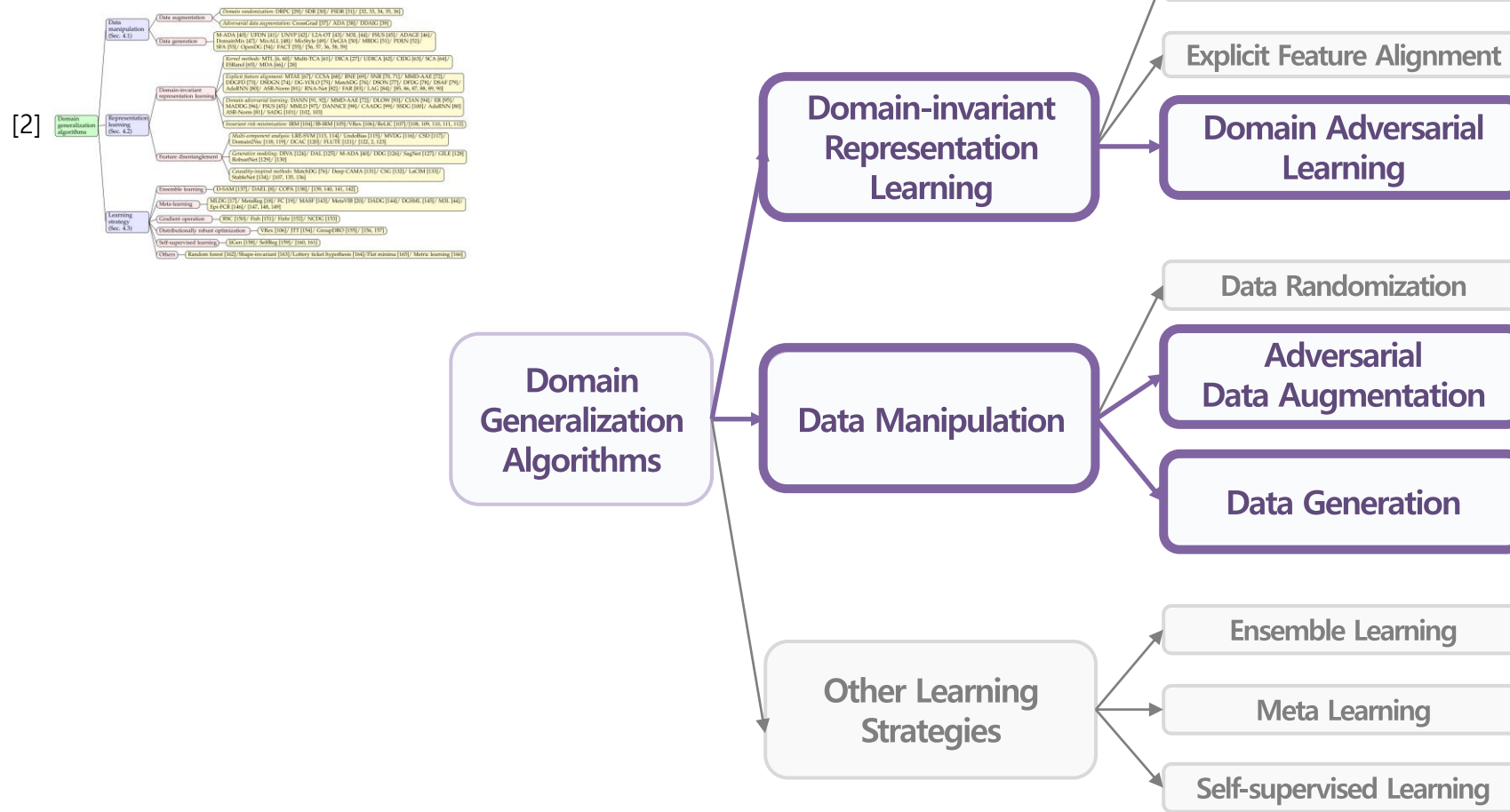
Target Domain에 대한 성능을 높이자!

The visual representation shows a grid of images. The top row contains three images: a dog (labeled P^1_{XY}), a cartoon dog (labeled P^2_{XY}), and a dog (labeled P^K_{XY}). The bottom row contains three images: a dog (labeled P^1_{XY}), a cartoon dog (labeled P^2_{XY}), and a dog (labeled P^K_{XY}). The images are separated by vertical dashed lines, indicating different domains. The text 'Learn a ROBUST and GENERALIZABLE prediction function h ' is centered over the images. Below the images, the mathematical expression for minimizing the loss is shown, followed by an explanation of the symbols and a goal statement in Korean: 'Target Domain에 대한 성능을 높이자!' (Let's improve performance on the Target Domain!).

Introduction

Preliminaries

❖ Taxonomy of Domain Generalization[2]



230721 DMQA Open Seminar:

Domain Generalization : How to improve the generalization ability of deep learning models?

2. Methods

(1) Domain-invariant Representation Learning

Methods: Preliminaries

Domain Adversarial Learning

❖ Domain Adversarial Training of Neural Networks (DANN)[3]

- 2016년에 제안된 Domain Adaptation 방법론 (JMLR, 23년 7월 기준 7116회 인용)
- GAN[4]에서 제안된 '적대적 학습(Adversarial Learning)' 개념을 차용하여 Domain 간 차이 최소화

Domain-Adversarial Training of Neural Networks

Abstract

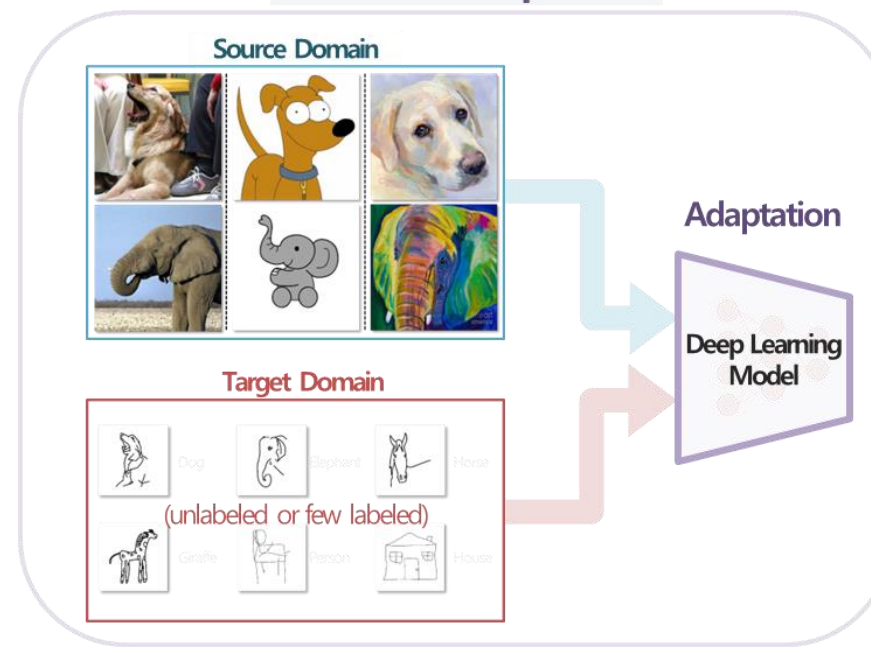
We introduce a new representation learning approach for domain adaptation, in which data at training and test time come from similar but different distributions. Our approach is directly inspired by the theory on domain adaptation suggesting that, for effective domain transfer to be achieved, predictions must be made based on features that cannot discriminate between the training (source) and test (target) domains.

The approach implements this idea in the context of neural network architectures that are trained on labeled data from the source domain and unlabeled data from the target domain (no labeled target-domain data is necessary). As the training progresses, the approach promotes the emergence of features that are (i) discriminative for the main learning task on the source domain and (ii) indiscriminate with respect to the shift between the domains. We show that this adaptation behaviour can be achieved in almost any feed-forward model by augmenting it with few standard layers and a new *gradient reversal* layer. The resulting augmented architecture can be trained using standard backpropagation and stochastic gradient descent, and can thus be implemented with little effort using any of the deep learning packages.

We demonstrate the success of our approach for two distinct classification problems (document sentiment analysis and image classification), where state-of-the-art domain adaptation performance on standard benchmarks is achieved. We also validate the approach for descriptor learning task in the context of person re-identification application.

Keywords: domain adaptation, neural network, representation learning, deep learning, synthetic data, image classification, sentiment analysis, person re-identification

Domain Adaptation

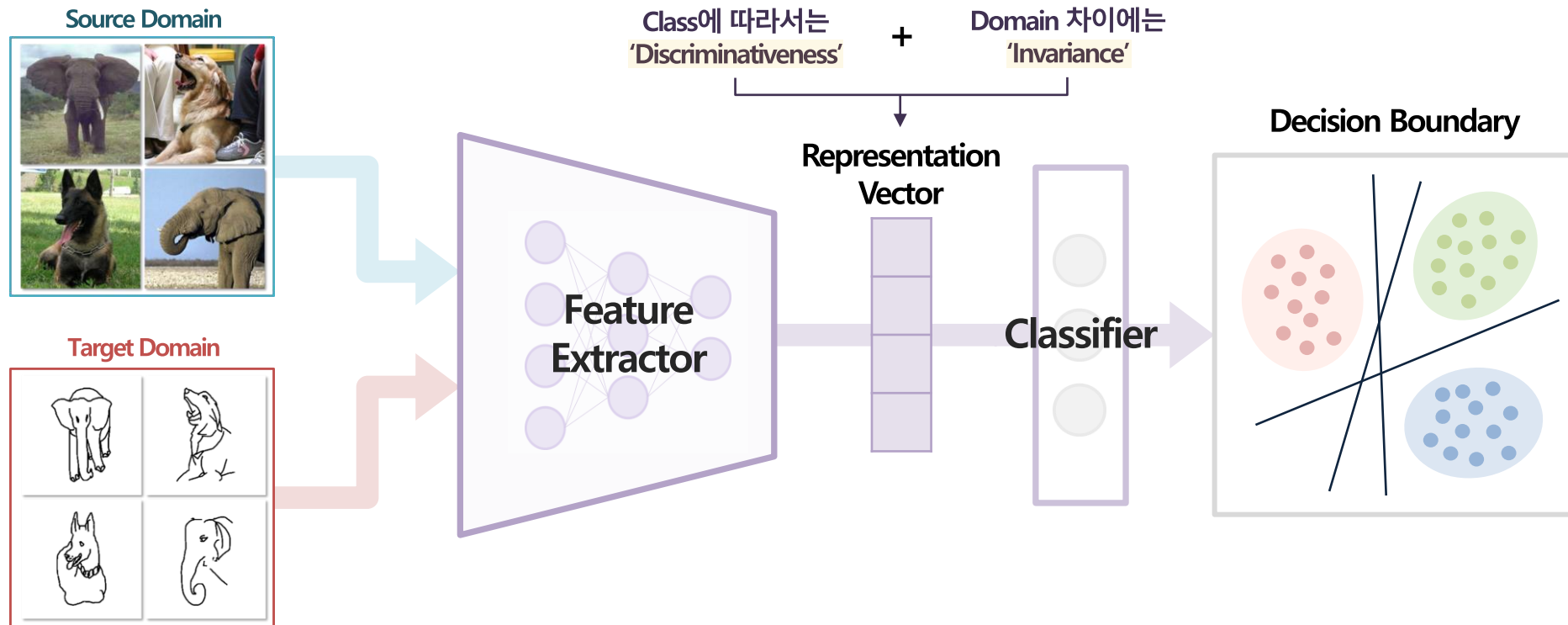


Methods: Preliminaries

Domain Adversarial Learning

❖ Domain Adversarial Training of Neural Networks (DANN)[3]

- **Motivation** : Domain Adaptation과 Representation Learning을 하나의 학습 과정 안에서 해결하자
 - 이로써 최종적인 결정 경계(decision boundary)가 'discriminative' 하면서도 'domain-invariant'한 representation으로부터 형성 가능

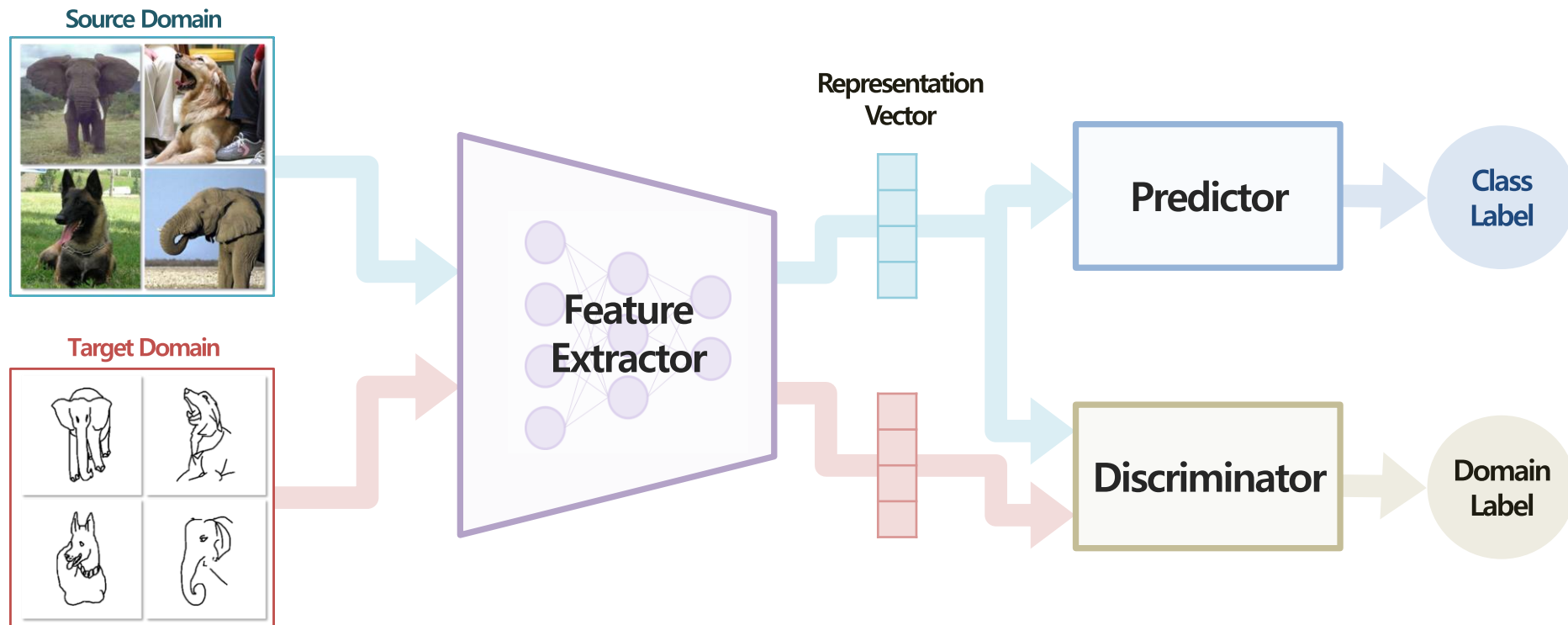


Methods: Preliminaries

Domain Adversarial Learning

❖ Domain Adversarial Training of Neural Networks (DANN)[3]

- “**Discriminator**”라는 Classifier를 덧붙인 형태
 - Input representation이 어느 Domain에서 비롯된 것인지를 분류하는 Classifier

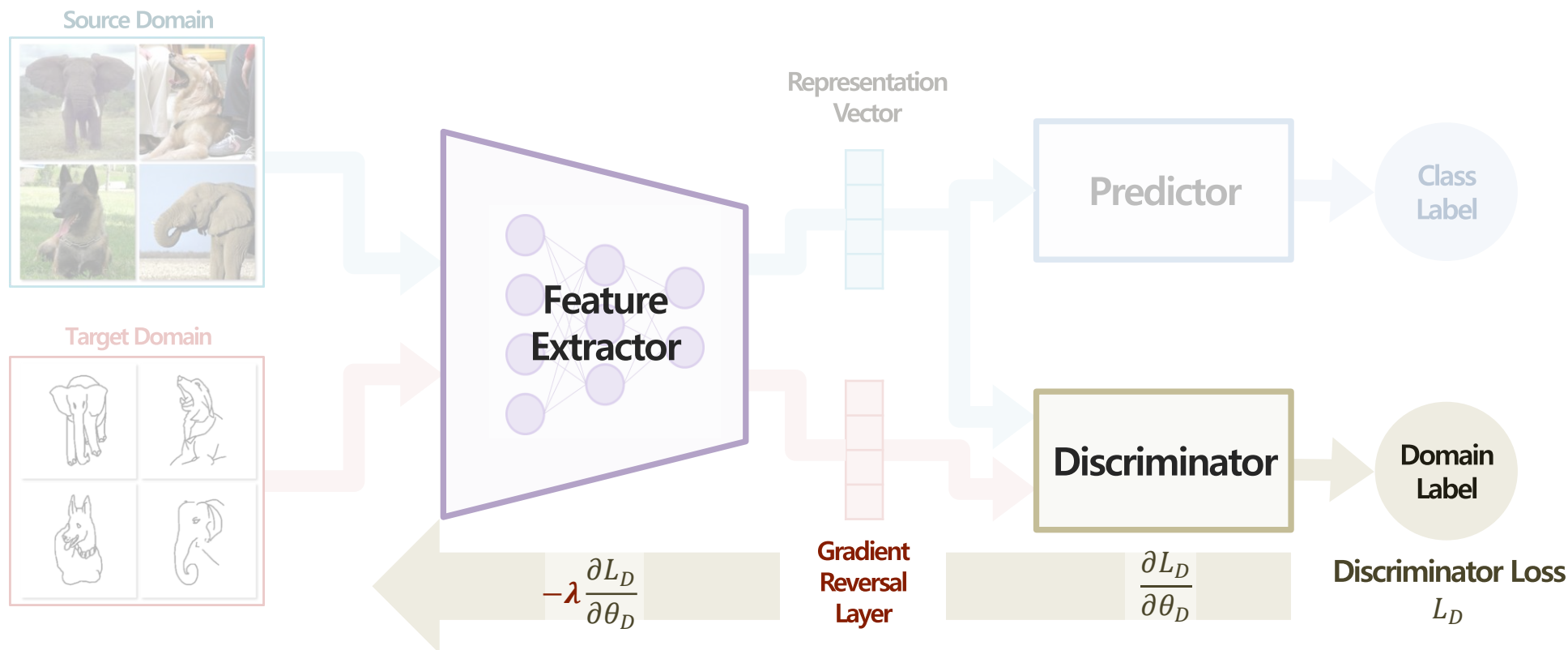


Methods: Preliminaries

Domain Adversarial Learning

❖ Domain Adversarial Training of Neural Networks (DANN)[3]

- **"Discriminator"**라는 Classifier를 덧붙인 형태
 - Input representation이 어느 Domain에서 비롯된 것인지를 분류하는 Classifier → 역전파 시 gradient에 negative scalar($-\lambda$)를 곱함

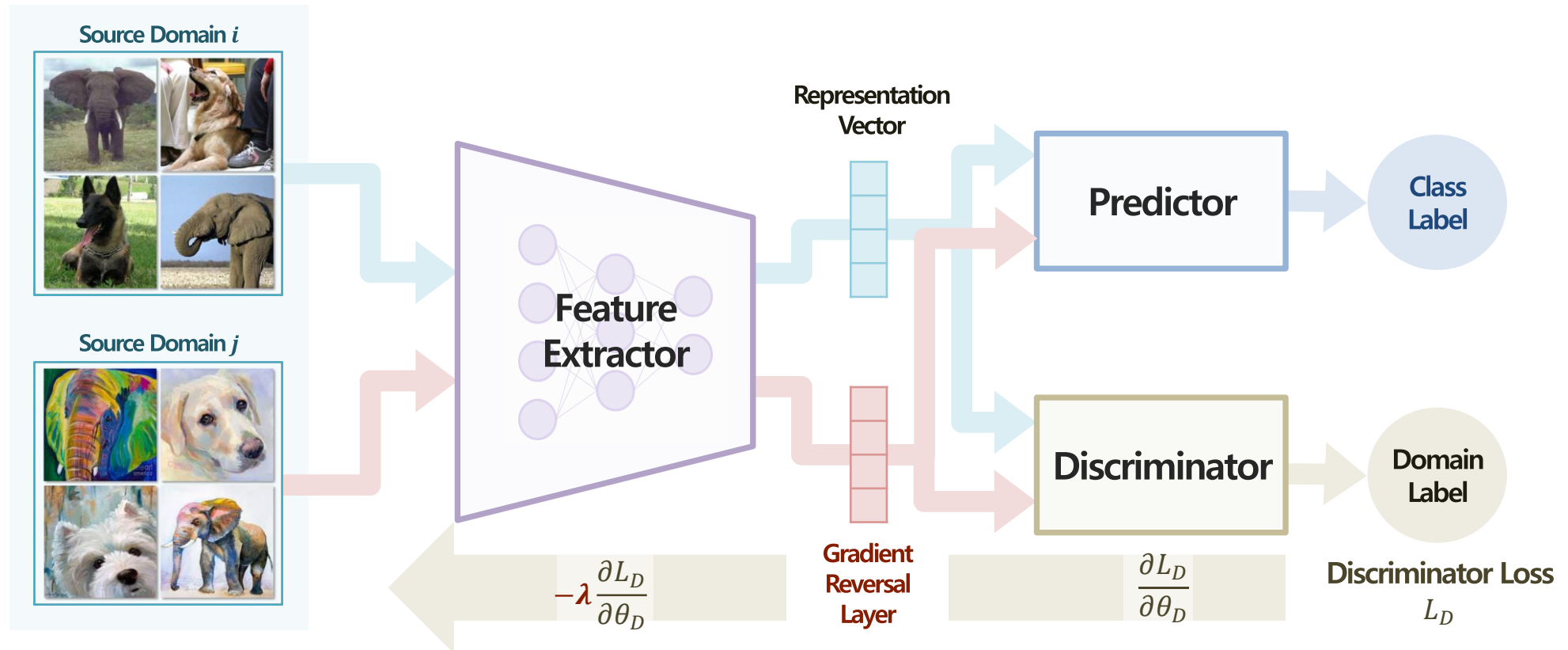


Methods

1. Domain-invariant Representation Learning with Adversarial Learning

❖ DANN[3] with Domain Generalization

- Source Domain-invariant Representation 추출
 - Discriminator** : Source Domain 두 쌍에 대한 Binary Classification or K개의 Source Domain에 대한 Multi-class Classification 수행

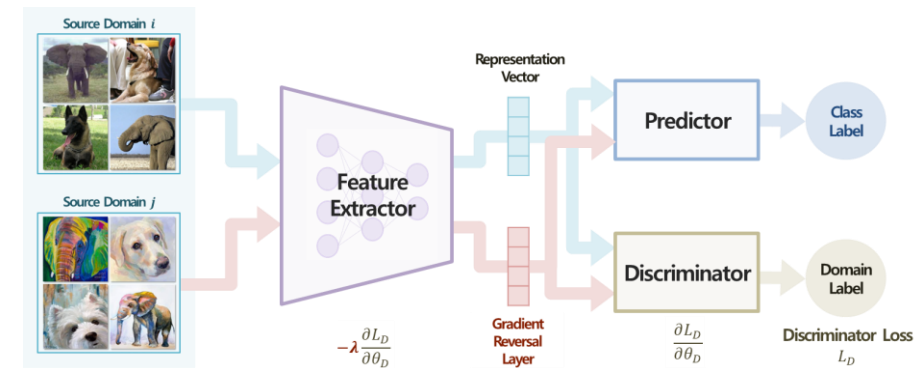


Methods

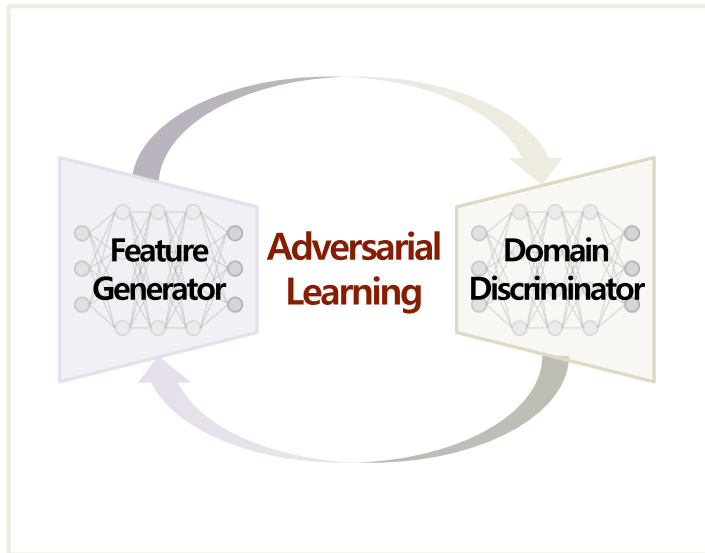
1. Domain-invariant Representation Learning with Adversarial Learning

❖ Limitations of Domain Adversarial Learning

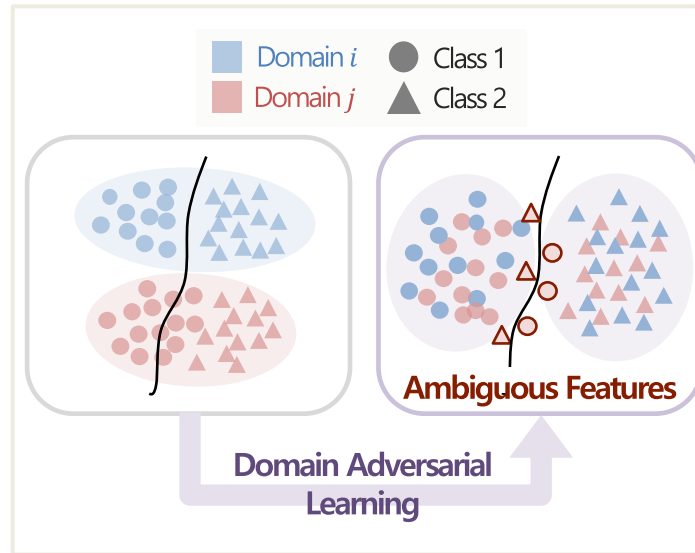
1. 적대적 학습(Adversarial Learning)의 불안정성[5]
2. 결정 경계(Decision Boundary) 근처의 Ambiguous Features를 생성하는 문제[6][7]
3. Domain Label을 필요로 하는 문제[7]



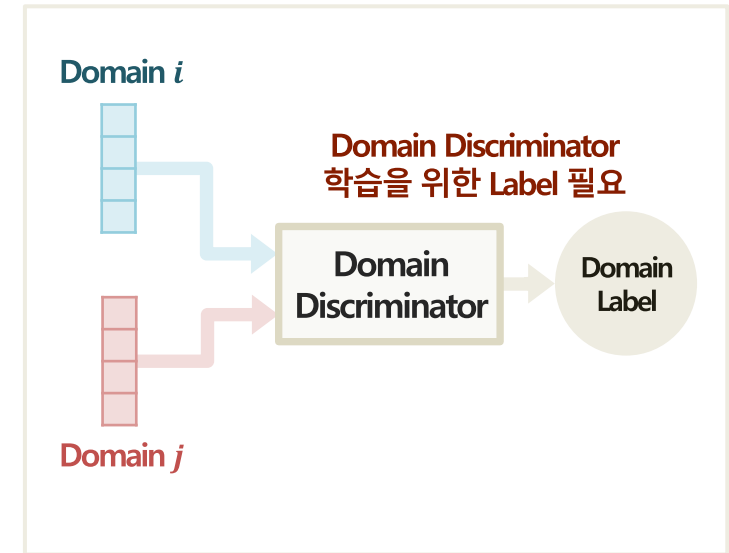
적대적 학습의 불안정성



결정 경계 근처의 features 생성



Domain Label 필요

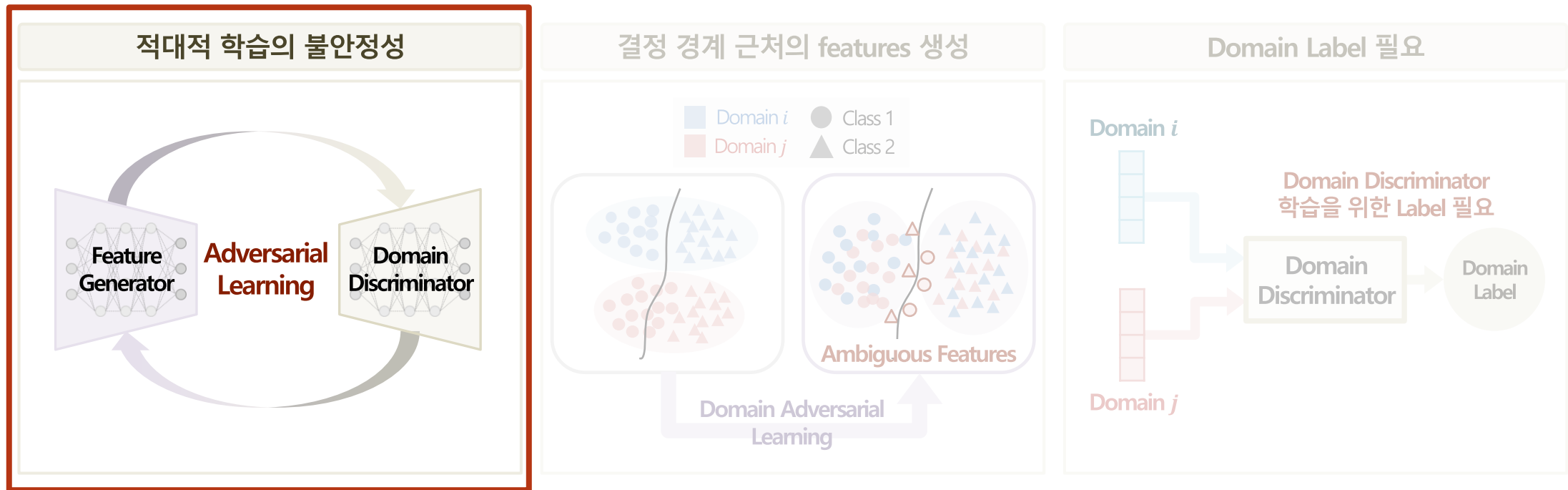
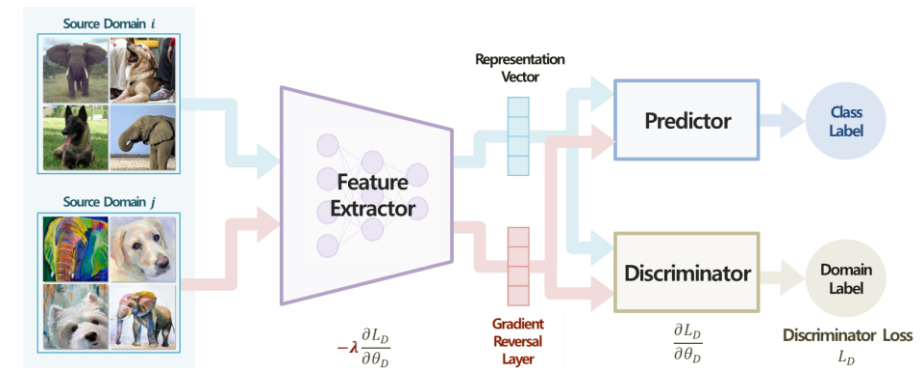


Methods

1. Domain-invariant Representation Learning with Adversarial Learning

❖ Limitations of Domain Adversarial Learning

1. 적대적 학습(Adversarial Learning)의 불안정성[5]
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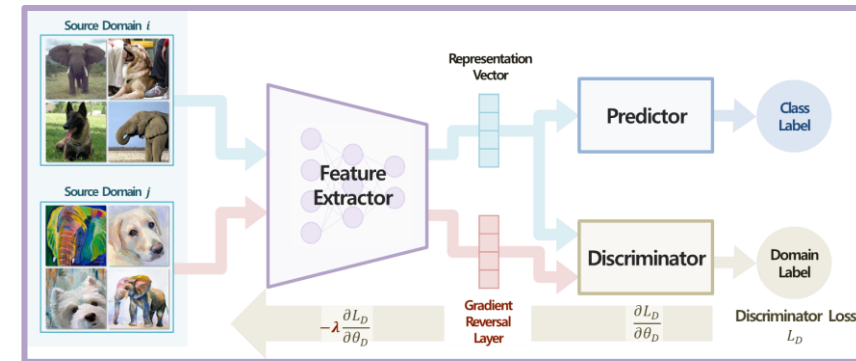
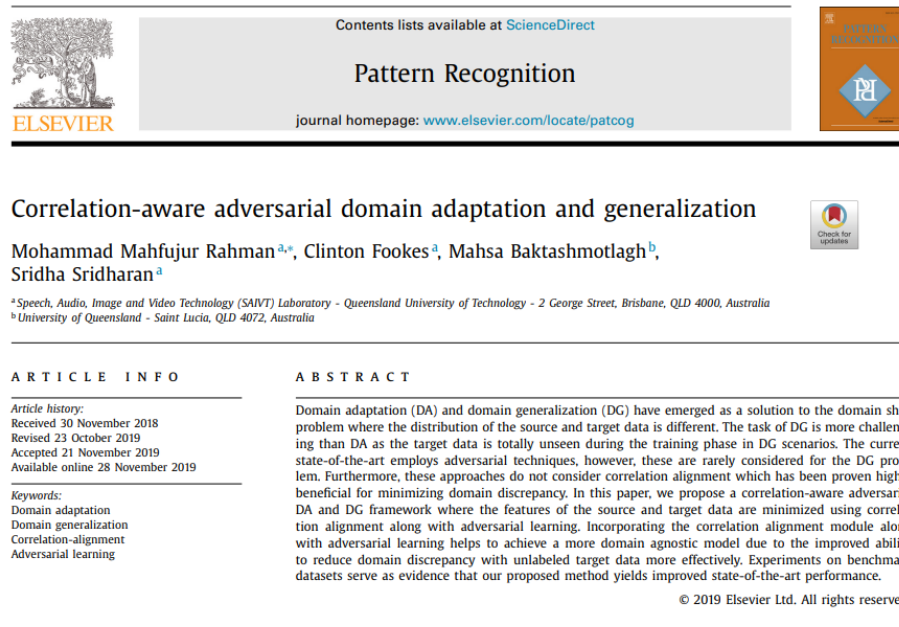
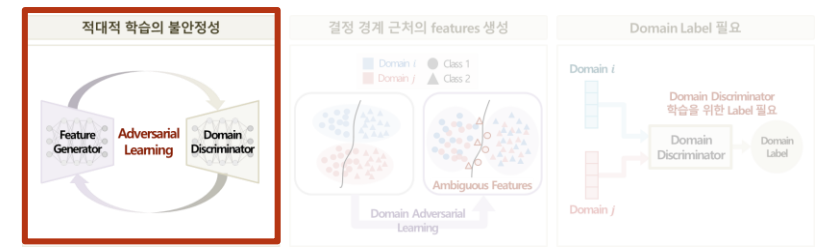


Methods

1. Domain-invariant Representation Learning with Adversarial Learning

❖ Correlation-aware Adversarial Domain Adaptation and Generalization[5]

- 2020년 제안된 Domain Adaptation & Domain Generalization 방법론 (Pattern Recognition, 23년 7월 기준 89회 인용)
- Domain Adversarial Learning 구조에 CORAL loss[8]를 더하여 Domain Alignment 효과 극대화



+

$$\ell_{CORAL} = \frac{1}{4d^2} \|C_S - C_T\|_F^2,$$

where $\|\cdot\|_F^2$ denotes the squared matrix Frobenius norm,

$$C_S = \frac{1}{n_S - 1} (D_S^T D_S - \frac{1}{n_S} (1^T D_S)^T (1^T D_S)),$$

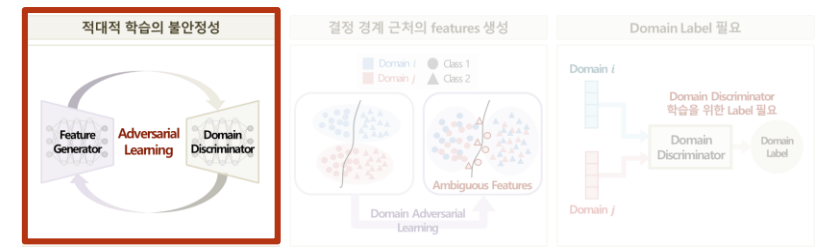
$$C_T = \frac{1}{n_T - 1} (D_T^T D_T - \frac{1}{n_T} (1^T D_T)^T (1^T D_T)).$$

Methods

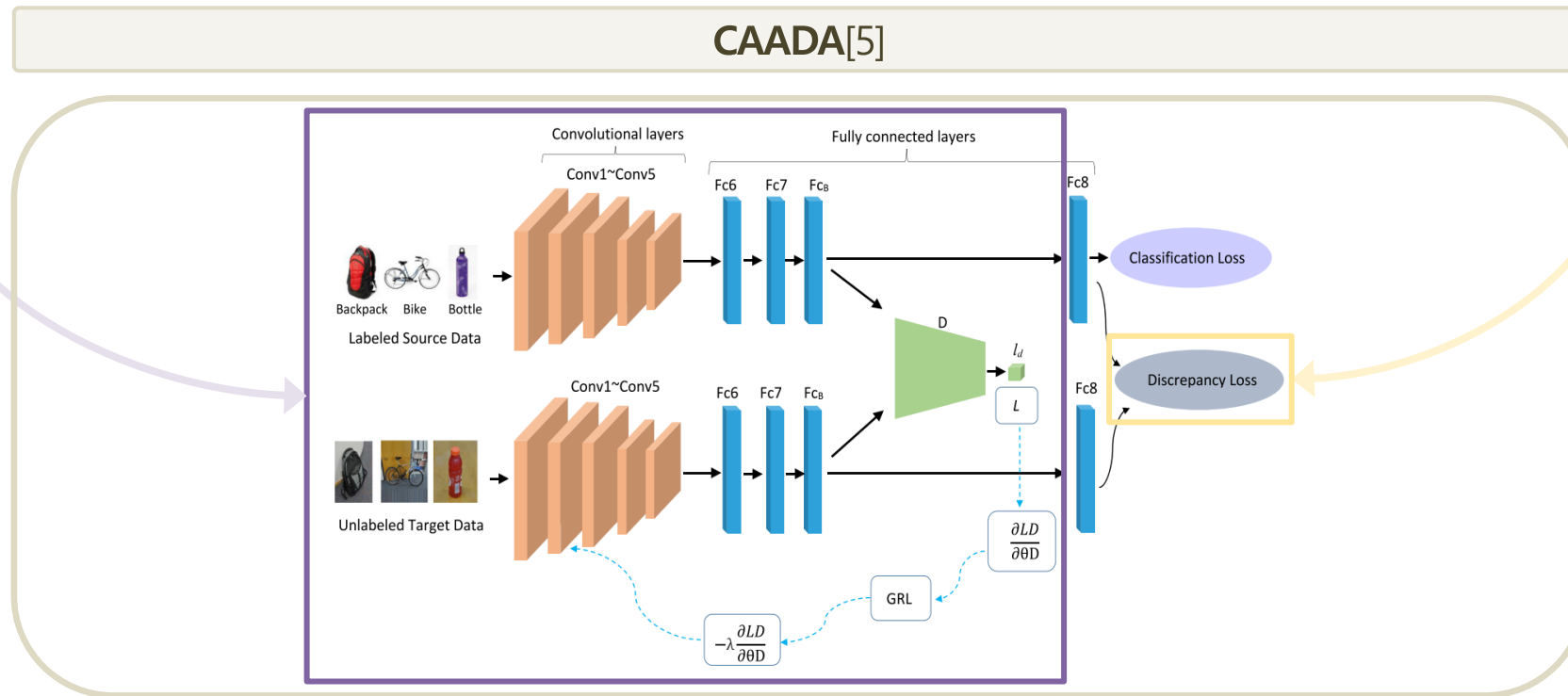
1. Domain-invariant Representation Learning with Adversarial Learning

❖ Correlation-aware Adversarial Domain Adaptation and Generalization[5]

- **Motivation** : Domain 간의 차이를 줄이기 위해서, Domain의 공분산까지 정렬(CORrelation ALignment)[8]해보자
 - Domain Adversarial Learning을 골자로 하여, Domain Alignment 효과 극대화 가능



$$\ell_{CORAL} = \frac{1}{4d^2} \|C_S - C_T\|_F^2,$$

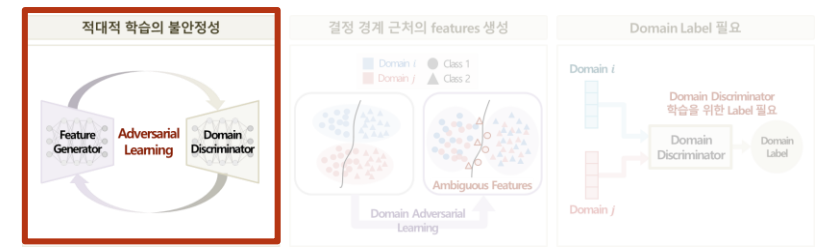


Methods

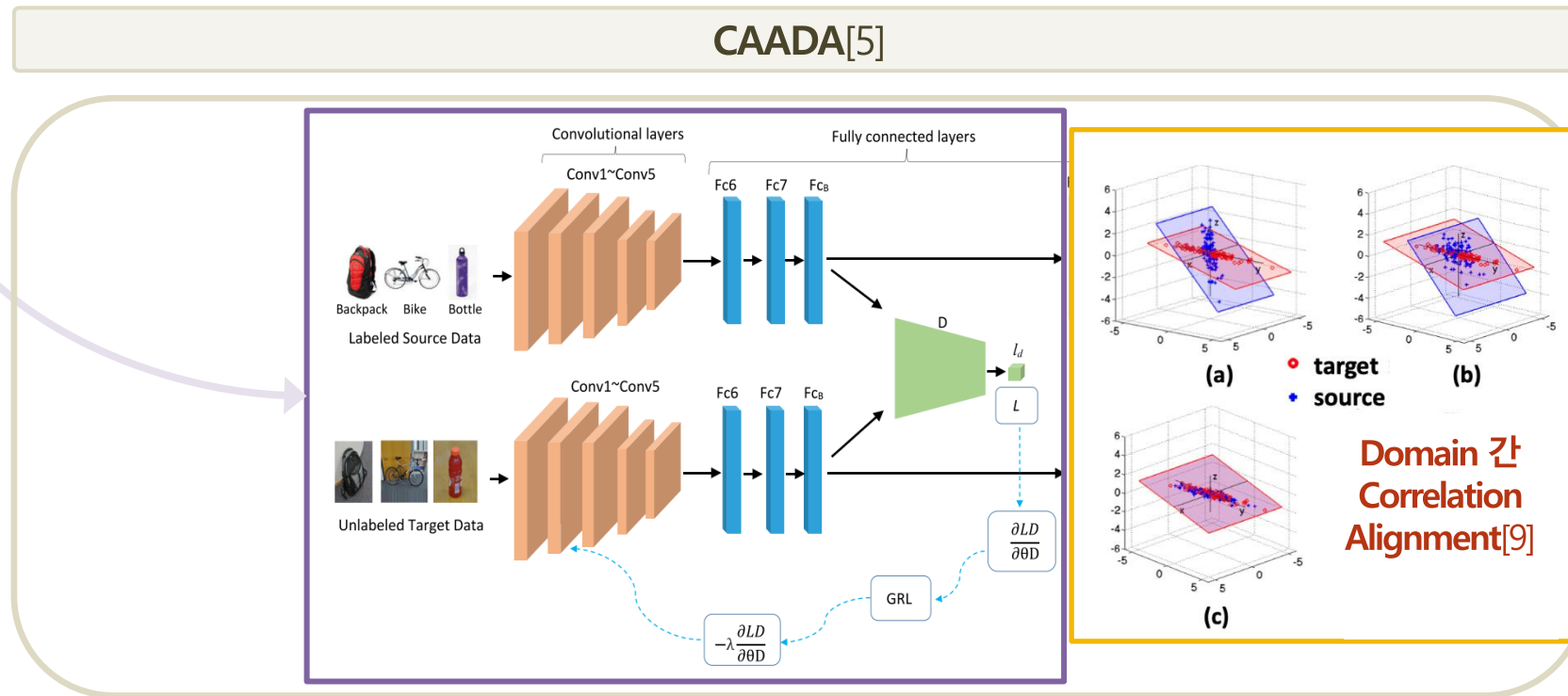
1. Domain-invariant Representation Learning with Adversarial Learning

❖ Correlation-aware Adversarial Domain Adaptation and Generalization[5]

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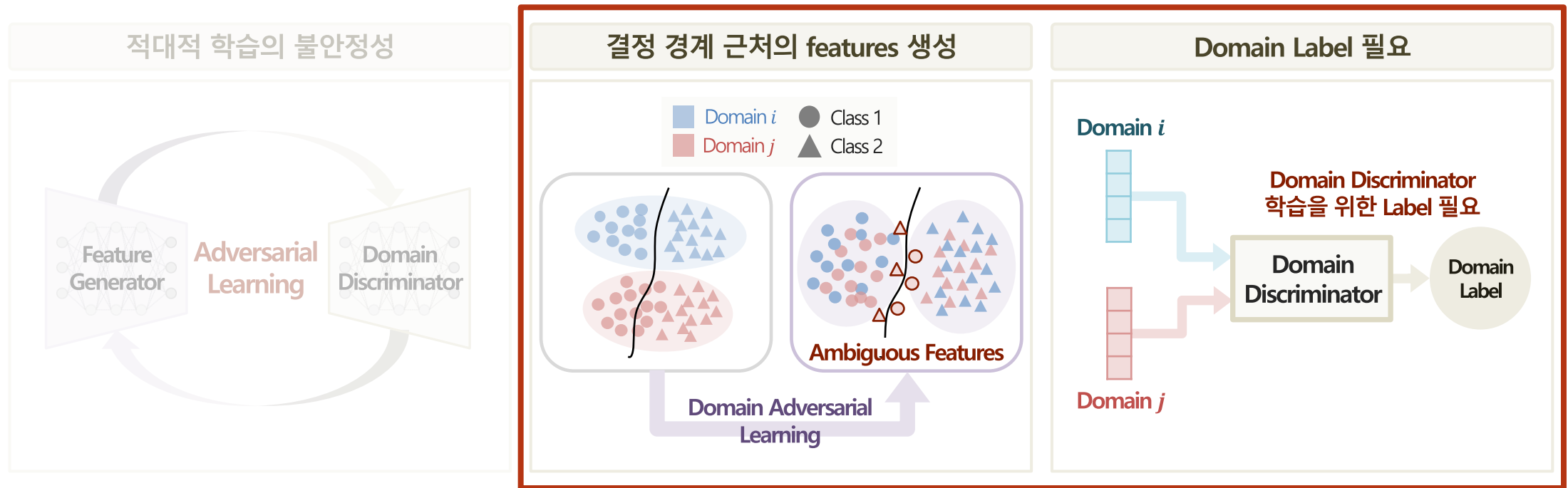
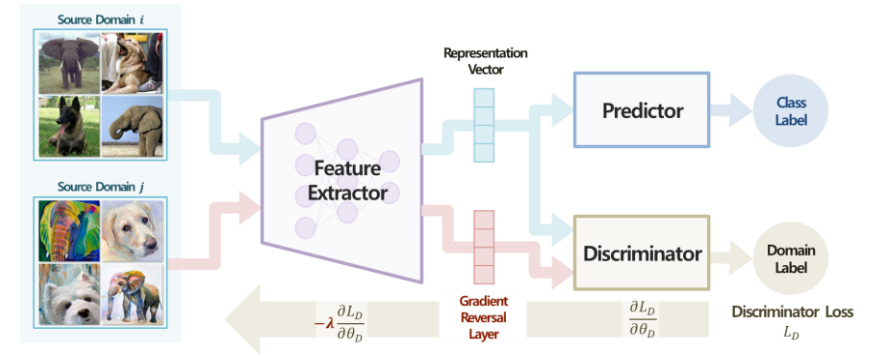


Methods

1. Domain-invariant Representation Learning with Adversarial Learning

❖ Limitations of Domain Adversarial Learning

1. 적대적 학습(Adversarial Learning)의 불안정성[5]
2. 결정 경계(Decision Boundary) 근처의 ambiguous features를 생성하는 문제[6][7]
3. Domain label을 필요로 하는 문제[7]

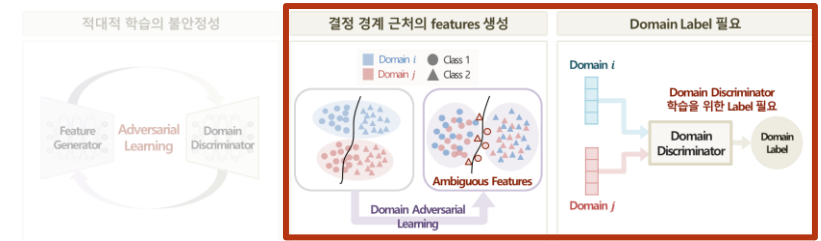


Methods

1. Domain-invariant Representation Learning with Adversarial Learning

❖ Domain Generalization using a Mixture of Multiple Latent Domains[7]

- 2020년 제안된 Domain Generalization 방법론 (AAAI, 23년 7월 기준 224회 인용)
- Domain Label이 없는 경우에도 가능한 Domain Adversarial Learning 방법론 제안



Domain Generalization Using a Mixture of Multiple Latent Domains

Toshihiko Matsuura,¹ Tatsuya Harada^{1,2}

¹The University of Tokyo, ²RIKEN
{matsuura, harada}@mi.t.u-tokyo.ac.jp

Abstract

When domains, which represent underlying data distributions, vary during training and testing processes, deep neural networks suffer a drop in their performance. Domain generalization allows improvements in the generalization performance for unseen target domains by using multiple source domains. Conventional methods assume that the domain to which each sample belongs is known in training. However, many datasets, such as those collected via web crawling, contain a mixture of multiple latent domains, in which the domain of each sample is unknown. This paper introduces domain generalization using a mixture of multiple latent domains as a novel and more realistic scenario, where we try to train a domain-generalized model without using domain labels. To address this scenario, we propose a method that iteratively divides samples into latent domains via clustering, and which trains the domain-invariant feature extractor shared among the divided latent domains via adversarial learning. We assume that the latent domain of images is reflected in their style, and thus, utilize style features for clustering. By using these features, our proposed method successfully discovers latent domains and achieves domain generalization even if the domain labels are not given. Experiments show that our proposed method can train a domain-generalized model without using domain labels. Moreover, it outperforms conventional domain generalization methods, including those that utilize domain labels.

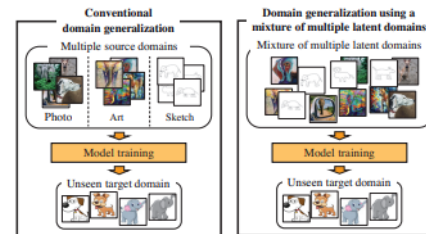
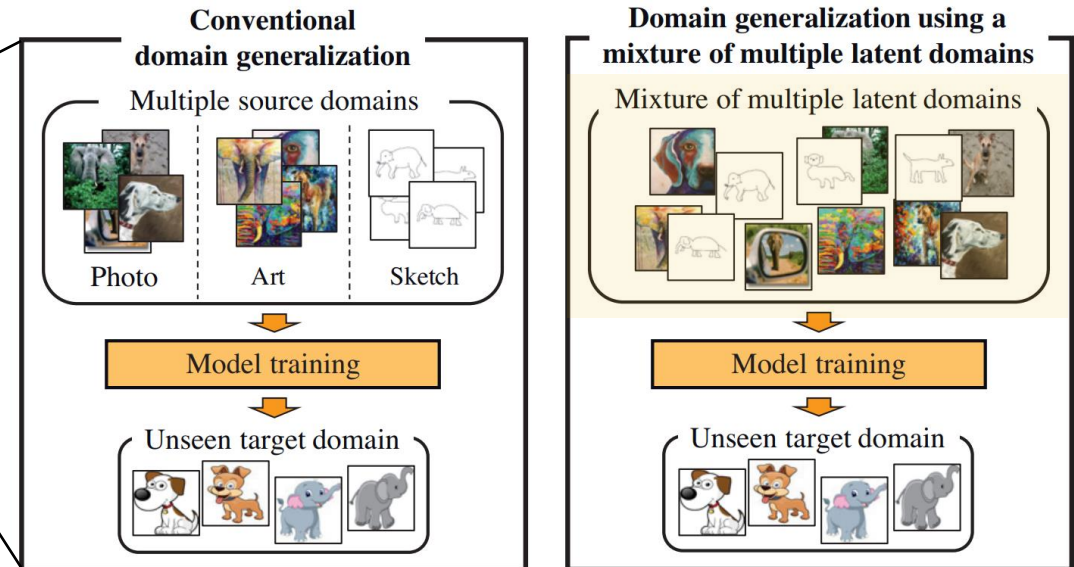


Figure 1: Unlike conventional domain generalization, domain generalization using a mixture of multiple latent domains aims to train a domain-generalized model without domain labels (e.g., Photo, Art, Sketch), which represent the domain to which each sample belongs.

domains. Considering the situation where a DNN is used for autonomous driving or robots in the real world, it is desirable to perform well under different conditions (e.g., illumination, types of objects) from the data given in training. Because we can access no samples in the target domain, domain generalization can be considered a more difficult and



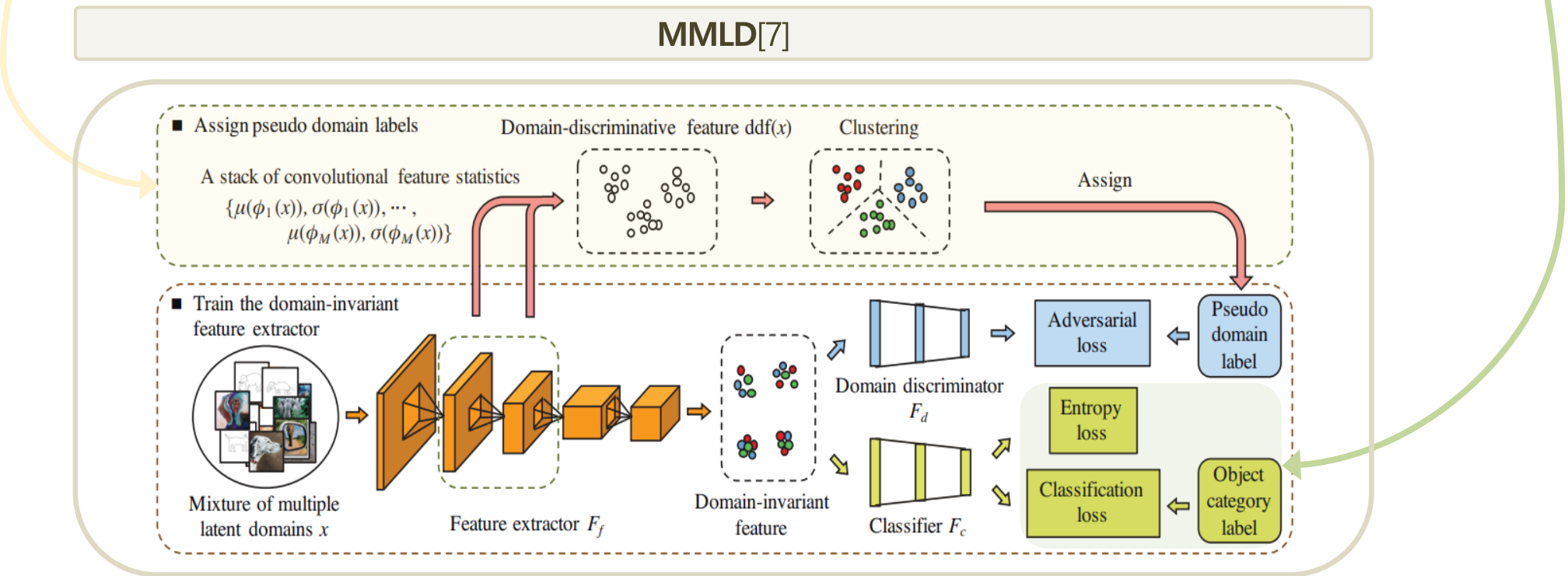
Methods

1. Domain-invariant Representation Learning with Adversarial Learning

❖ Domain Generalization using a Mixture of Multiple Latent Domains[7]

- **Motivation** : 사전에 Domain Label이 없는 경우에도 가능한 Domain Adversarial Learning 방법론이 필요

- (1) Pseudo Domain Labels 생성을 통한 Adversarial Learning 수행, (2) Entropy Minimization을 통한 Discriminative Features 추출

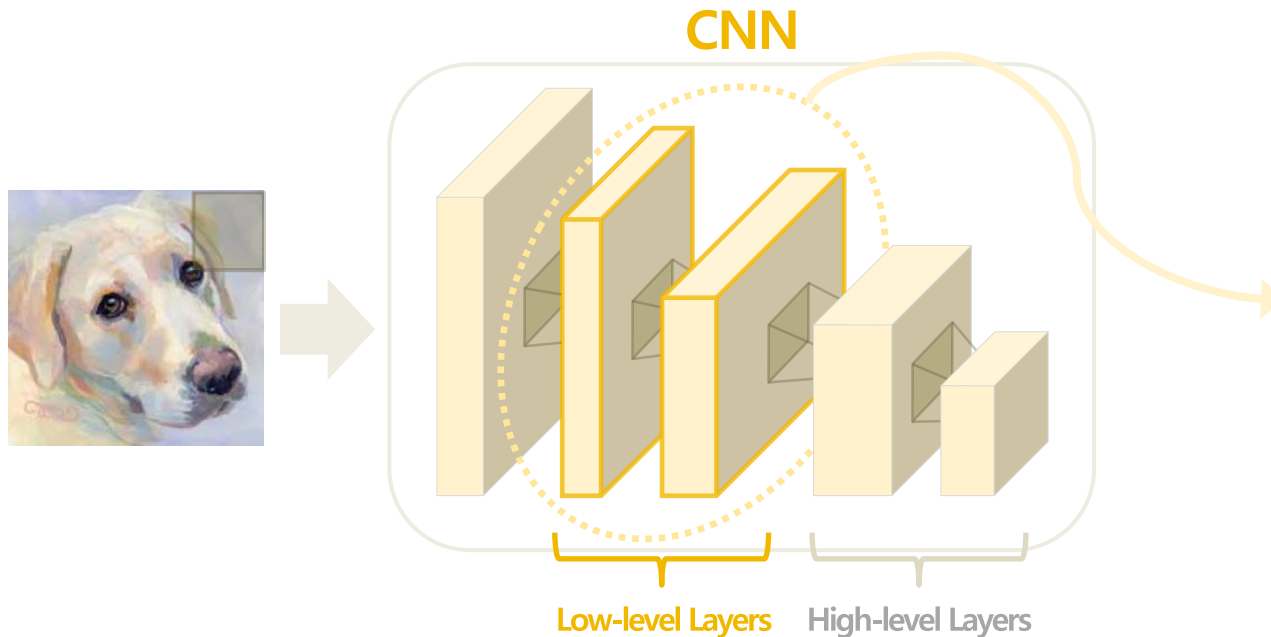
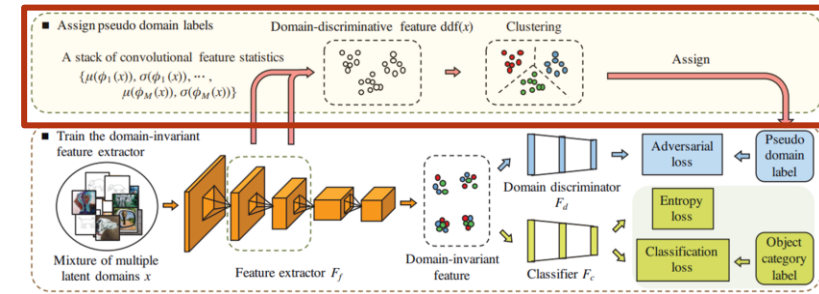


Methods

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- Domain을 구분할 수 있는 **Domain-discriminative Features** 추출 필요!
- 가설: Latent domain of images is reflected in their "style"



4.1 Different Style Representations [10]

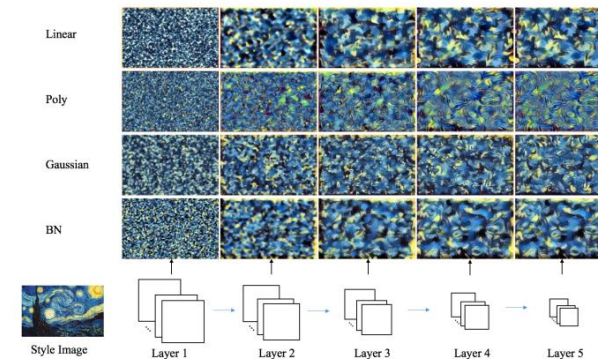


Figure 1: Style reconstructions of different methods in five layers, respectively. Each row corresponds to one method and the reconstruction results are obtained by only using the style loss $\mathcal{L}_{style}$ with $\alpha = 0$. We also reconstruct different style representations in different subsets of layers of VGG network. For example, layer 3 contains the style loss of the first 3 layers ($w_1 = w_2 = w_3 = 1.0$ and $w_4 = w_5 = 0.0$).

Layer마다 추출되는 feature map 특징이 다름

Methods

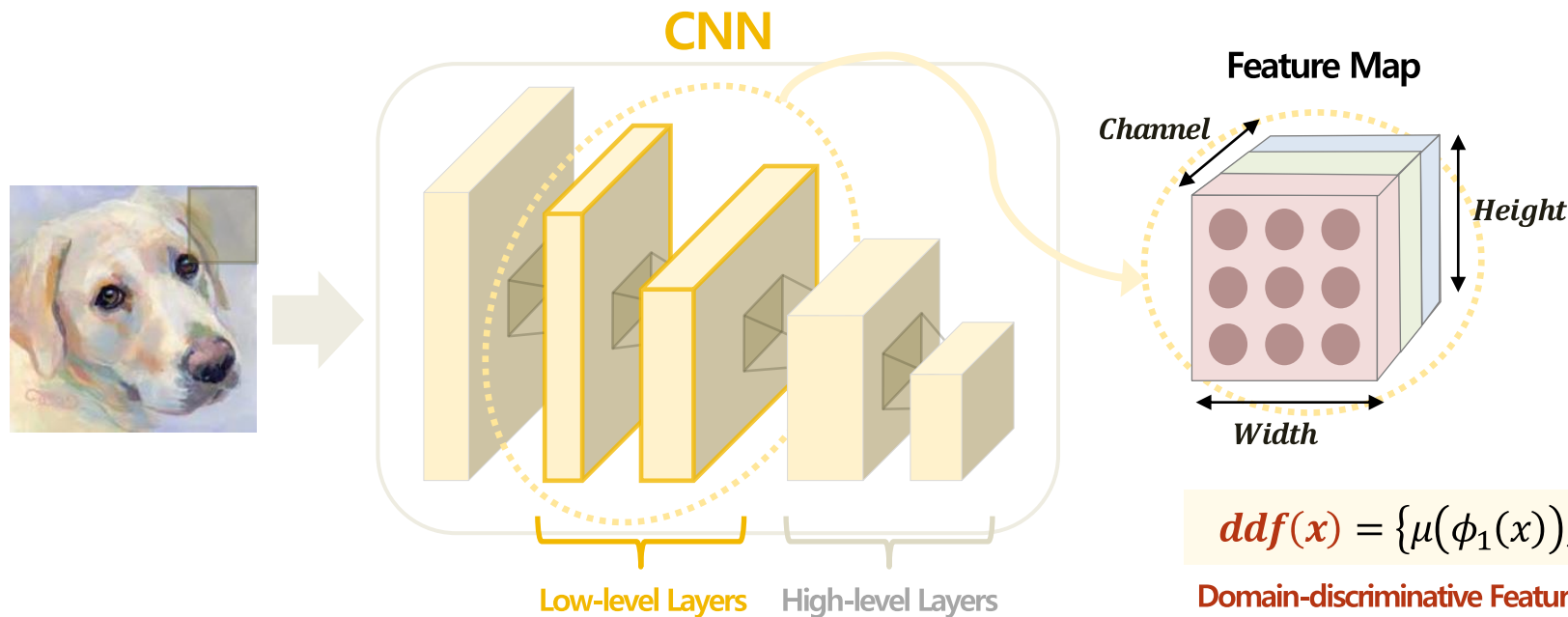
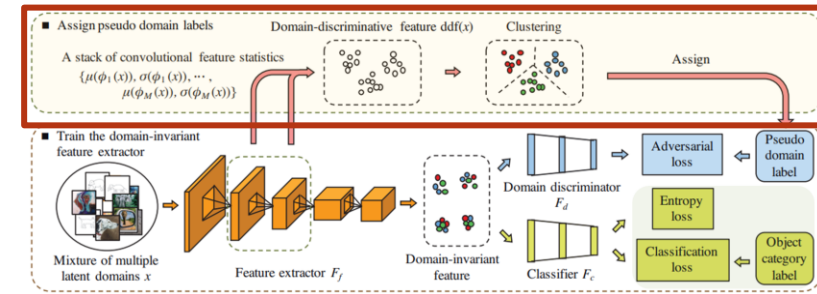
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Feature Statistics 추출[10]

$$\mu_c(x) = \frac{1}{HW} \sum_{h=1}^H \sum_{w=1}^W x_{c,h,w}$$

$$\sigma_c(x) = \sqrt{\frac{1}{HW} \sum_{h=1}^H \sum_{w=1}^W (x_{c,h,w} - \mu_c(x))^2 + \epsilon}$$

$$ddf(x) = \{\mu(\phi_1(x)), \sigma(\phi_1(x)), \dots, \mu(\phi_M(x)), \sigma(\phi_M(x))\}$$

Domain-discriminative Features : M개의 Layers로부터 추출된 Feature Statistics

Methods

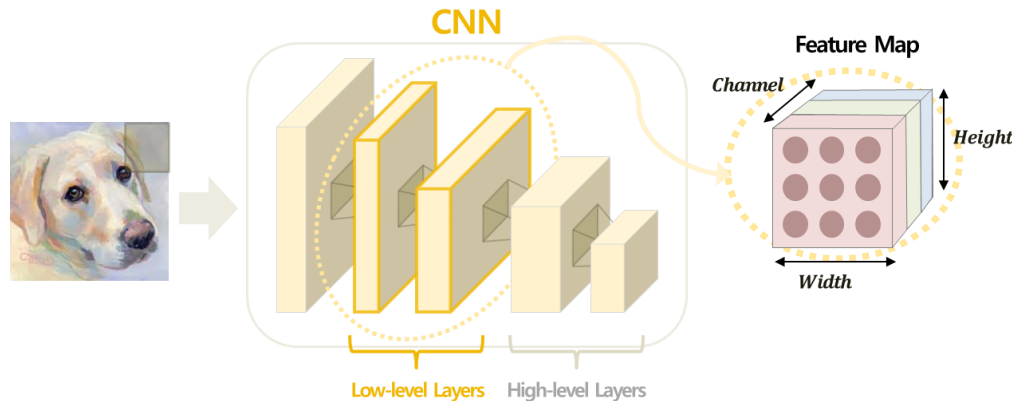
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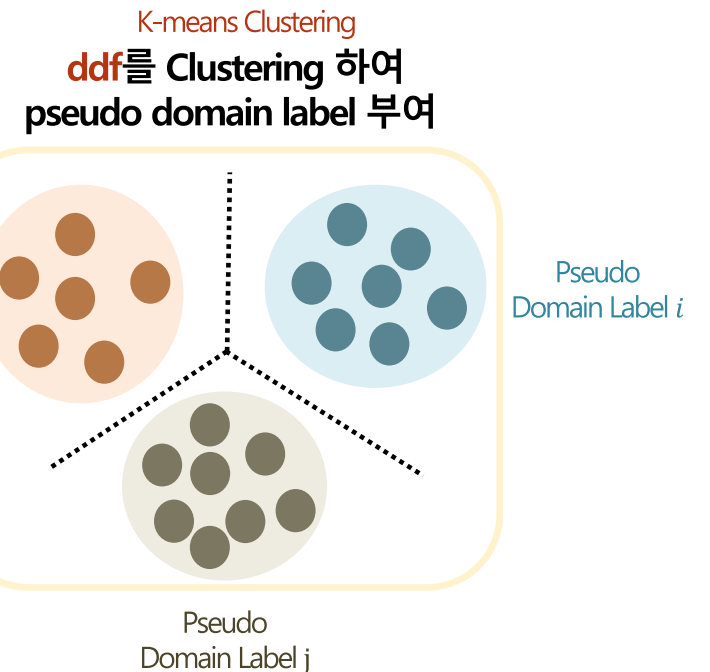
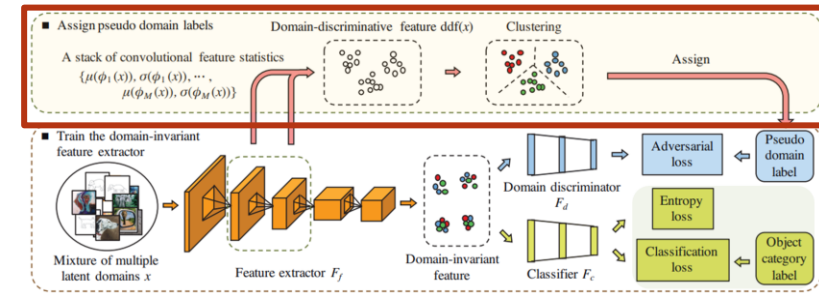
→ Domain을 구분할 수 있는 **Domain-discriminative Features** 추출 필요!

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$$ddf(x) = \{\mu(\phi_1(x)), \sigma(\phi_1(x)), \dots, \mu(\phi_M(x)), \sigma(\phi_M(x))\}$$

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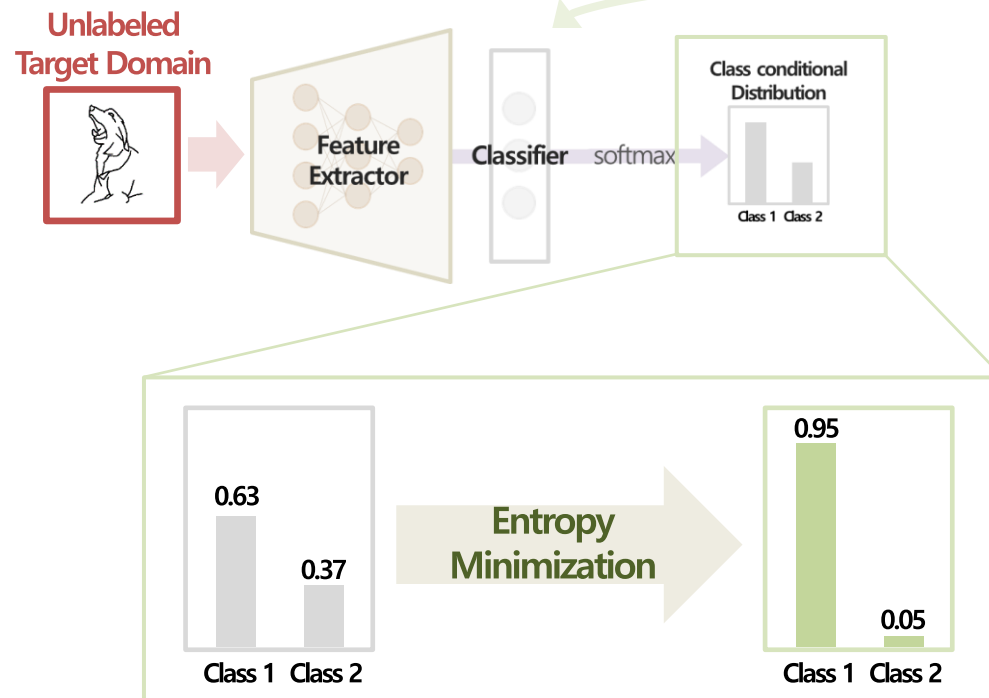
→ 이전 epoch의 domain label과 비교하며 반복적으로 reassign

Methods

1. Domain-invariant Representation Learning with Adversarial Learning

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→ **Class-discriminative Features 추출 필요!**

→ **가설**: Minimizing class-conditional distribution entropy encourages the low-density separation between classes

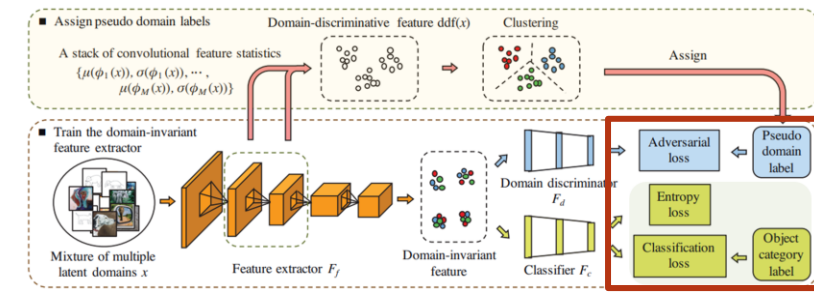
Domain Adaptation[11]

$$\min_{h_t} \frac{1}{N_t} \sum_{i=1}^{N_t} H(h_t(x_i^t)),$$

where $H(h_t(x_i^t)) = - \sum_{j=1}^c f_j^t(x_i^t) \log f_j^t(x_i^t)$

c = the number of classes

$f_j^t(x_i^t)$ = the probability of predicting point x_i^t to class j



Methods

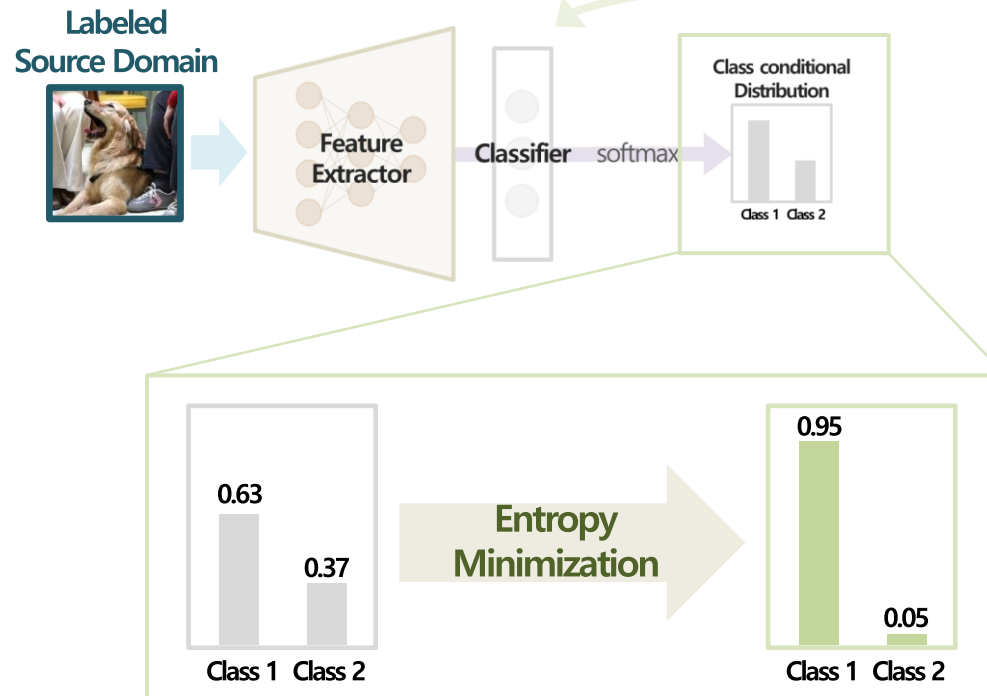
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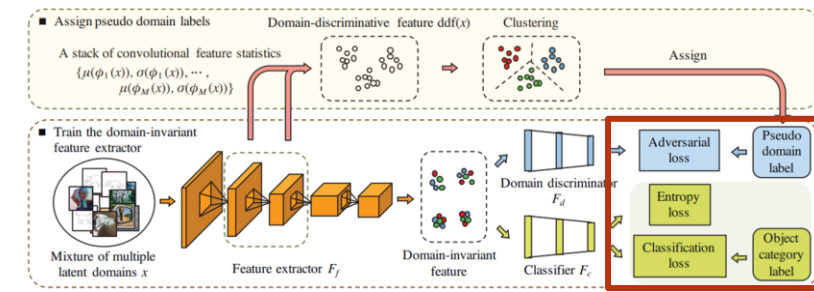
Domain Generalization[7]

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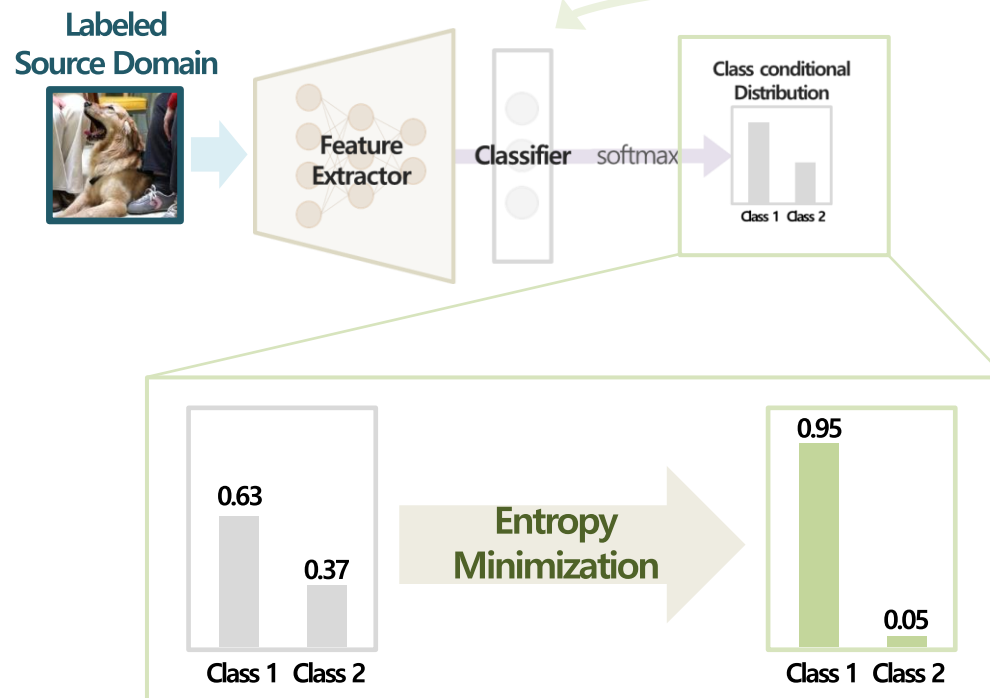


Methods

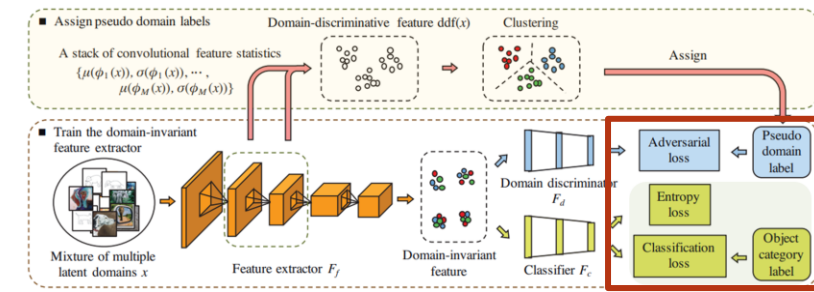
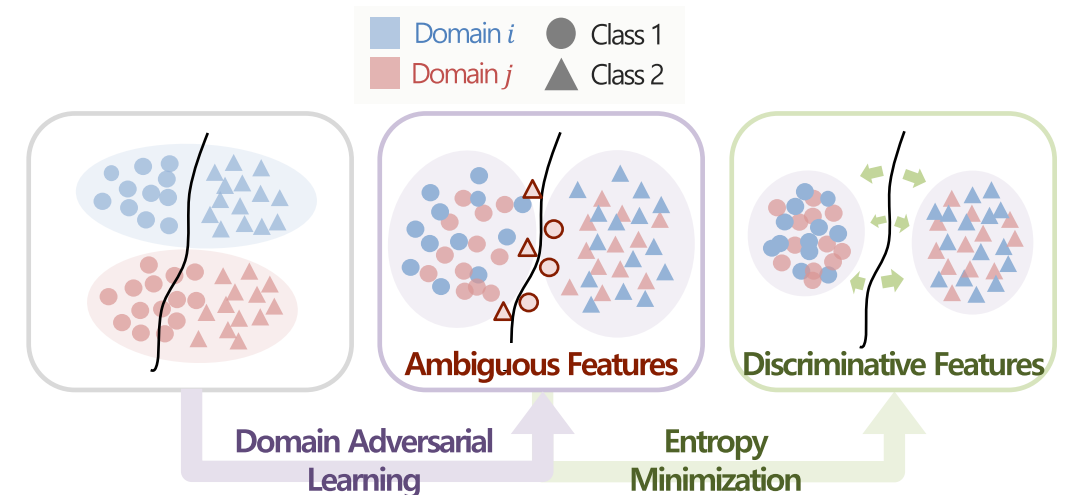
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Methods

1. Domain-invariant Representation Learning with Adversarial Learning

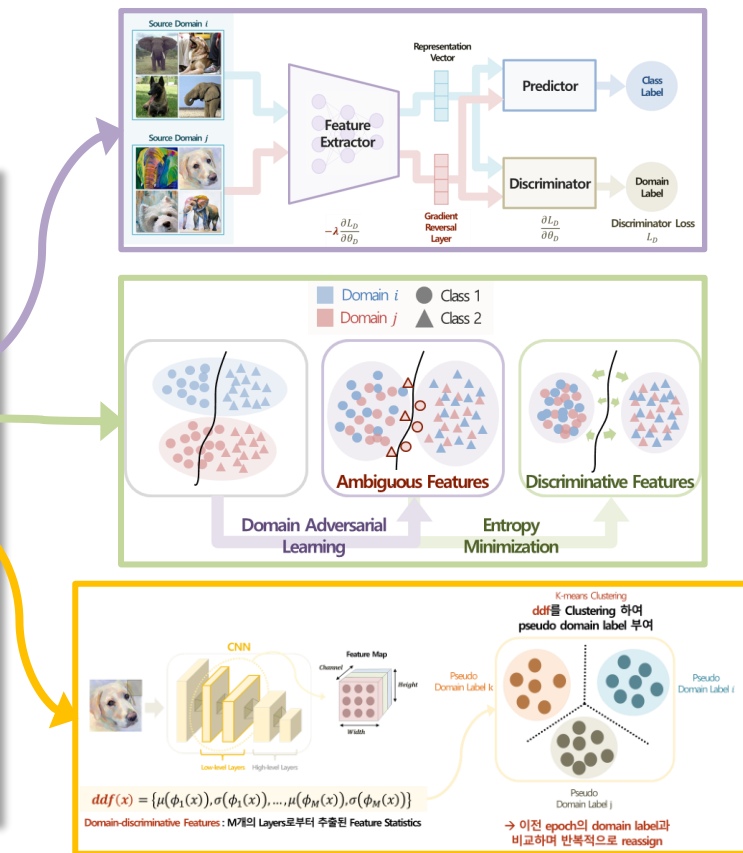
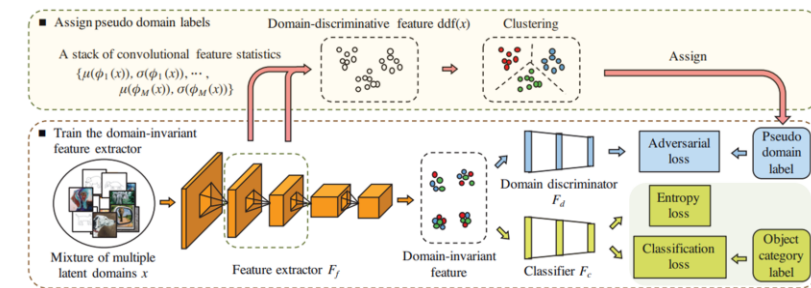
❖ Domain Generalization using a Mixture of Multiple Latent Domains[7]

• Ablation Study 결과

- Proposed Method의 Component 별 효과를 알아보기 위한 실험
- 각 component를 제거(w/o; without)했을 때 도출된 성능을 비교하여 효과 검증

PACS	Art.	Cartoon	Sketch	Photo	Avg.
AlexNet					
Deep All	68.09	70.23	61.80	88.86	72.25
Ours w/o L_{adv}	67.66	70.45	62.56	88.94	72.40
Ours w/o L_{ent}	68.31	71.13	65.26	89.38	73.52
Ours w/o stat.	67.37	70.22	63.12	89.20	72.48
Ours w/o iter.	69.13	70.72	65.41	89.11	73.59
Ours w/o clus.	68.49	72.24	66.31	89.27	74.08
Ours	69.27	72.83	66.44	88.98	74.38

Table 3: Results of the ablation study in the PACS dataset. For details about the meaning of columns, see Table 1.



Supervised Learning

Domain Adversarial Learning

Entropy Minimization

Domain Discriminative Features

Reassigning Pseudo Domain Label

Pseudo Domain Label

230721 DMQA Open Seminar:

Domain Generalization : How to improve the generalization ability of deep learning models?

2. Methods

(2) Data Manipulation

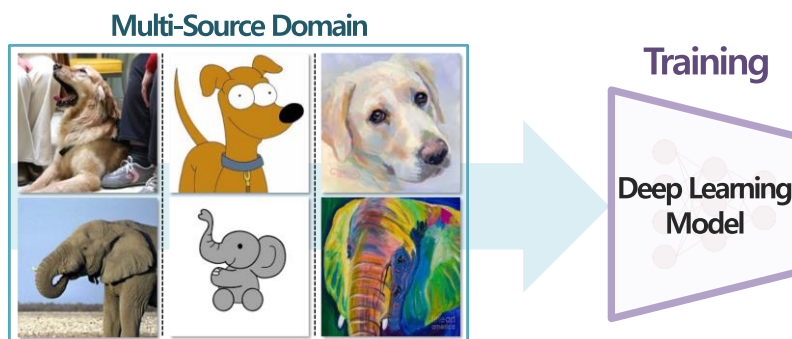
Domain Generalization Methods

2. Data Manipulation

❖ Data Manipulation based Domain Generalization

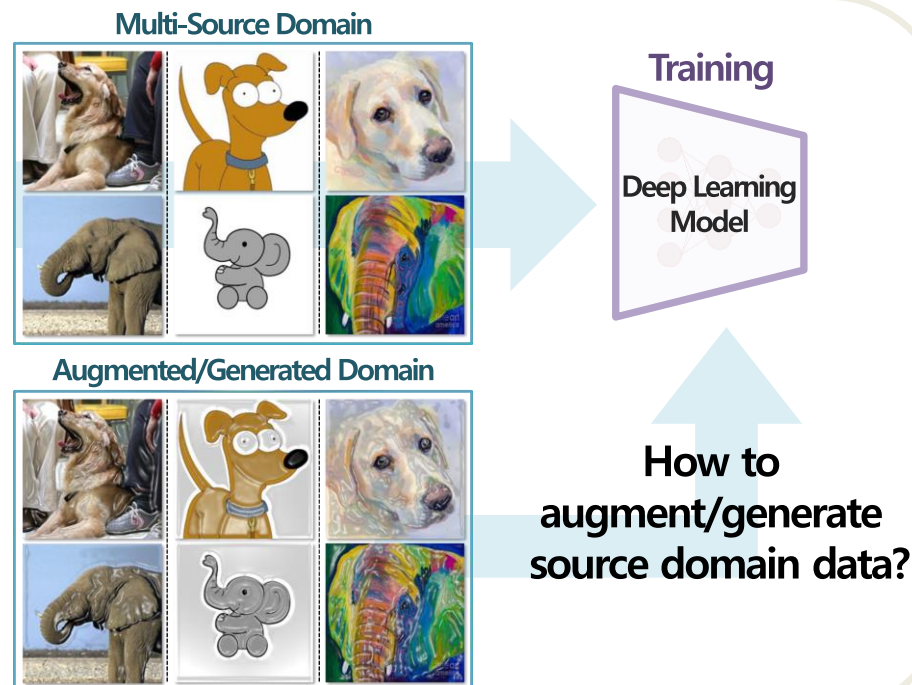
- **Motivation** : 주어진 Multi-Source Domain만을 가지고 학습을 수행하면, 여전히 Source Domain에 과적합 될 확률이 높다
 - Source Domain의 domain-related properties를 바꾸어 새로운 domain의 데이터를 생성하면, 보다 일반화 된 성능 향상이 가능할 것

Representation Learning based Domain Generalization



학습 중 활용되는 Source Domain에
과적합(overfitting) 될 수 있는 한계

Data Manipulation based Domain Generalization



Domain Generalization Methods

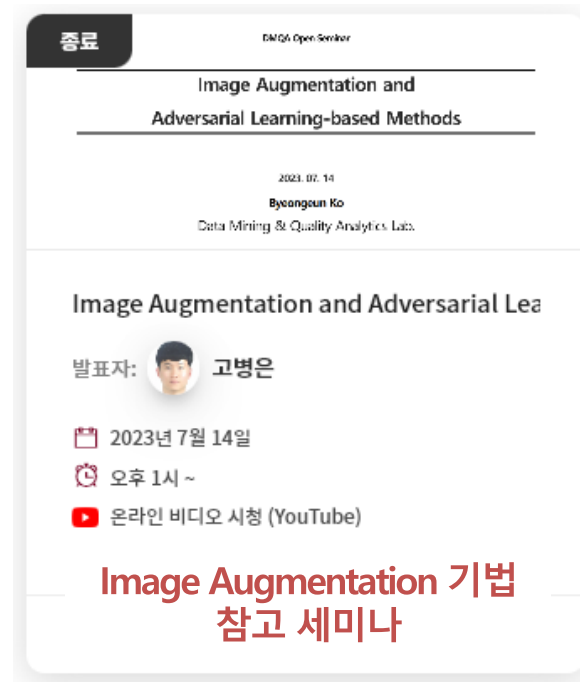
2. Data Manipulation

❖ Data Manipulation based Domain Generalization

1. Data Augmentation
2. Data Generation



Making $x' = \mathcal{M}(x)$, where $\mathcal{M}(\cdot)$ denotes a data manipulating function



Domain Generalization Methods

2. Data Manipulation

❖ Data Manipulation based Domain Generalization

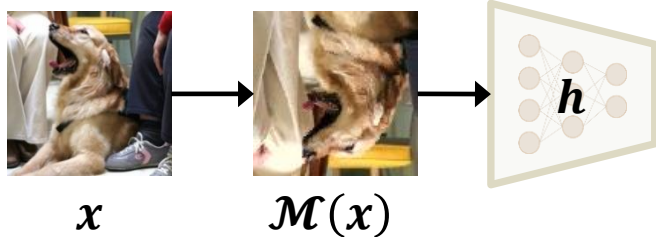
1. Data Augmentation

2. Data Generation



Making $x' = \mathcal{M}(x)$, where $\mathcal{M}(\cdot)$ denotes a data manipulating function

Image Transformations



e.g., Random Flip, Rotation and Color augmentation

- Domain Label을 필요로 하지 않는 장점
- 어떤 증강기법을 사용할 지는 problem and data specific
 - ✓ Digit Recognition의 경우 Flip으로 인한 Label shift 발생 가능

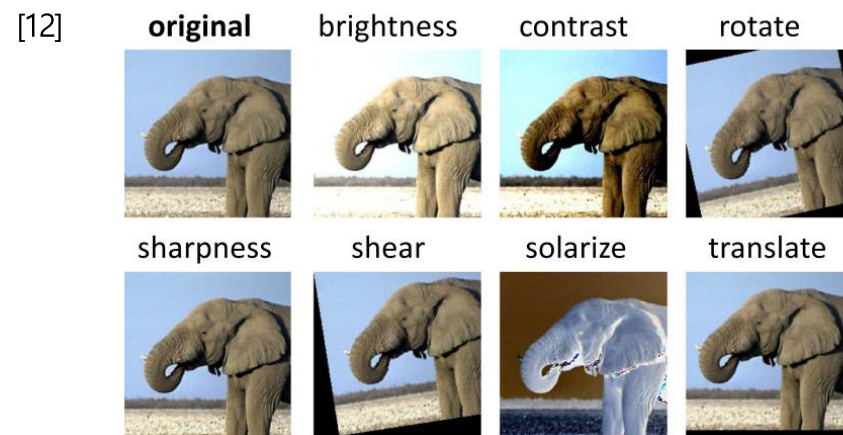


Fig. 5. Common image transformations used as data augmentation in domain generalization [39], [186], [187], [188].

Domain Generalization Methods

2. Data Manipulation

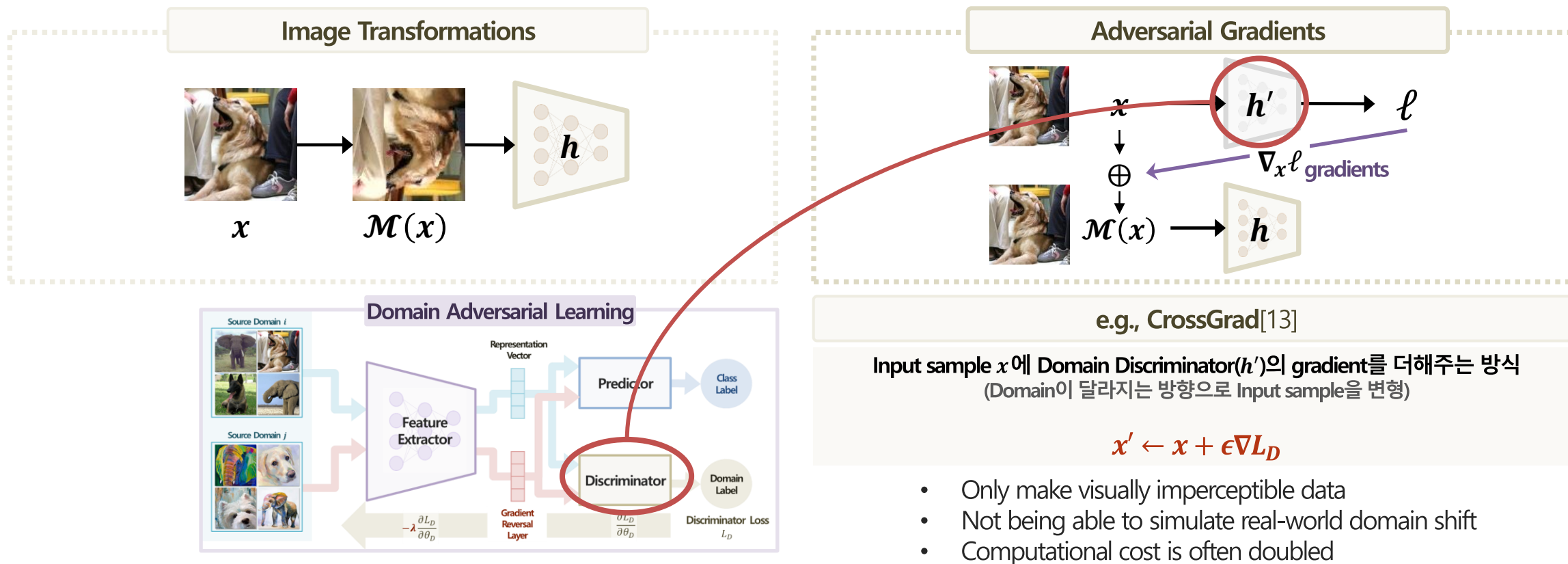
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Domain Generalization Methods

2. Data Manipulation

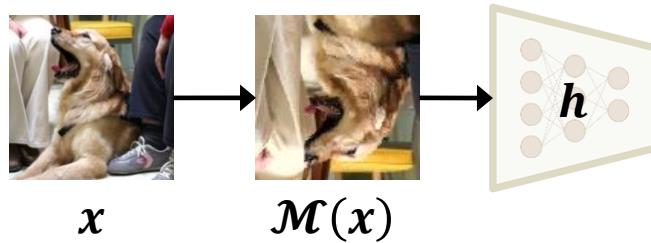
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1. Data Augmentation
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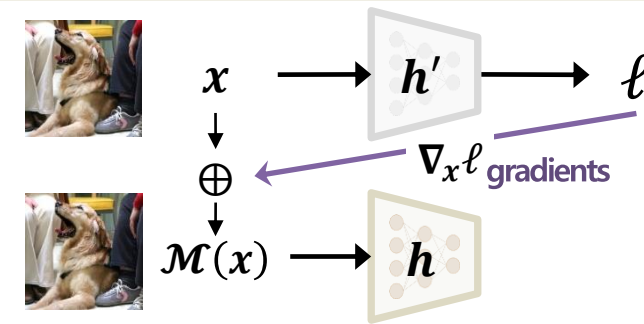


Making $x' = \mathcal{M}(x)$, where $\mathcal{M}(\cdot)$ denotes a data manipulating function

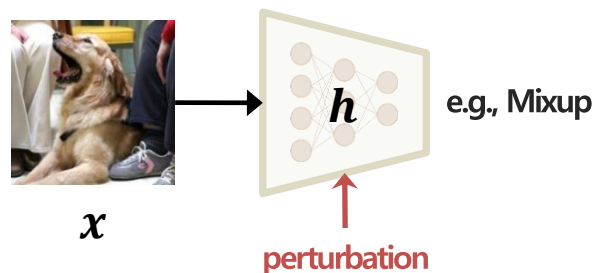
Image Transformations



Adversarial Gradients



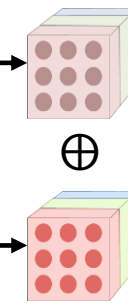
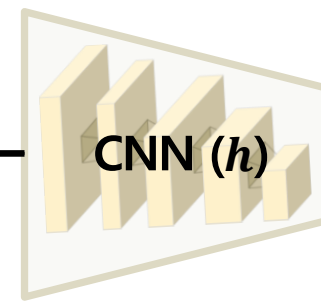
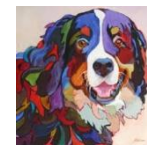
Feature-based Manipulation



Source Domain i



Source Domain j



Mixing
CNN feature
statistics

Domain Generalization Methods

2. Data Manipulation

❖ Data Manipulation based Domain Generalization

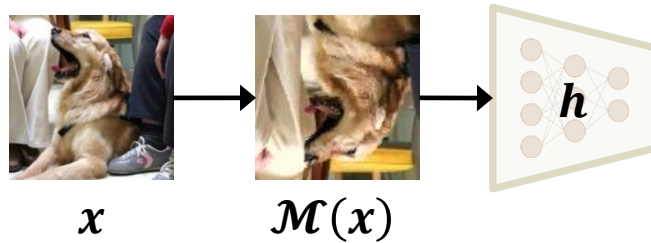
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2. Data Generation

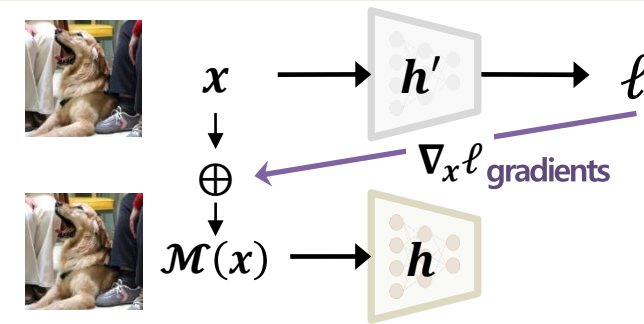


Making $x' = \mathcal{M}(x)$, where $\mathcal{M}(\cdot)$ denotes a data manipulating function

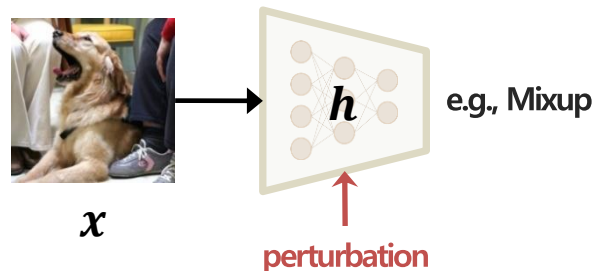
Image Transformations



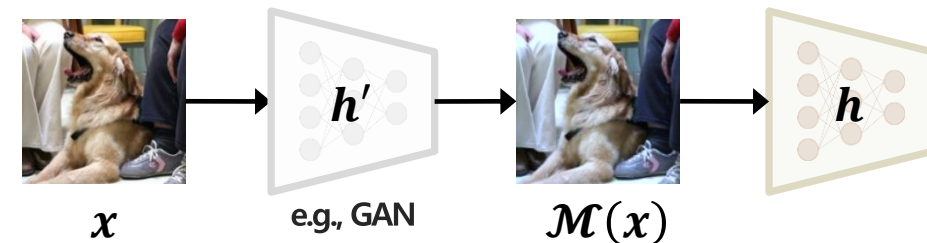
Adversarial Gradients



Feature-based Manipulation



Model-based Manipulation



Domain Generalization Methods

2. Data Manipulation

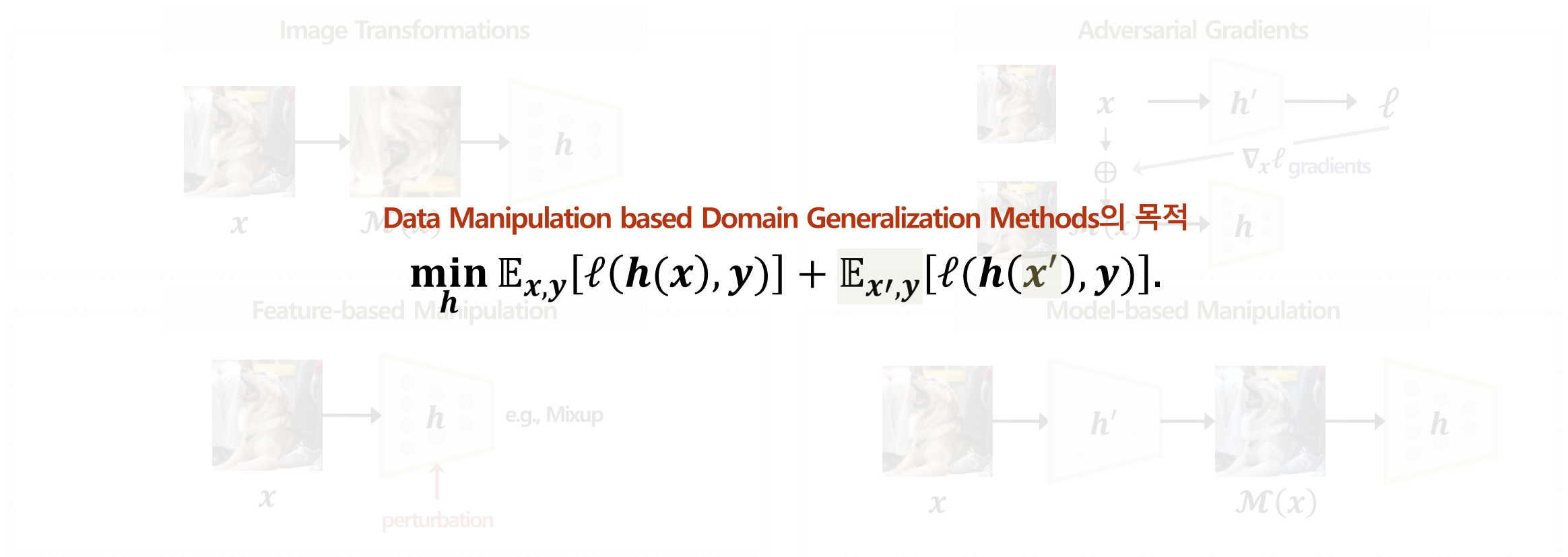
❖ Data Manipulation based Domain Generalization

1. Data Augmentation

2. Data Generation



Making $x' = \mathcal{M}(x)$, where $\mathcal{M}(\cdot)$ denotes a data manipulating function



Domain Generalization Methods

2. Data Manipulation: (1) Data Augmentation

❖ Deep Domain-adversarial Image Generation for Domain Generalisation[14]

- 2020년 제안된 Domain Generalization 방법론 (AAAI, 23년 7월 기준 221회 인용)
- Domain Adversarial Learning을 기반으로 하는 Augmentation 기법 도입

Deep Domain-Adversarial Image Generation for Domain Generalisation

Kaiyang Zhou¹, Yongxin Yang¹, Timothy Hospedales^{2,3}, and Tao Xiang^{1,3}

¹University of Surrey, ²University of Edinburgh, ³Samsung AI Center, Cambridge
{k.zhou, yongxin.yang, t.xiang}@surrey.ac.uk, t.hospedales@ed.ac.uk

Abstract

Machine learning models typically suffer from the domain shift problem when trained on a source dataset and evaluated on a target dataset of different distribution. To overcome this problem, domain generalisation (DG) methods aim to leverage data from multiple source domains so that a trained model can generalise to unseen domains. In this paper, we propose a novel DG approach based on *Deep Domain-Adversarial Image Generation* (DDAIG). Specifically, DDAIG consists of three components, namely a label classifier, a domain classifier and a domain transformation network (DoTNet). The goal for DoTNet is to map the source training data to unseen domains. This is achieved by having a learning objective formulated to ensure that the generated data can be correctly classified by the label classifier while fooling the domain classifier. By augmenting the source training data with the generated unseen domain data, we can make the label classifier more robust to unknown domain changes. Extensive experiments on four DG datasets demonstrate the effectiveness of our approach.

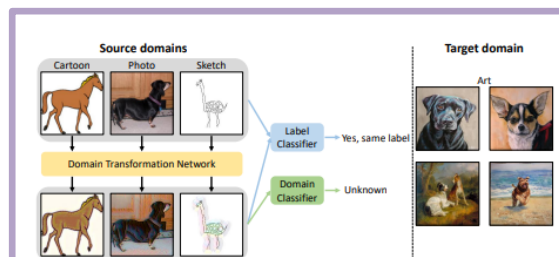


Figure 1: Given multiple source domains, e.g. Cartoon, Photo and Sketch, we learn a domain transformation network (DoTNet) to transform images to unseen domains, which maintain the class labels but change domain-related properties. Both original and transformed images are used to train a label classifier, which is applied to an unseen target domain, e.g. Art.

get data and perform supervised model fine-tuning. However, large-scale data collection and annotation for every new target domain is prohibitively expensive and time-

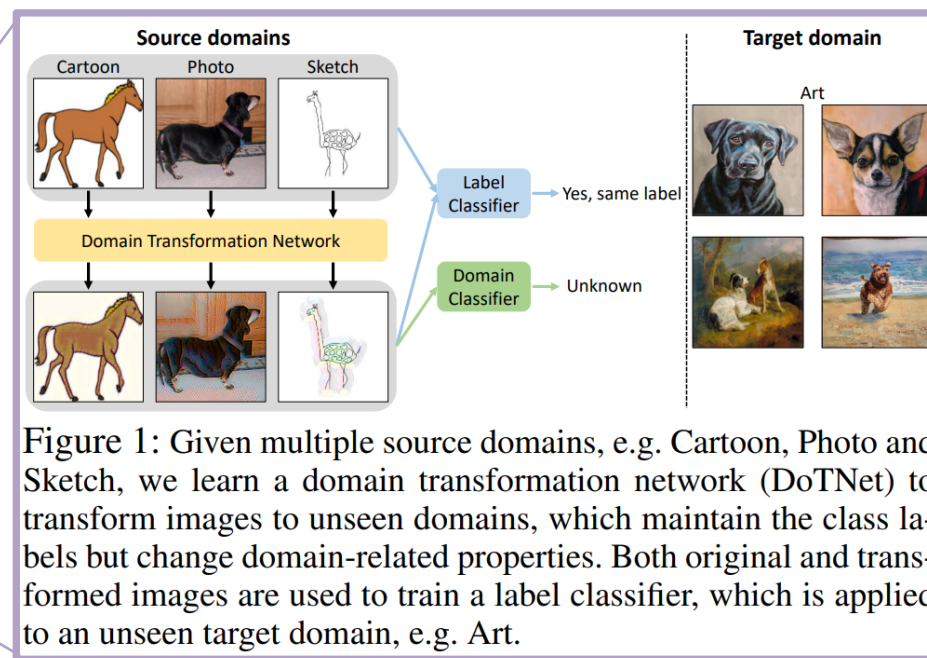


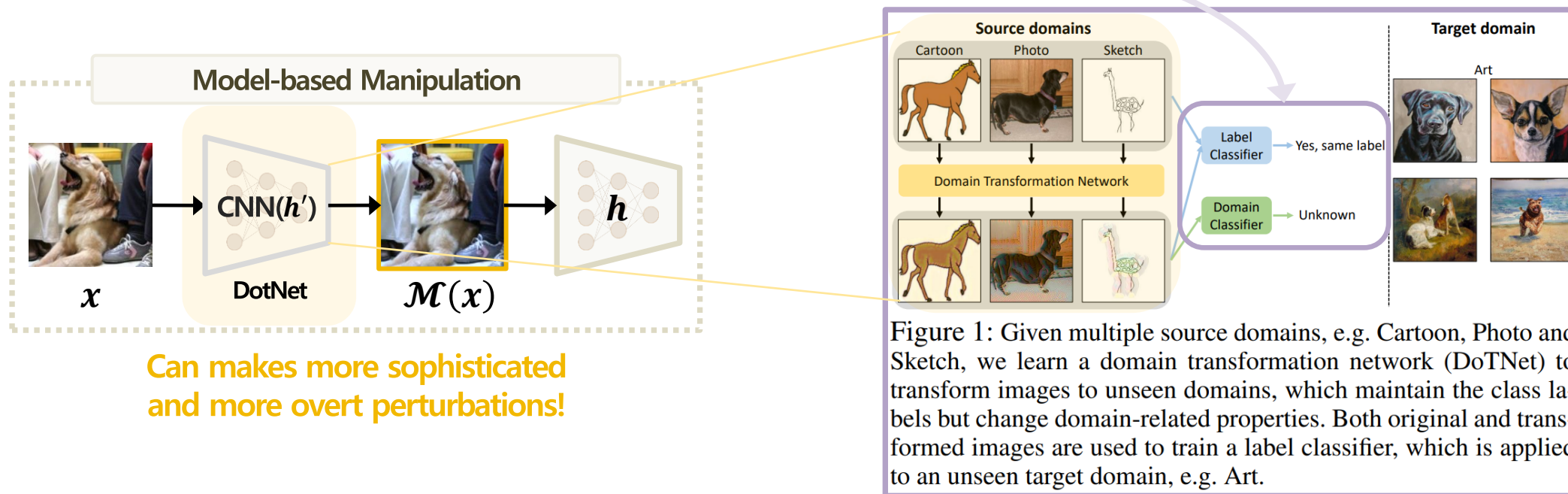
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Domain Generalization Methods

2. Data Manipulation: (1) Data Augmentation

❖ Deep Domain-adversarial Image Generation for Domain Generalisation[14]

- **Motivation** : Domain이 기존과 크게 달라지면서도 Semantic 정보는 유지하도록 데이터를 생성하자
 - Domain Discriminator를 속일 수 있을 만한 이미지를 생성하는 동시에 Label Predictor는 Domain 차이에 강건해지도록 학습

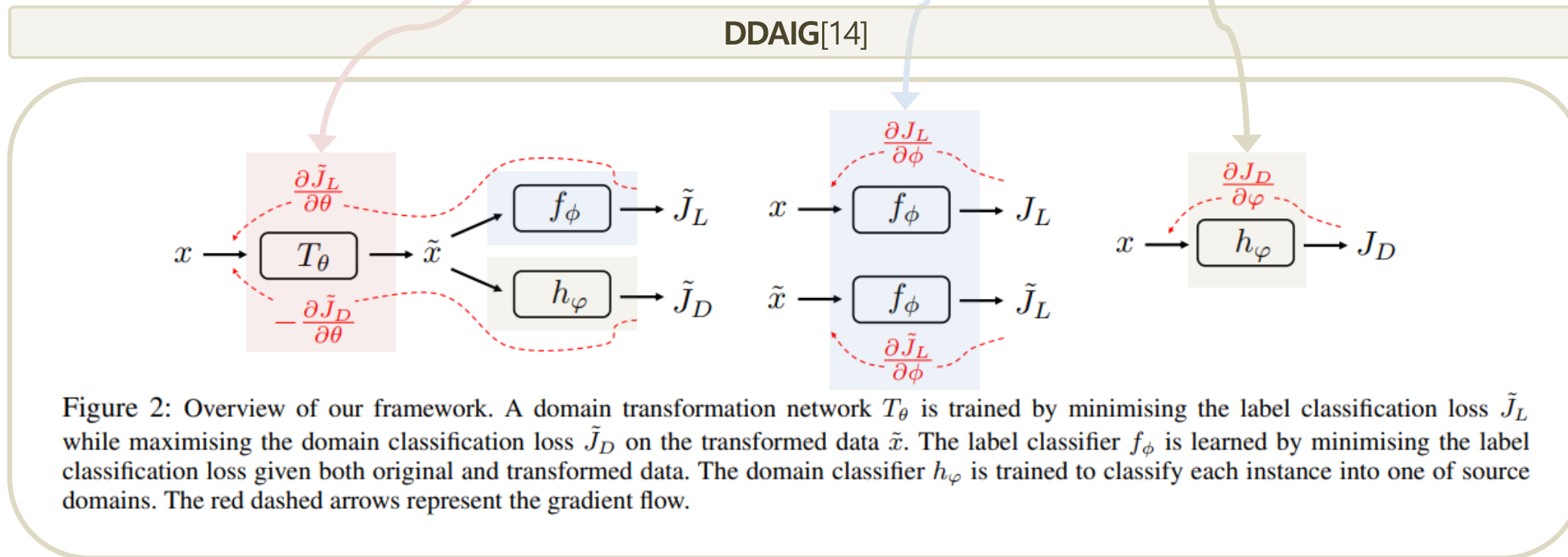
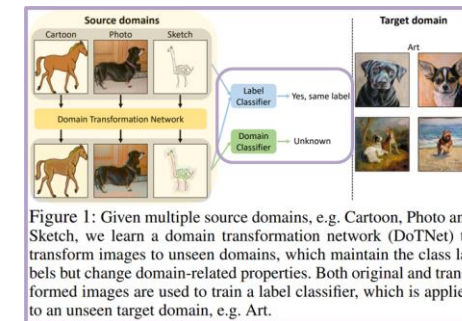


Domain Generalization Methods

2. Data Manipulation: (1) Data Augmentation

❖ Deep Domain-adversarial Image Generation for Domain Generalisation[14]

- **DDAIG** : (1) Domain Transformation Network (DoTNet), (2) Label Classifier, (3) Domain Classifier
 - 총 3가지 모델로 구성



Domain Generalization Methods

2. Data Manipulation: (1) Data Augmentation

❖ Deep Domain-adversarial Image Generation for Domain Generalisation[14]

- **DDAIG** : (1) Domain Transformation Network (DoTNet), (2) Label Classifier, (3) Domain Classifier
 - DotNet은 perturbed image를 생성하는 CNN 모듈

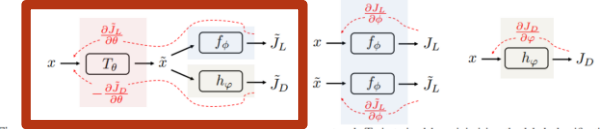
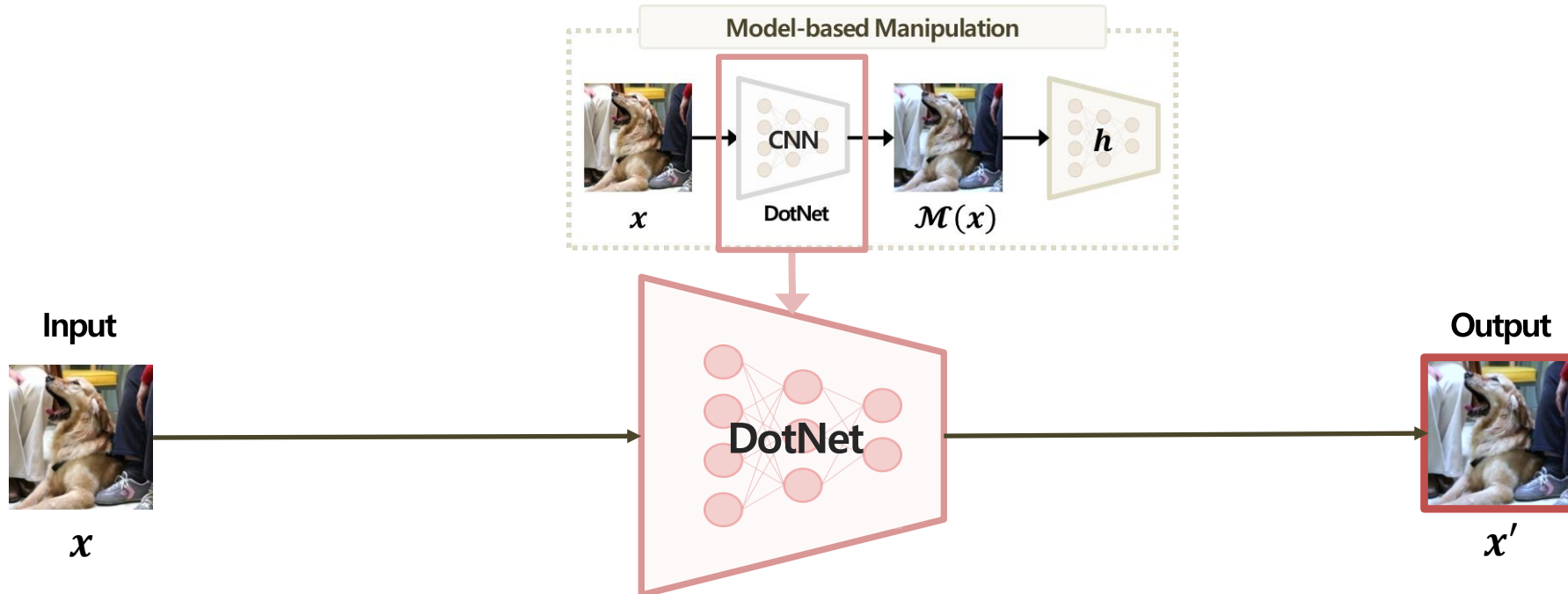


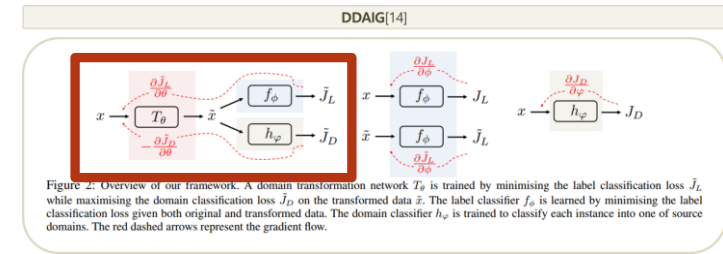
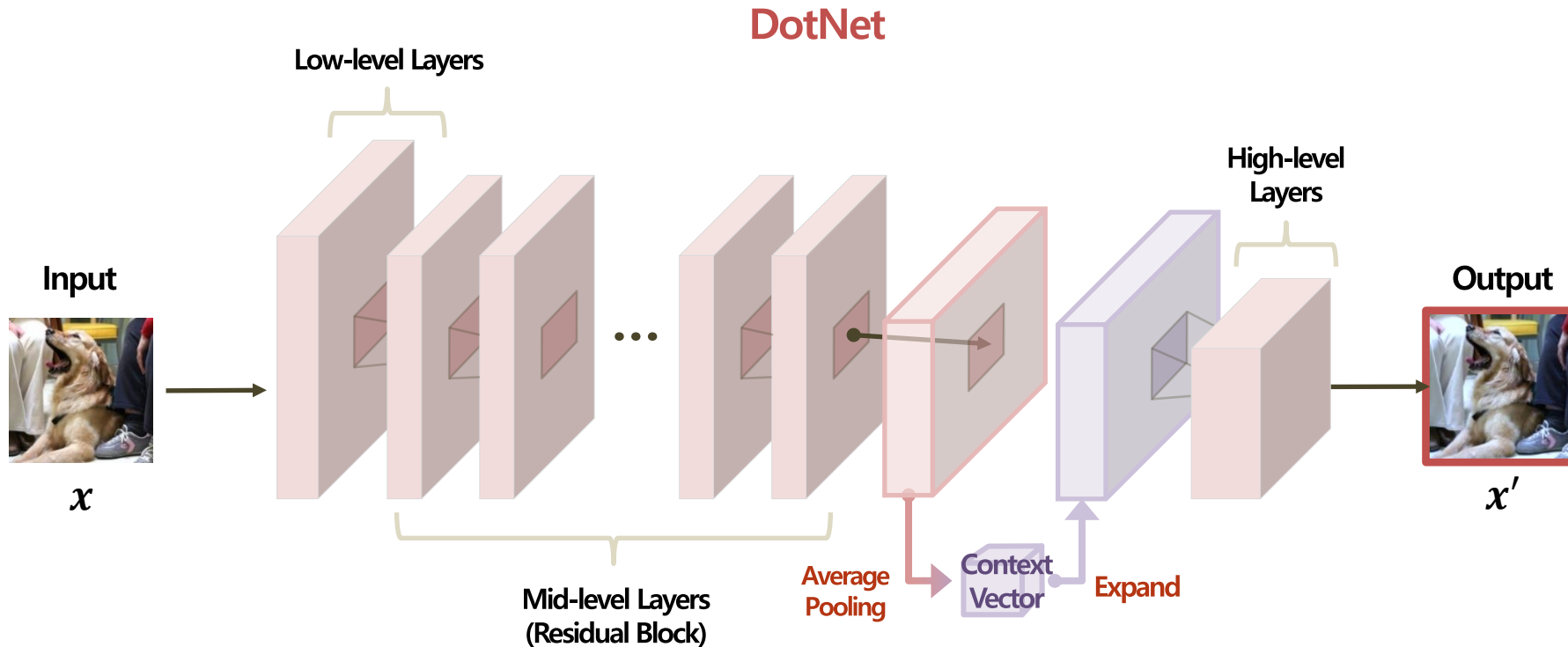
Figure 2: Overview of our framework. A domain transformation network T_θ is trained by minimising the label classification loss $\tilde{J}_L$ while maximising the domain classification loss J_D on the transformed data $\tilde{x}$. The label classifier f_ϕ is learned by minimising the label classification loss given both original and transformed data. The domain classifier h_ϕ is trained to classify each instance into one of source domains. The red dashed arrows represent the gradient flow.

Domain Generalization Methods

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Domain Generalization Methods

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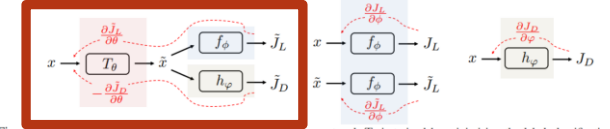
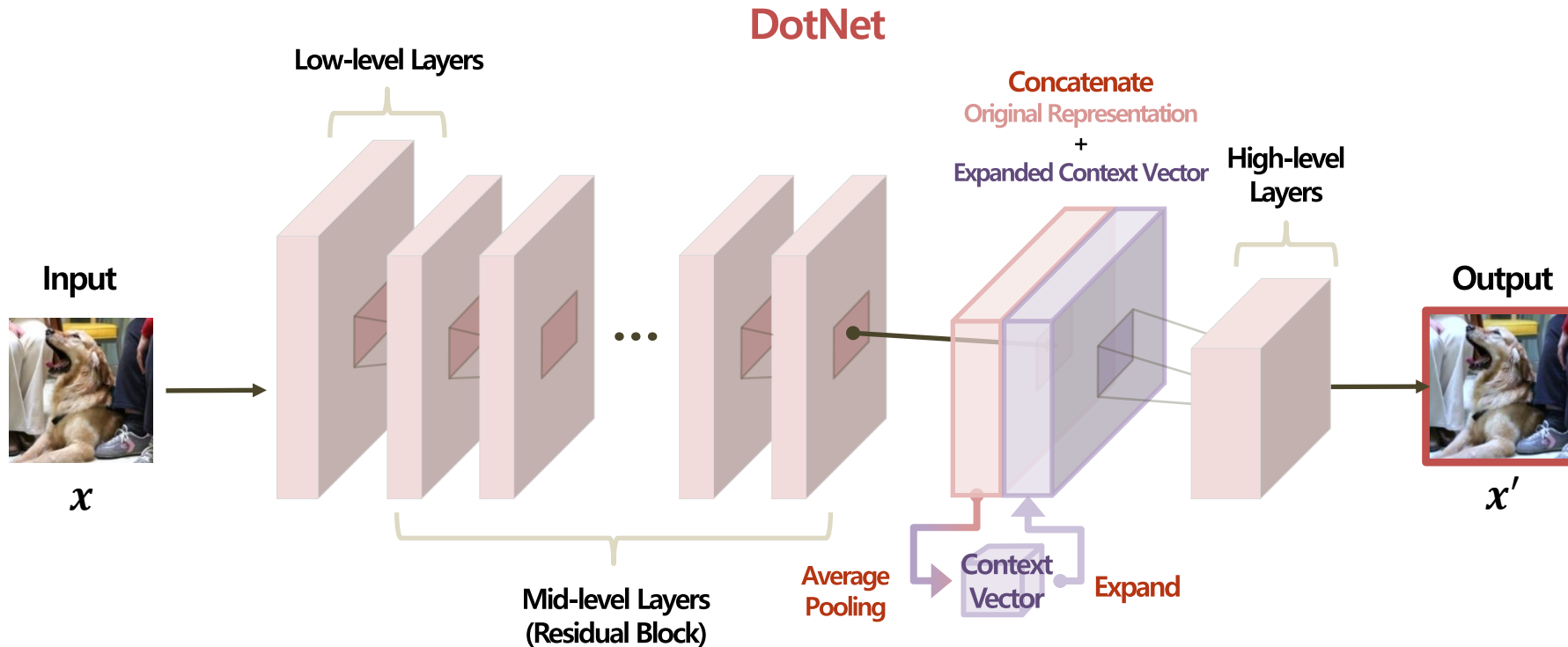


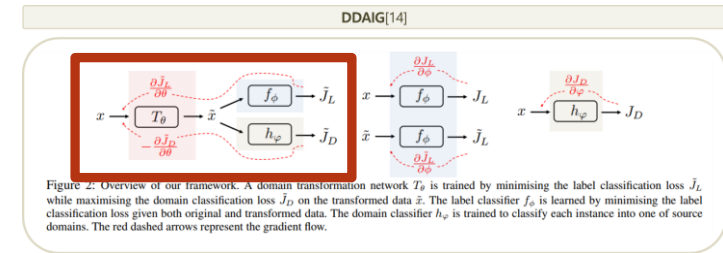
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Domain Generalization Methods

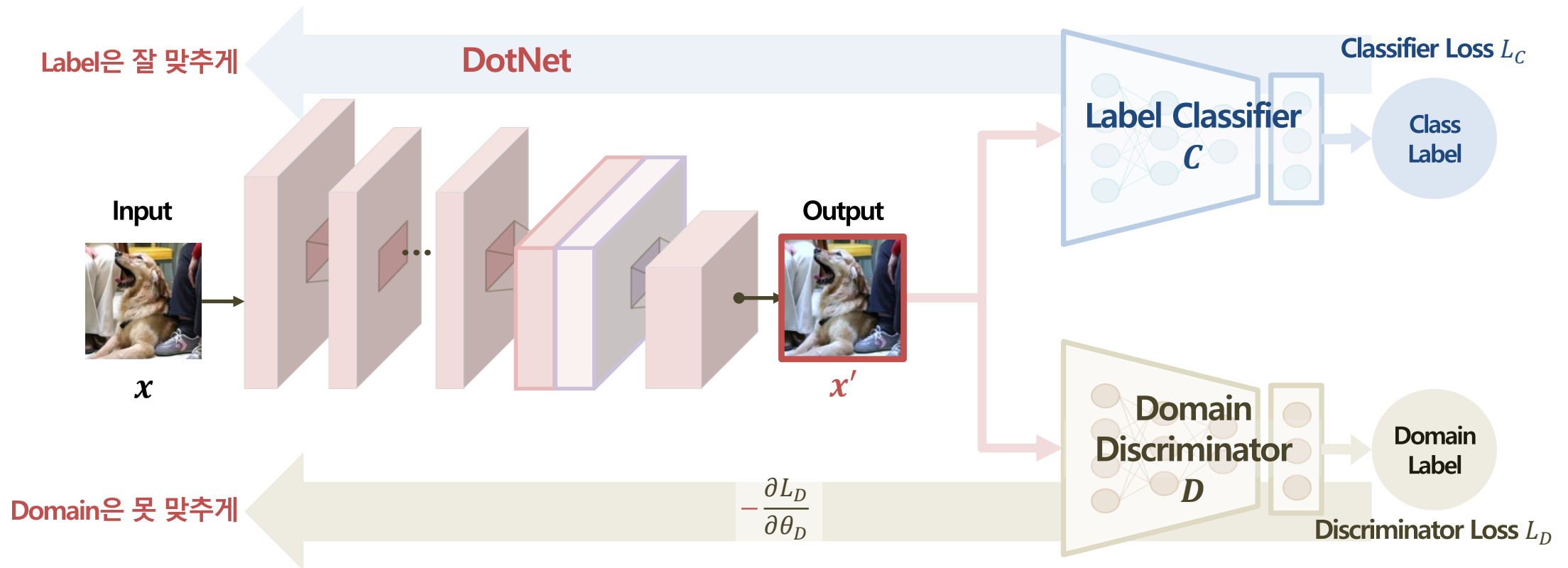
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 - DoTNet은 perturbed image를 생성하는 CNN 모듈 → x' 가 입력 값일 때 $L_C - L_D$ 가 최소화 되는 방향으로 학습



'Classifier'는 Feature Extractor와 Classification Layer가 합해진 형태를 의미

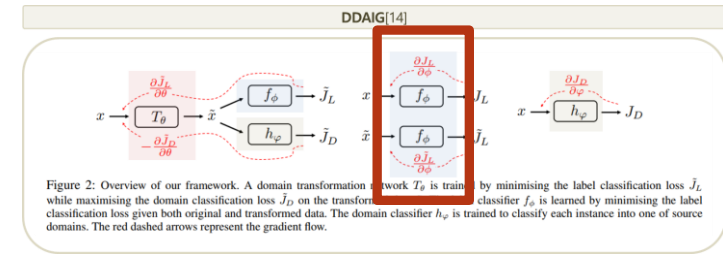
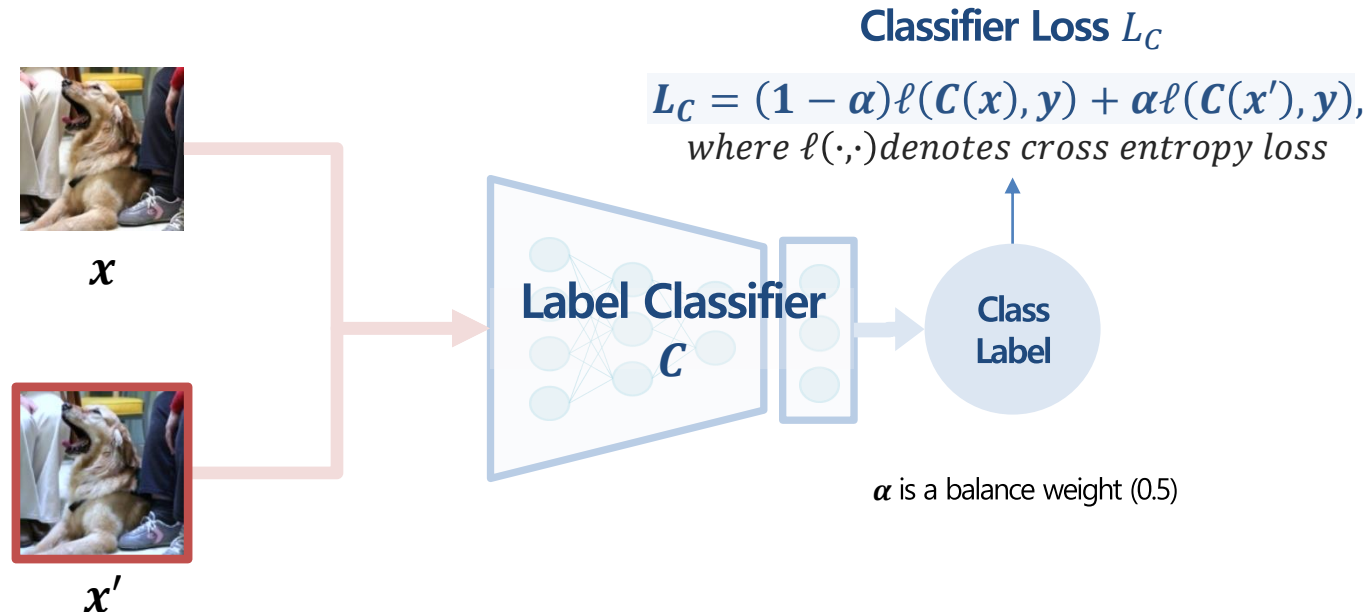


Domain Generalization Methods

2. Data Manipulation: (1) Data Augmentation

❖ Deep Domain-adversarial Image Generation for Domain Generalisation[14]

- **DDAIG** : (1) Domain Transformation Network (DoTNet), (2) Label Classifier, (3) Domain Classifier
 - Label Classifier는 Task를 잘 수행하기 위한 Predictor → x 와 x' 를 모두 입력으로 받아 L_C 가 최소화 되는 방향으로 학습



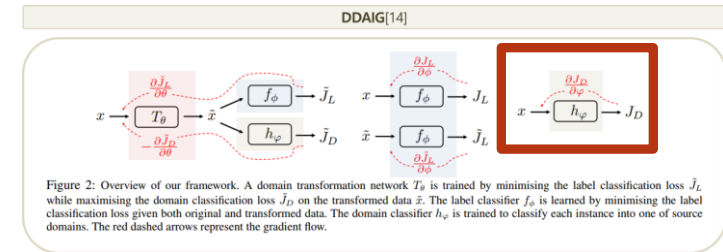
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Domain Generalization Methods

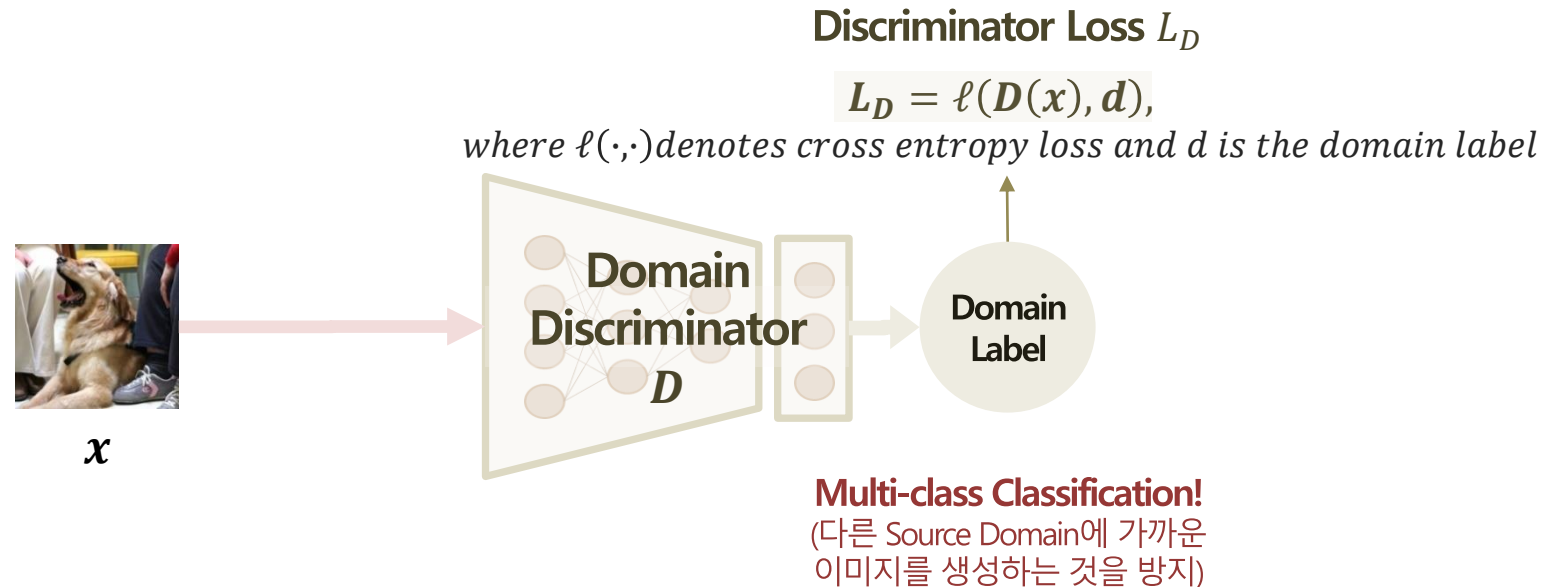
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Domain Generalization Methods

2. Data Manipulation: (2) Data Generation

❖ Learning to Generate Novel Domains for Domain Generalization[15]

- 2020년 제안된 Domain Generalization 방법론 (ECCV, 23년 7월 기준 259회 인용)
- Conditional Generator를 기반으로 데이터를 생성하여 학습 중 활용 가능한 Domain의 다양성 확보

Learning to Generate Novel Domains for Domain Generalization

Kaiyang Zhou¹, Yongxin Yang¹, Timothy Hospedales^{2,3}, and Tao Xiang^{1,3}

¹ University of Surrey
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² University of Edinburgh

t.hospedales@ed.ac.uk

³ Samsung AI Center, Cambridge

Abstract. This paper focuses on domain generalization (DG), the task of learning from multiple source domains a model that generalizes well to unseen domains. A main challenge for DG is that the available source domains often exhibit limited diversity, hampering the model's ability to learn to generalize. We therefore employ a data generator to synthesize data from pseudo-novel domains to augment the source domains. This explicitly increases the diversity of available training domains and leads to a more generalizable model. To train the generator, we model the distribution divergence between source and synthesized pseudo-novel domains using optimal transport, and maximize the divergence. To ensure that semantics are preserved in the synthesized data, we further impose cycle-consistency and classification losses on the generator. Our method, L2A-OT (Learning to Augment by Optimal Transport) outperforms current state-of-the-art DG methods on four benchmark datasets.

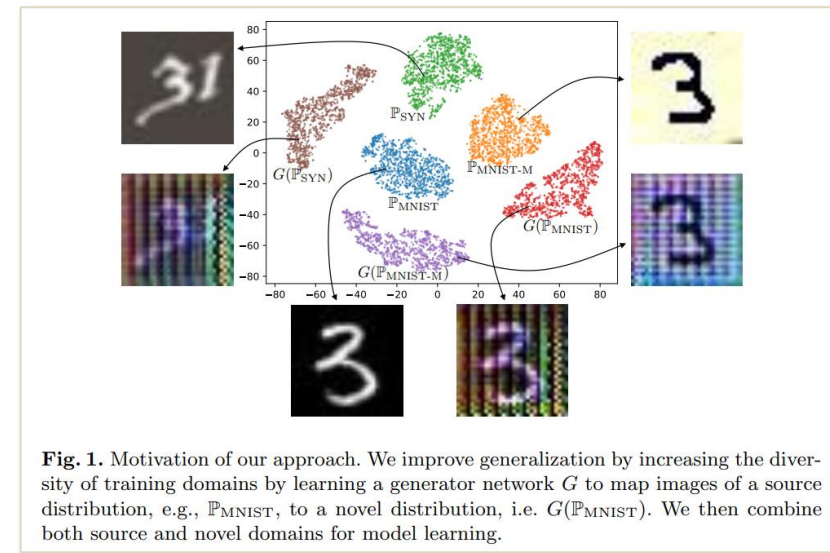


Fig. 1. Motivation of our approach. We improve generalization by increasing the diversity of training domains by learning a generator network G to map images of a source distribution, e.g., $\mathbb{P}_{\text{MNIST}}$, to a novel distribution, i.e. $G(\mathbb{P}_{\text{MNIST}})$. We then combine both source and novel domains for model learning.

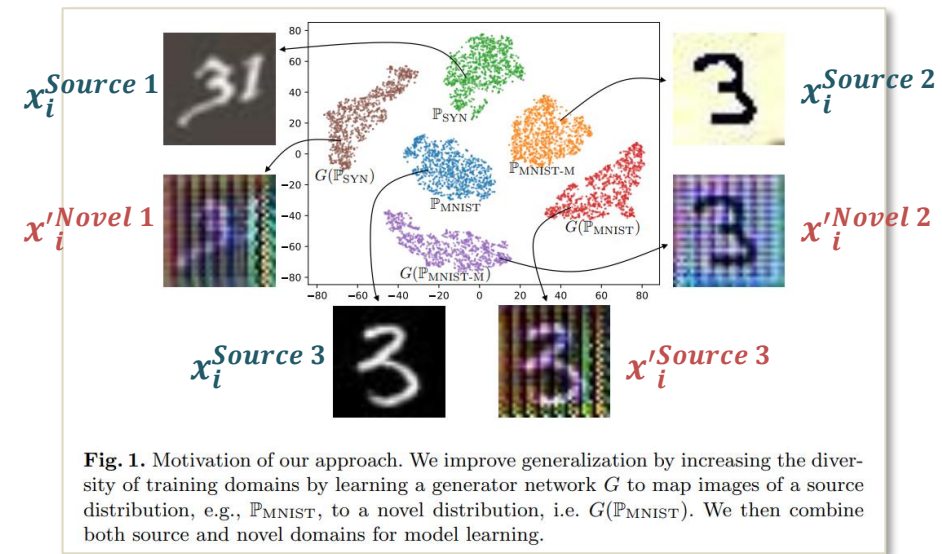
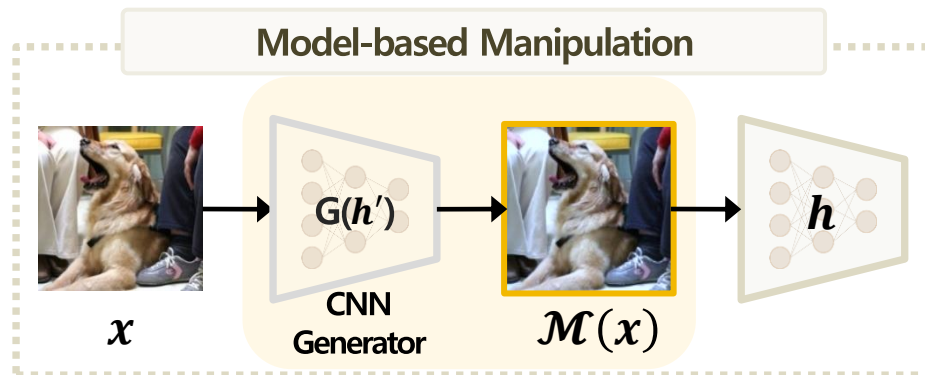
"Increasing the diversity of available source domains (to improve model generalization) "

Domain Generalization Methods

2. Data Manipulation: (2) Data Generation

❖ Learning to Generate Novel Domains for Domain Generalization[15]

- **Motivation** : 기존의 Source Domains 만으로 학습을 수행했을 때, Source Domain에 과적합 될 수 있는 여지를 줄이자
 - Conditional Generator를 통해 K개의 Source Domains 각각에 mapping되는 K개의 Pseudo Novel Domain 생성



Domain Generalization Methods

2. Data Manipulation: (2) Data Generation

❖ Learning to Generate Novel Domains for Domain Generalization[15]

- **L2A-OT**(Learning to Augment by Optimal Transport) : (1) Conditional Generator G , (2) Classifier $\hat{Y}$
 - 총 2가지 네트워크로 구성되어 있으며, 3단계를 거쳐 학습

L2A-OT[15]

a, b : source domain labels
 a', b' : novel domain labels

$$\begin{aligned} [X_a, a'] &\rightarrow \boxed{G} \rightarrow X_{a'} \xrightarrow{X_a} \max_G d(X_{a'}, X_a) \\ [X_b, b'] &\rightarrow \boxed{G} \rightarrow X_{b'} \xrightarrow{X_b} \max_G d(X_{b'}, X_b) \end{aligned}$$

(a)

Generating

$$\begin{aligned} [X_a, a'] &\rightarrow \boxed{G} \rightarrow X_{a'} \\ \hat{X}_a &\leftarrow \boxed{G} \leftarrow [X_{a'}, a] \\ \min_G ||\hat{X}_a - X_a||_1 \\ [X_b, b'] &\rightarrow \boxed{G} \rightarrow X_{b'} \\ \hat{X}_b &\leftarrow \boxed{G} \leftarrow [X_{b'}, b] \\ \min_G ||\hat{X}_b - X_b||_1 \end{aligned}$$

(b)

Maintaining Semantic Consistency

$$\begin{aligned} X_{a'} &\rightarrow \hat{Y}(X_{a'}) \rightarrow \min_G L_{CE}(\hat{Y}(X_{a'}), Y^*(X_a)) \\ X_{b'} &\rightarrow \hat{Y}(X_{b'}) \rightarrow \min_G L_{CE}(\hat{Y}(X_{b'}), Y^*(X_b)) \end{aligned}$$

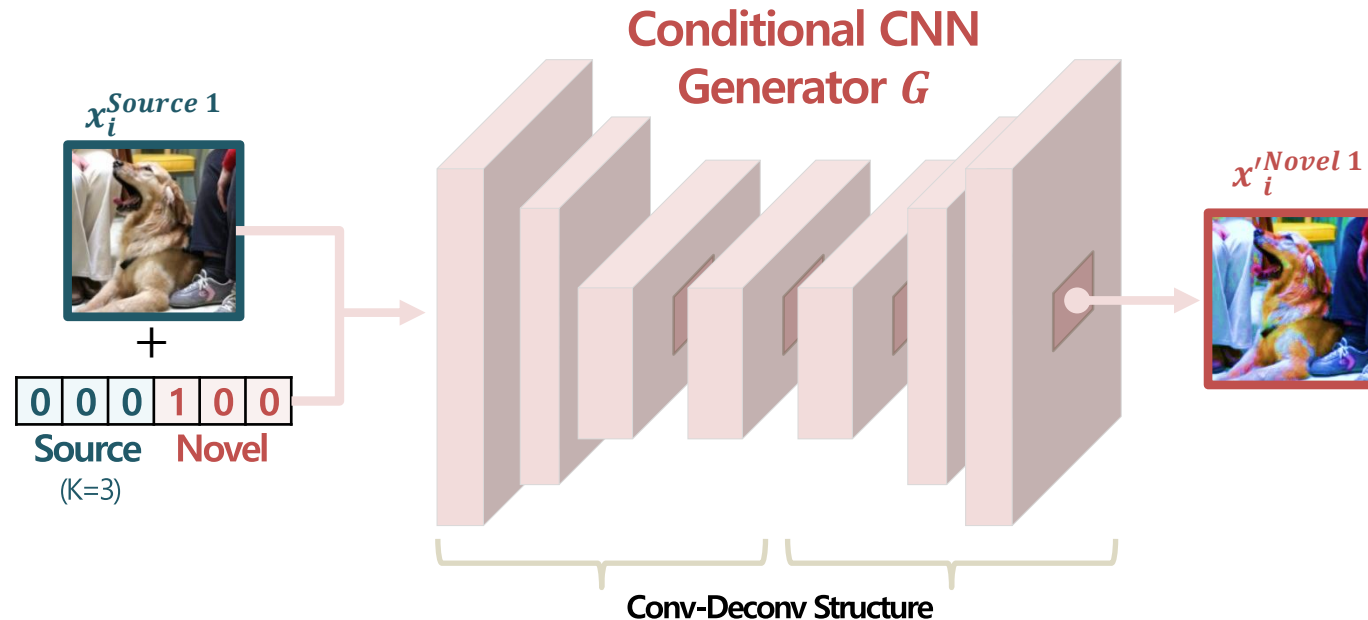
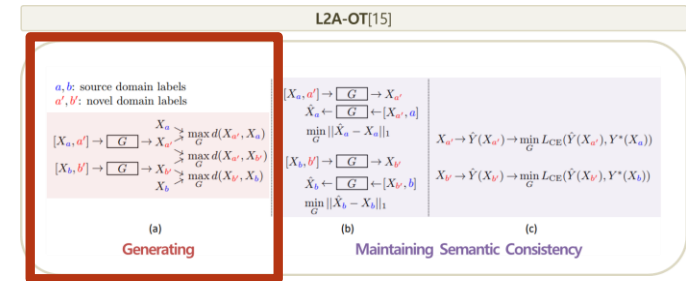
(c)

Domain Generalization Methods

2. Data Manipulation: (2) Data Generation

❖ Learning to Generate Novel Domains for Domain Generalization[15]

- **L2A-OT**(Learning to Augment by Optimal Transport) : (1) Conditional Generator G , (2) Classifier $\hat{Y}$
 - (1)-1. **Generating** → Domain 간의 Wasserstein distance[16]가 커지는 방향으로 학습하여 다양한 도메인의 데이터 생성



Domain Generalization Methods

2. Data Manipulation: (2) Data Generation

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$$[X_b, b'] \rightarrow \boxed{G} \rightarrow X_{b'} \xrightarrow{X_b} \max_G d(X_{b'}, X_b)$$

(a) **Generating**

$$[X_a, a'] \rightarrow \boxed{G} \rightarrow X_{a'} \xrightarrow{X_a} \min_G ||\hat{X}_a - X_{a'}||_1$$

$$[X_b, b'] \rightarrow \boxed{G} \rightarrow X_{b'} \xrightarrow{X_b} \min_G ||\hat{X}_b - X_{b'}||_1$$

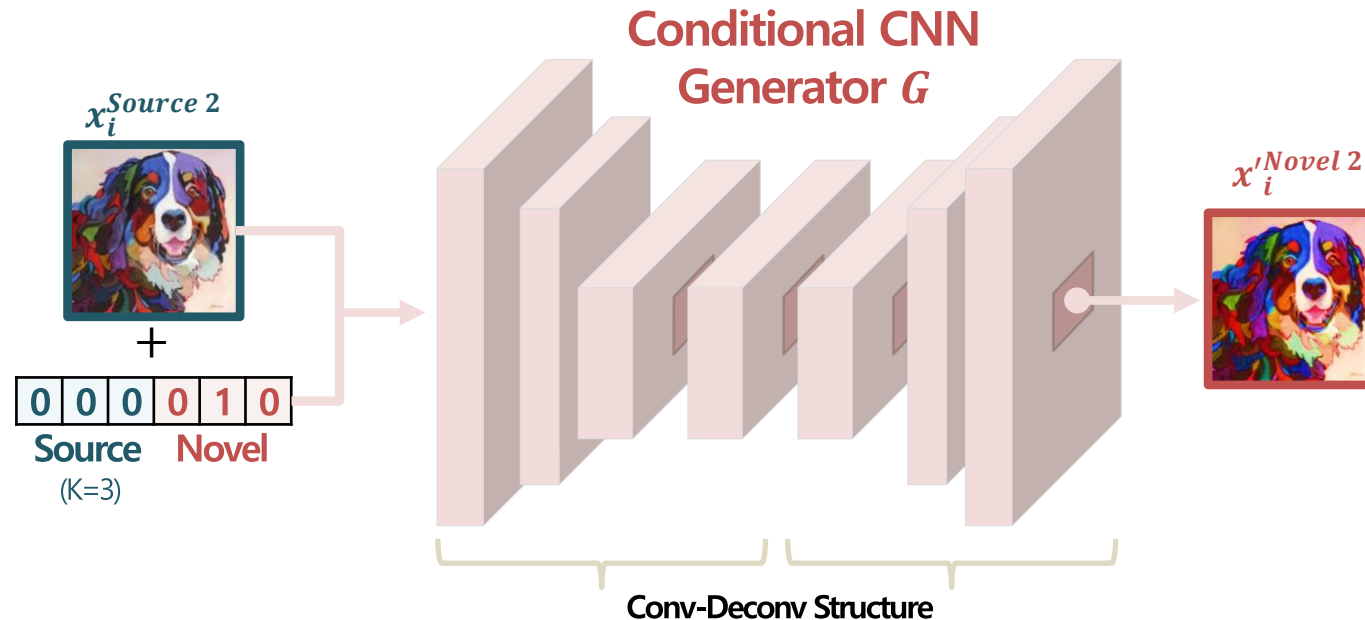
(b)

$$X_{a'} \rightarrow \hat{Y}(X_{a'}) \rightarrow \min_G L_{CE}(\hat{Y}(X_{a'}), Y^*(X_a))$$

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Maintaining Semantic Consistency

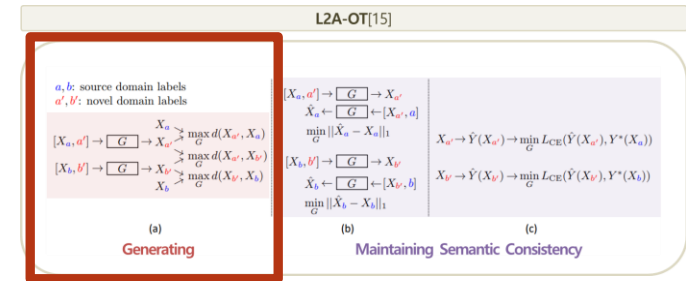


Domain Generalization Methods

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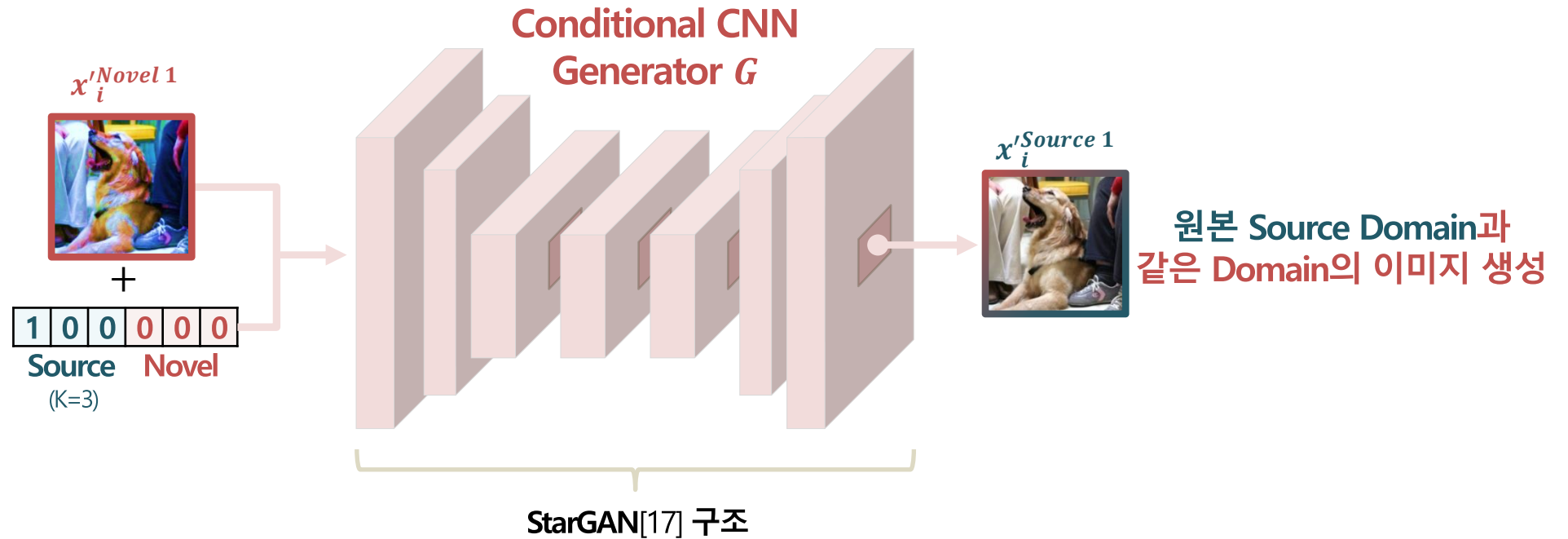
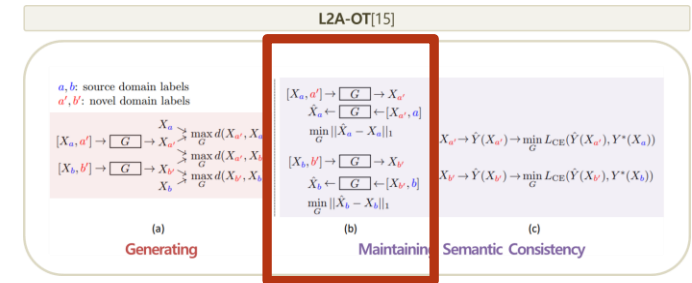


Domain Generalization Methods

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- **L2A-OT**(Learning to Augment by Optimal Transport) : (1) Conditional Generator G , (2) Classifier $\hat{Y}$
 - (1)-2. **Maintaining Semantic Consistency** → Novel Domain이 원본 이미지의 Semantic Content를 유지하도록 하기 위한 전략

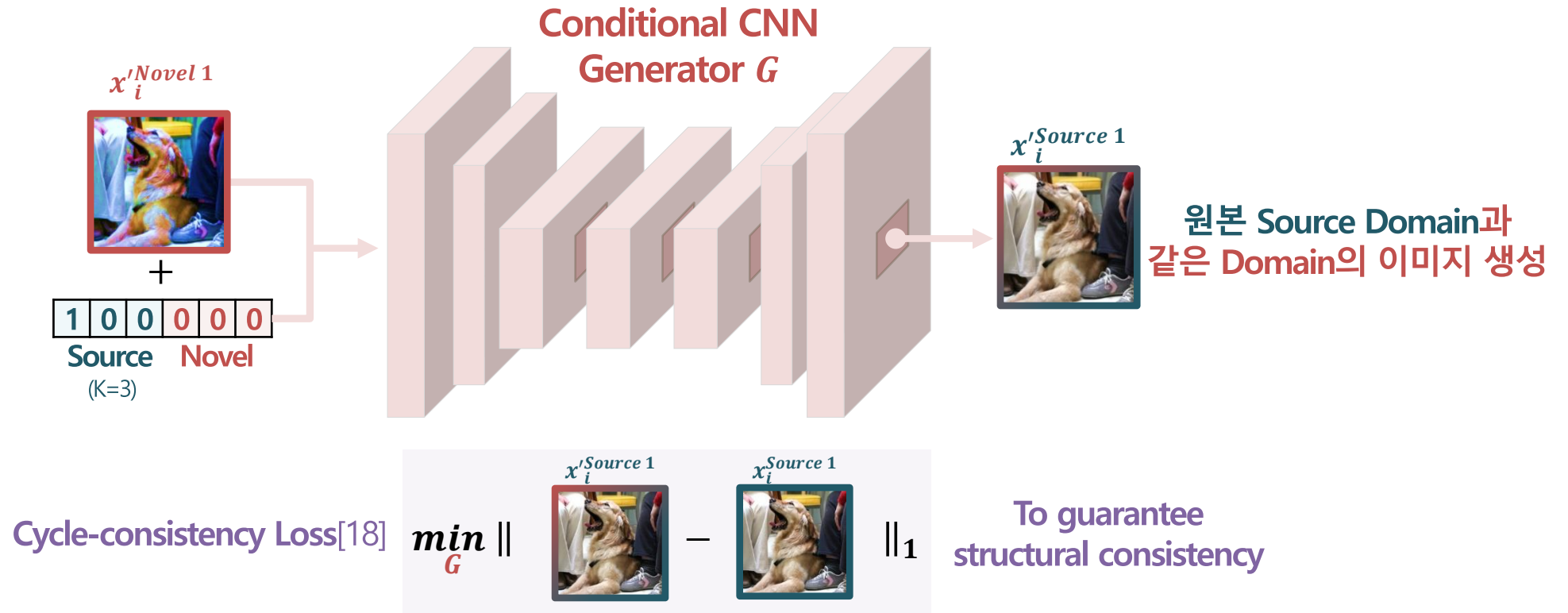
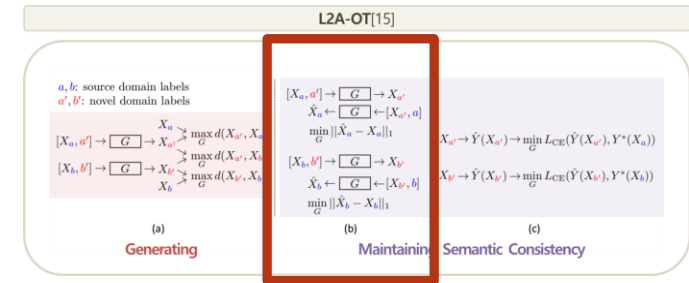


Domain Generalization Methods

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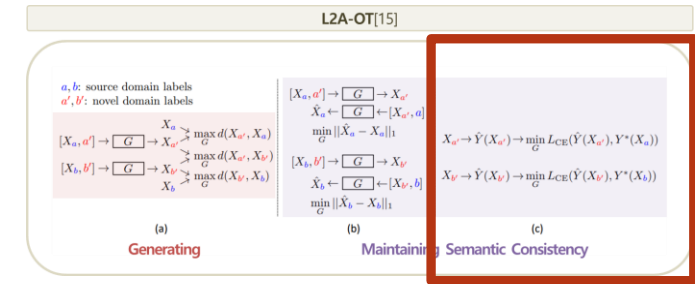


Domain Generalization Methods

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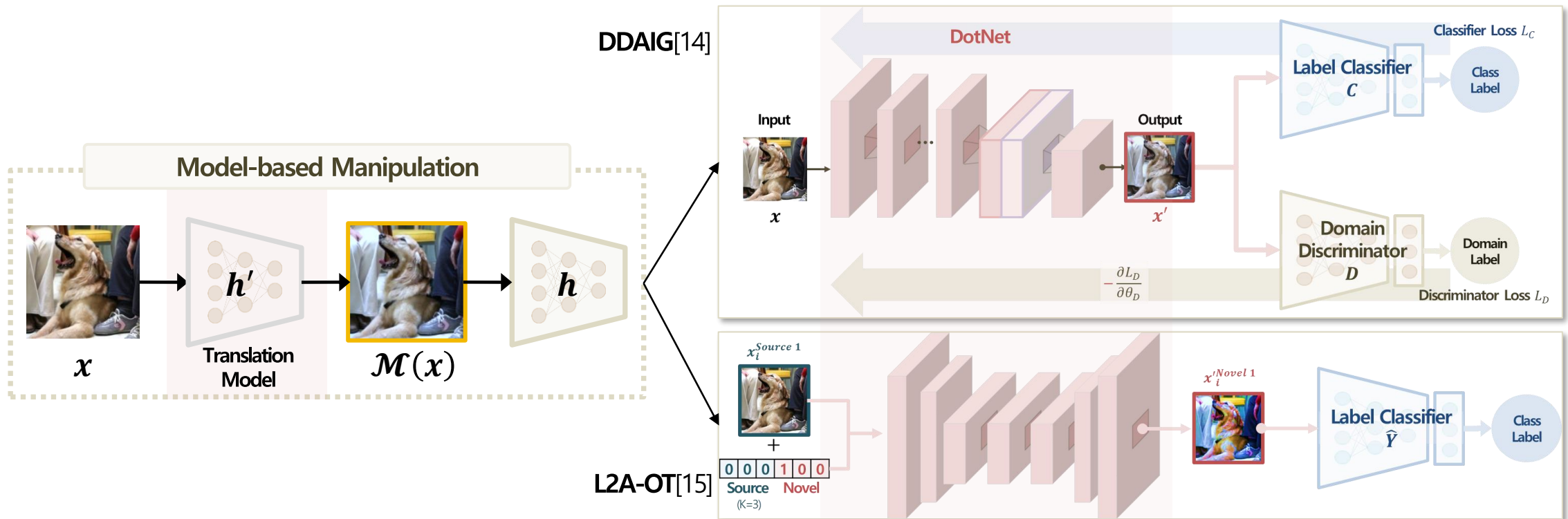


Domain Generalization Methods

2. Data Manipulation

❖ Data Manipulation based Domain Generalization Methods

- **Model-based Manipulation : DDAIG[14], L2A-OT[15]**
 - 학습 시 활용 가능한 Domain의 범위가 확장되고, Label space가 다른 경우에도 강건한 성능 도출 가능
 - 보조 생성 모델에 대한 학습 필요 (Computational Cost ↑)



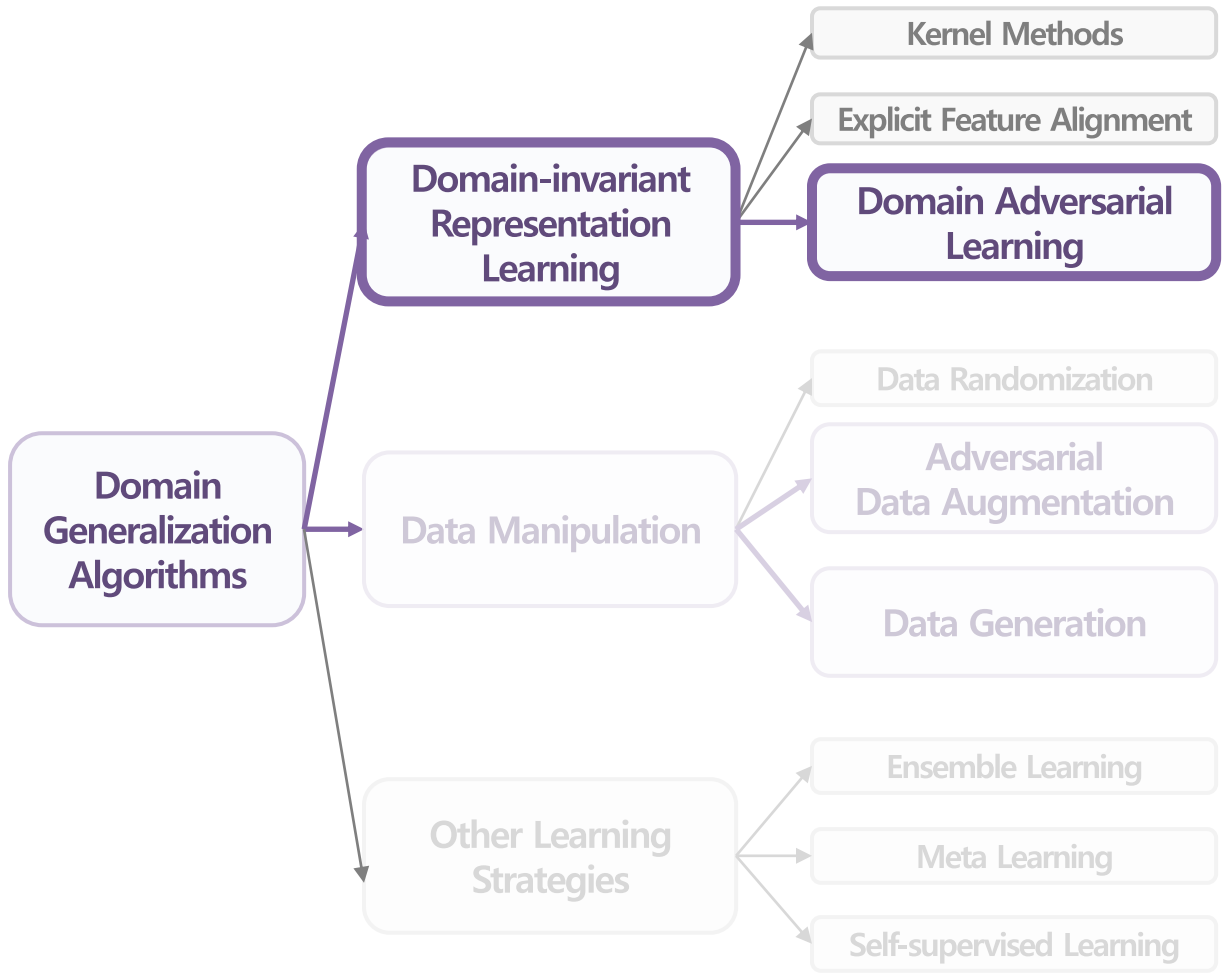
230721 DMQA Open Seminar:

Domain Generalization : How to improve the generalization ability of deep learning models?

3. Conclusions

Conclusion

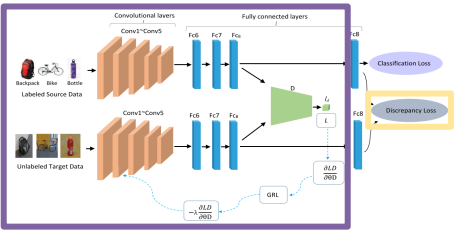
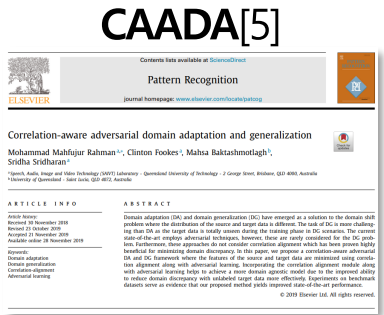
Summary



DANN[3]

Domain-Adversarial Training of Neural Networks

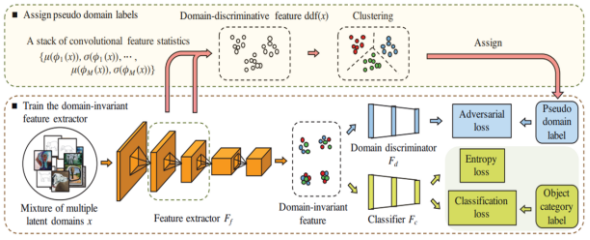
Abstract
We introduce a new representation learning approach for domain adaptation, in which data at training and test time come from similar but different distributions. Our approach is directly inspired by the theory on domain adaptation suggesting that, for effective domain transfer to be achieved, predictions must be made based on features that cannot discriminate between the training (source) and test (target) domains.
The approach implements this idea in the context of neural network architectures that are trained on labeled data from the source domain and unlabeled data from the target domain (so labeled target-domain data is necessary). As the training progresses, the approach promotes the emergence of features that are (i) discriminative for the main learning task on the source domain and (ii) indistinguishable with respect to the shift between the domains. We show that this adaptation behavior can be achieved in almost any feed-forward model by augmenting it with few standard layers and a new gradient reversal layer. The resulting augmented architecture can be trained using standard backpropagation and stochastic gradient descent, and can thus be implemented with little effort using any of the deep learning packages.
We demonstrate the success of our approach for two distinct classification problems (document sentiment analysis and image classification), where state-of-the-art domain adaptation performance on standard benchmarks is achieved. We also validate the approach for descriptor learning task in the context of person re-identification application.
Keywords: domain adaptation, neural network, representation learning, deep learning, synthetic data, image classification, sentiment analysis, person re-identification



MMLD[7]

Domain Generalization Using a Mixture of Multiple Latent Domains

Abstract
When domains, which represent underlying data distributions, vary during training and testing processes, deep neural networks suffer a drop in their performance. Domain generalization aims to improve the generalization performance for unseen target domains by using multiple source domains. Conventional methods assume that the domains to which each sample belongs is known in training. However, many datasets, such as those collected via web-crawling, contain a mixture of multiple latent domains, in which the domain of each sample is unknown. This paper introduces domain generalization using a mixture of multiple latent domains as a novel and more realistic scenario, where we try to train a domain-generalized model without using domain labels. To address this scenario, we propose a method that iteratively divides samples into latent domains via clustering, and which trains the domain-invariant feature extractor shared among the divided latent domains via adversarial learning. We assume that the latent domain of images is reflected in their style, and thus, utilize style features for clustering. By using these features, our proposed method successfully discovers latent domains and achieves domain generalization even if the domain labels are not given. Experiments show that our proposed method can train a domain-generalized model without using domain labels. Moreover, it outperforms conventional domain generalization methods, including those that utilize domain labels.
domain. Considering the situation where a DNN is used for autonomous driving or robots in the real world, it is desirable to perform well under different conditions (e.g., illumination, types of objects) from the data given in training. Because we can access no samples in the target domain, domain generalization aims to train a domain-generalized model without domain labels (e.g., Photos, Art, Sketch), which represent the domain to which each sample belongs.

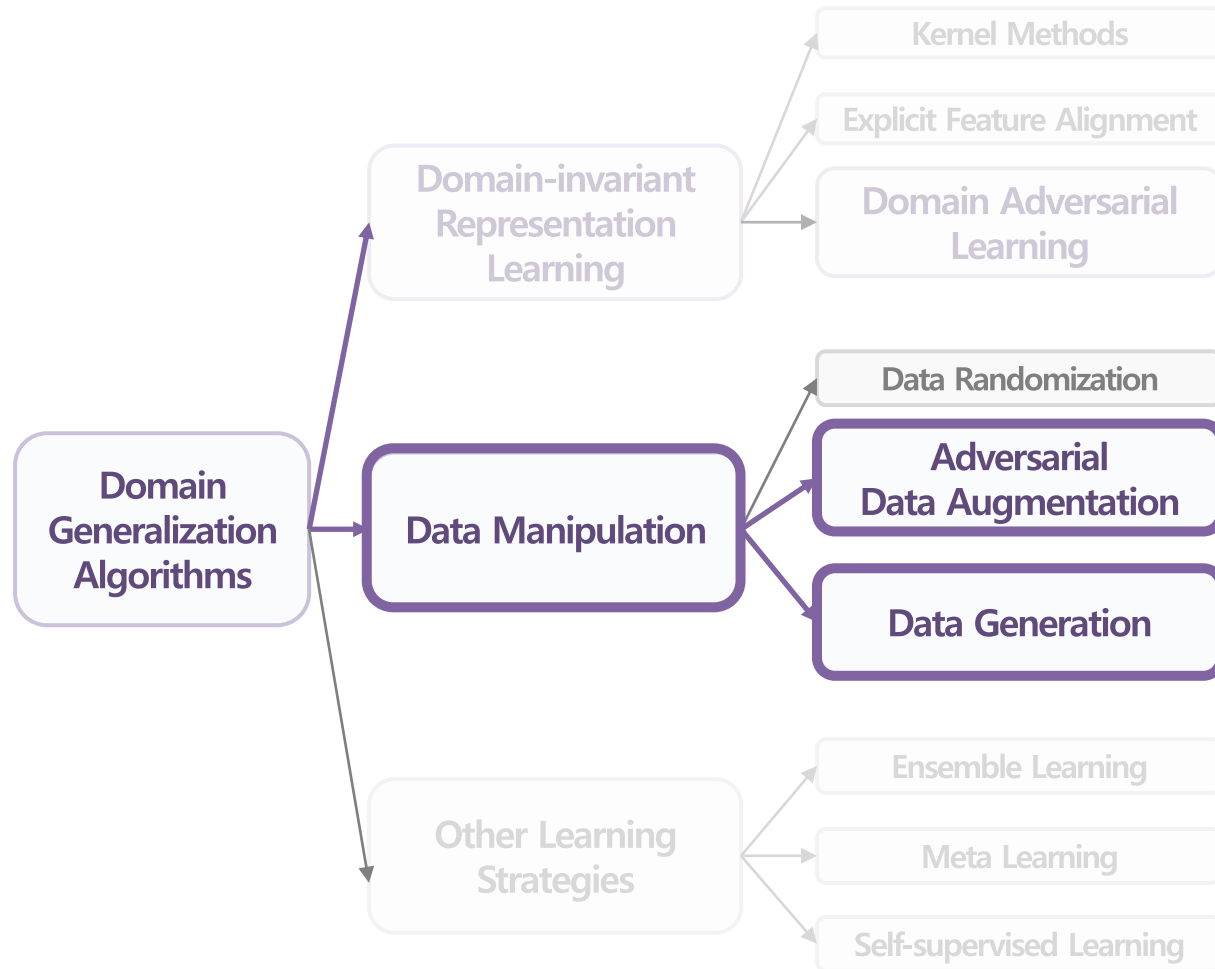


DANN + Deep CORAL[8]
Explicit Feature Alignment based Method

DANN + Domain Label[10] + Entropy Minimization[11]
Domain Adaptation Method

Conclusion

Summary



DANN[3]

Domain-Adversarial Training of Neural Networks

Abstract

We introduce a new representation learning approach for domain adaptation, in which data at training and test time come from similar but different distributions. Our approach is directly inspired by the theory on domain adaptation suggesting that, for effective domain transfer to be achieved, predictions must be made based on features that cannot discriminate between the training (source) and test (target) domains.

The approach implements this idea in the context of neural network architectures that are trained on labeled data from the source domain and unlabeled data from the target domain (no labeled target-domain data is necessary). As the training progresses, the approach promotes the emergence of features that are (i) discriminative for the main learning task on the source domain and (ii) indistinguishable with respect to the shift between the domains. We show that this adaptation behavior can be achieved in almost any feed-forward model by augmenting it with few standard layers and a new gradient reversal layer. The resulting augmented architecture can be trained using standard backpropagation and stochastic gradient descent, and can thus be implemented with little effort using any of the deep learning packages.

We demonstrate the success of our approach for two distinct classification problems (document sentiment analysis and image classification), where state-of-the-art domain adaptation performance on standard benchmarks is achieved. We also validate the approach for descriptor learning task in the context of person re-identification application.

Keywords: domain adaptation, neural network, representation learning, deep learning, synthetic data, image classification, sentiment analysis, person re-identification

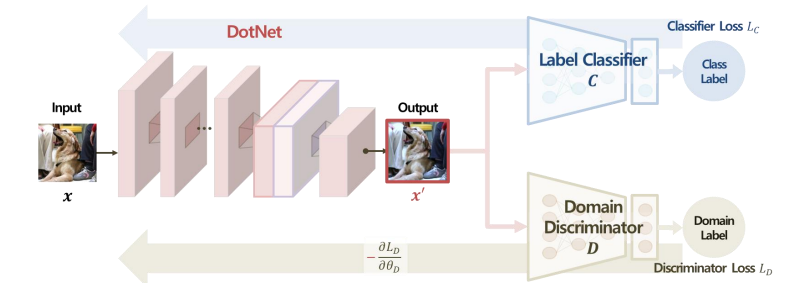
L2A-OT[15]

Learning to Generate Novel Domains for Domain Generalization

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Abstract. This paper focuses on domain generalization (DG), the task of learning from multiple source domains a model that generalizes well to unseen domains. A main challenge for DG is that the available source domains often exhibit limited diversity, hampering the model's ability to learn to generalize. We therefore employ a data generator to synthesize data from pseudo-novel domains to augment the source domains. This explicitly increases the diversity of available training domains and leads to a more generalizable model. To train the generator, we model the distribution divergence between source and synthesized pseudo-novel domains using optimal transport, and maximize the divergence. To ensure that semantics are preserved in the synthesized data, we further impose cycle-consistency and classification losses on the generator. Our method, L2A-OT (Learning to Augment by Optimal Transport) outperforms current state-of-the-art DG methods on four benchmark datasets.



DDAIG[14]

Deep Domain-Adversarial Image Generation for Domain Generalisation

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Abstract

Machine learning models typically suffer from the domain shift problem when trained on a source dataset and evaluated on a target dataset of different distribution. To overcome this problem, domain generalization (DG) methods aim to leverage data from multiple source domains so that trained model can generalize to unseen domains. In this paper, we propose a novel DG approach based on Deep Domain-Adversarial Image Generation (DDAIG). Specifically, DDAIG consists of three components, namely a label classifier, a domain classifier and a domain transformation network (DotNet). The goal for DotNet is to map the source training data to unseen domains. This is achieved by having a learning objective formulated to ensure that the generated data can be correctly classified by the label classifier while fooling the domain classifier. By augmenting the source training data with the generated source domain data, we can make the label classifier more robust to unknown domain changes. Extensive experiments on four DG datasets demonstrate the effectiveness of our approach.

Figure 1: Given multiple source domains, e.g. Cartoon, Photo and Sketch, we learn a domain transformation network (DotNet) to transform images to unseen domains, which maintain the class labels but change domain-related properties. Both original data and transformed images are used to train a label classifier, which is applied to an unseen target domain, e.g. Art.

get data and perform supervised model fine-tuning. However, large-scale data collection and annotation for every new target domain is prohibitively expensive and time-

Model-based Manipulation
Domain Transformation
Network + DANN



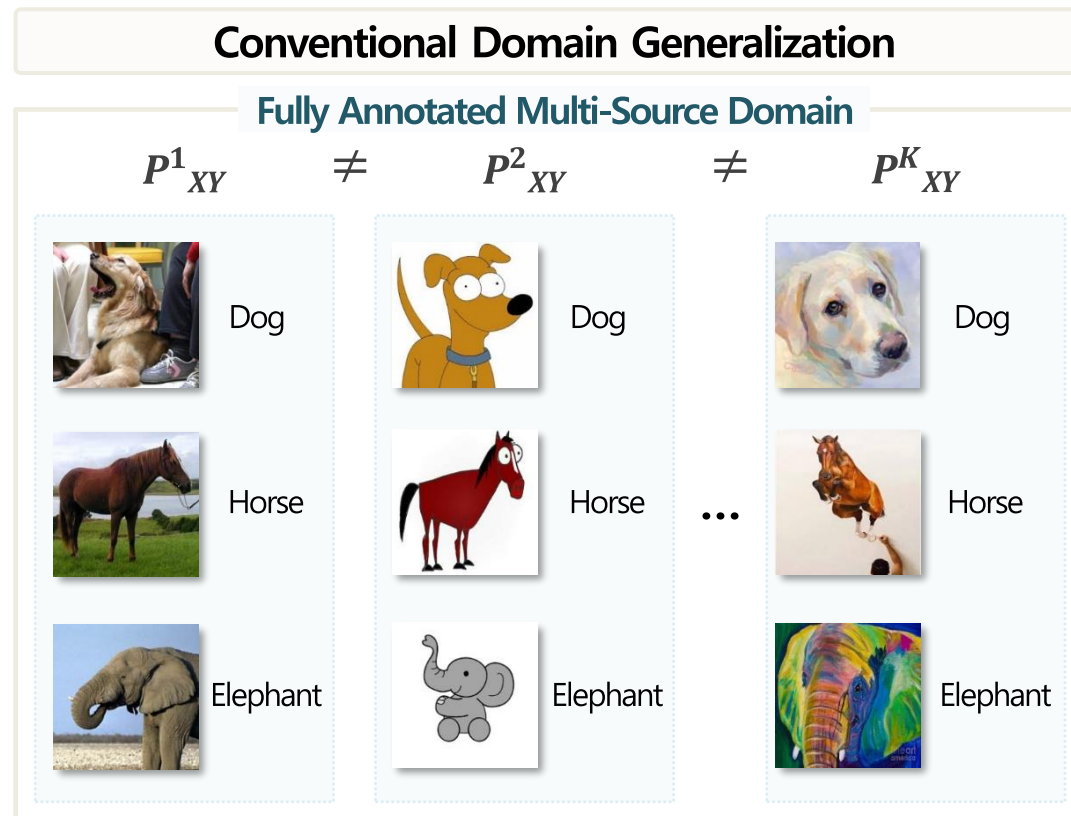
StarGAN[17] 구조 +
CycleGAN [18] consistency loss +
WGAN [16] distance metric
Model-based Manipulation

Conclusion

Other Domain Generalization Research Areas

❖ Future Research Directions

- **What are worth exploring to further this field?** : New scenarios to push the frontiers of Domain Generalization

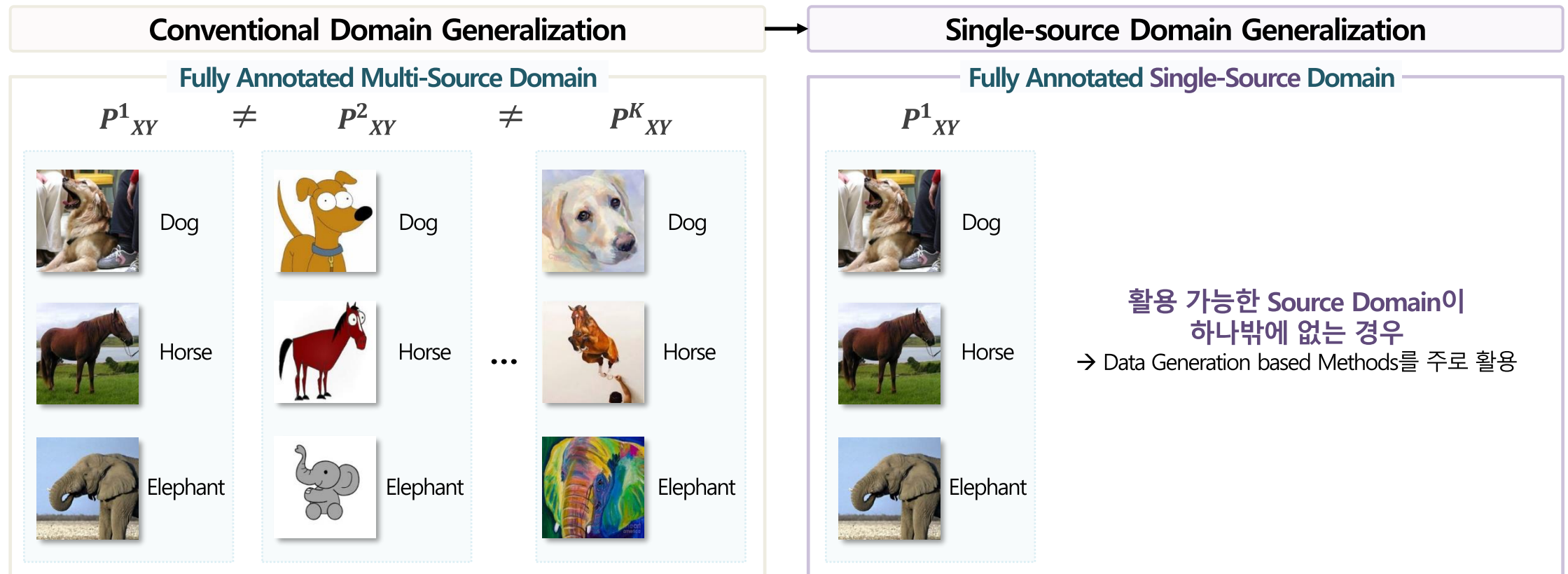


Conclusion

Other Domain Generalization Research Areas

❖ Future Research Directions

- **Single-source Domain Generalization** : 'Multi-Source'를 가정할 수 없을 때

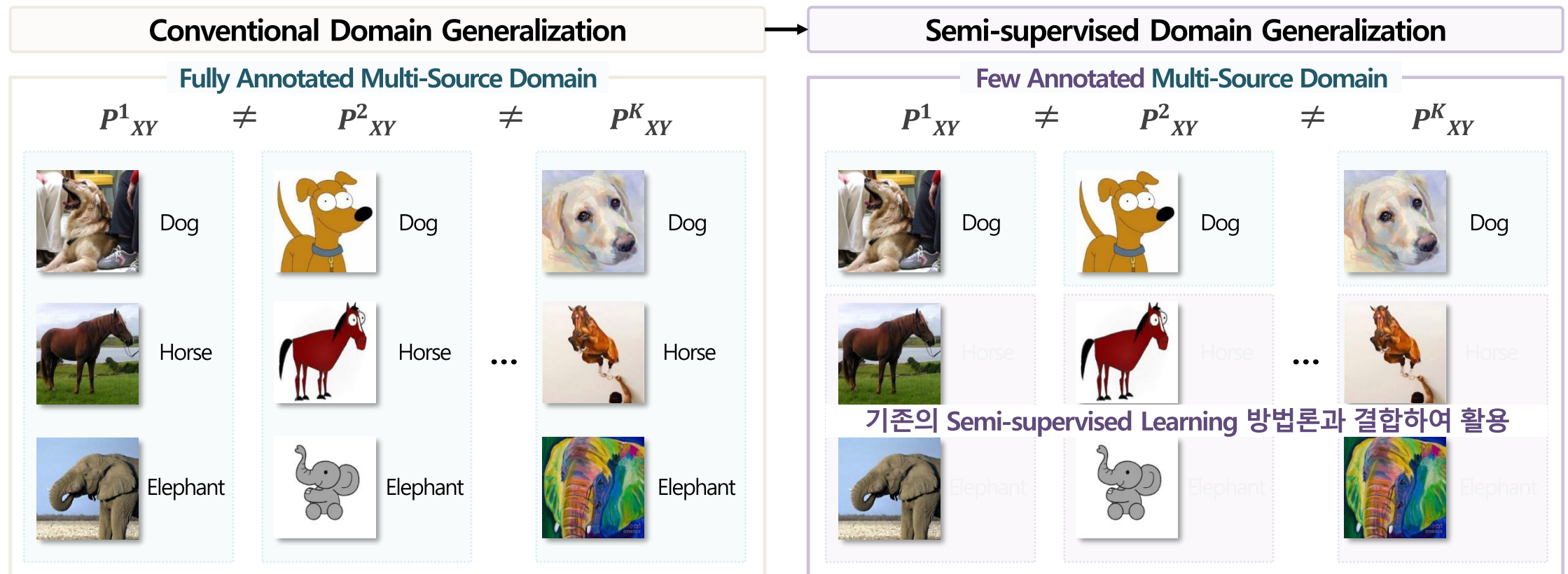


Conclusion

Other Domain Generalization Research Areas

❖ Future Research Directions

- **Semi-supervised Domain Generalization** : 'Fully Annotated'를 가정할 수 없을 때

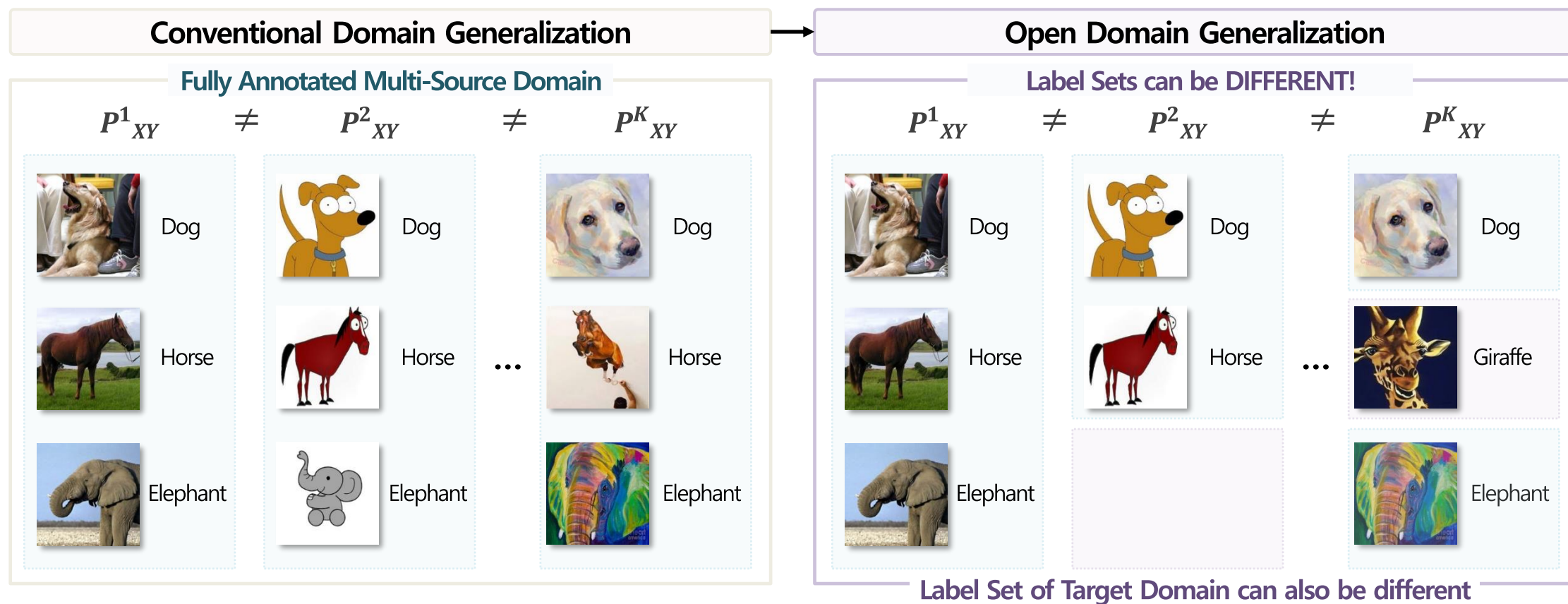


Conclusion

Other Domain Generalization Research Areas

❖ Future Research Directions

- **Open Domain Generalization** : 'Same Label Set'을 가정할 수 없을 때 → Target Domain에도 Unknown Classes (Out-of-distribution; OOD)가 있는 경우



Thank You

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